# Investigations on the risk factors of Acute Respiratory Infections (ARIs) among under-five children in Depok City, Indonesia

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Key words: Acute respiratory infections, under-five children, environmental factors Parole chiave: Infezioni respiratorie acute, bambini sotto i cinque anni, fattori ambientali

#### Abstract

**Background.** Acute Respiratory Infections are caused by pathogens that spread rapidly. Acute Respiratory Infections include upper respiratory tract infections and lower respiratory tract infections. According to the World Health Organization (2019), Acute Respiratory Infections rank fourth among diseases that affect children with high morbidity. Indonesia's under-five mortality rate due to Acute Respiratory Infections rank first among the Association of Southeast Asian Nations. Under-five mortality due to Acute Respiratory Infections in Indonesia accounts for 22.30% of the total under-five mortality cases.

**Study design and methods.** This cross-sectional study was conducted with a total of 100 mother and child pairs selected by simple random sampling. Internal and external factors, including nutritional status, maternal age, maternal education level, presence of a smoker in the house, house ownership, household size, temperature, humidity, lighting, ceilings, floors, walls, and ventilation were investigated as the risk factors of Acute Respiratory Infections among under-five children in this study.

**Results.** Our cross-sectional study found that 68 out of 100 under-five children in this study were infected with Acute Respiratory Infections during the study period. Among the risk factors, our multivariate analysis suggests that presence of smokers, maternal age, and inadequate ceiling conditions were the potential determinants of Acute Respiratory Infections among under-five children in Depok City.

**Conclusions.** Despite the limitations of this study, we believe our results could give a comprehensive overview of the risk factors of Acute Respiratory Infections in under-five children.

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# Introduction

Acute respiratory infections (ARIs) are caused by pathogens that spread rapidly (1). ARIs includes upper respiratory tract infections and lower respiratory tract infections (2). Pathogens of this disease include pneumococci, Myc. tuberculosis, influenza and parainfluenza viruses, rhinovirus, respiratory syncytial virus (RSV), and coronavirus (SARS-CoV) (1). The spectrum of ARIs symptoms varies but includes fever, cough, sore throat, shortness of breath, wheezing, and breathing difficulty (1). Theoretically, ARIs occur due to an imbalance between the hosts. agents, and environmental factors. To clearly understand the determinants of ARIs, an investigation of internal and external factors is necessary (3). Internal factors, such as nutritional status (3), immunization status (4), vitamin A consumption (5), and exclusive breastfeeding, are correlated with the immunity of the children to prevent any infectious diseases (6). Knowledge of the family (7, 8) and environmental factors such as house conditions (9) and indoor air pollution were the important external factors for respiratory infections reported in previous studies (10). Based on the US EPA, an important factor in determining indoor air pollutants is the exchange of air between inside and outside the house. This is influenced by design and construction, such as wall joints, natural ventilation, mechanical ventilation, and ceilings adequacy (11).

According to the WHO (2019), ARIs ranks fourth among diseases that affect children with high morbidity (12). Indonesia's under-five mortality rate due to ARIs ranks first among the Association of South East Asian Nations (1). Under-five mortality due to ARIs in Indonesia accounts for 22.30% of the total under-five mortality cases (1). Based on the 2018 Indonesian Basic Health Research, the percentage of ARIs in children aged 1–4 years ranks first compared to other age groups, at 13.7% (13). In Depok City alone, the prevalence of ARI in toddlers reached 14.03% of total ARI cases in toddlers in West Java Province (14). Incidences of ARIs increased between 2018 and 2020, accounting for about 25–39% of the morbidity rate of children under five in Depok City (15). Despite the high morbidity rate, there is no detailed information on the risk factors associated with the incidences of ARIs in Depok City. Therefore, in this study, we comprehensively investigated the determinants of ARIs in Depok City, including internal and external factors.

# Methods

# Study Design and Area

This study used a cross-sectional design and was conducted from April to June 2020 in Bedahan and Sawangan sub-districts, Depok, West Java, Indonesia. This area was chosen due to the high prevalence of cases there. Sample criteria were babies and toddlers aged 1-59 months whose families had lived in the area for at least one year. The informants of this study were the mothers of these children. The number of households with babies and toddlers in the two sub-districts was 140, and 112 returned the informed consent form. However, during data collection, 12 participants withdrew, so the total sample was 100 babies and toddlers. Data collection was conducted by the researcher and two enumerators (using a questionnaire) via 10–15-minute interviews. For the measurement of physical agents, such as temperature (°C) measured using a thermometer, humidity (%) measured using the RH Heat Index, and lighting (Lux) measured using a Lux meter, measurements were taken in rooms often used by under-five children for activities, such as bedrooms and living rooms. Room observations included the condition of the ceiling (namely, the presence or absence of a ceiling) and the type of floor, whether ceramic tiles, unpolished cement, or uncoated soil.

#### Variables

The dependent variable in this study was ARIs in babies and toddlers aged 1-59 months whose families had lived in the study area for a minimum of one year. The independent variables include child characteristics (nutritional status), family characteristics (maternal age, mother's education level, and presence of a smoker in the house), and house conditions (house ownership, household size, temperature, humidity, lighting, condition of the ceilings, types of floors, types of walls, ventilation, types of screen protectors on ventilation) (Figure 1). All variables were divided into binary categories. The nutritional status of the child was classified as undernutrition or normal following the WHO guidelines (16). Maternal age was divided into high risk (over 35 years of age) and low risk. The educational level of the mother was classified as high (graduated from high school) or low. The house conditions were divided according to the regulations of the Ministry of Health, Republic of Indonesia in 2011 (5).

# Data Collection Instruments

ARIs in babies and toddlers were diagnosed by health professionals following the criteria provided by the WHO (17). Standardised questionnaires were used to investigate all independent variables. Data collection was carried out by two environmental health scholars.

#### Statistical Analysis

Chi-square and multiple logistic regression tests were carried out to investigate the risk factors of ARIs among under-five children. The odds ratio (OR) with 95% CI was used to identify the association between the dependent and independent variables. *P*-value <0.05 was determined as significant.

#### Ethical Consideration

This study was granted ethical approval by the Faculty of Public Health, University of Indonesia (No: Ket-613/UN2/F10.D11/ PPM.00.02/2020). All participants signed informed consent forms before participating in the study.

# Results

# Basic Characteristics and House Conditions of Under-Five Children

Table 1 shows the distribution of basic characteristics and house conditions. Among 100 children, our results detected that 68 were infected with ARIs during the study period. In the child characteristics,



Figure 1 - Determinants of ARIs among under-five children

|  |              | T-4-1       |                                |
|--|--------------|-------------|--------------------------------|
| Variables  | Yes<br>n (%) | No<br>n (%) | $- \frac{10}{n} \frac{10}{\%}$ |
| Child characteristic Nutritional status of the child |              |             |                                |
| Undernutrition                                       | 32 (69.6)    | 14 (30.4)   | 46 (100)                       |
| Normal   | 36 (66.7)    | 18 (33.3)   | 54 (100)                       |
| Family characteristics Maternal age                  |              |             |                                |
| High risk  | 7 (53.8)     | 6 (46.2)    | 13 (100)                       |
| Low risk   | 61 (70.1)    | 26 (29.9)   | 87 (100)                       |
| Maternal education level                             |              |             |                                |
| Low  | 33 (66.0)    | 17 (34.0)   | 50 (100)                       |
| High   | 35 (70.0)    | 15 (30.0)   | 50 (100)                       |
| Presence of smoker                                   |              |             |                                |
| Yes  | 45 (71.4)    | 18 (28.6)   | 63 (100)                       |
| No   | 23 (62.2)    | 14 (37.8)   | 37(100)                        |
| House conditions House ownership                     |              |             |                                |
| Tenancy  | 15 (60.0)    | 10 (40.0)   | 25 (100)                       |
| Owner  | 53 (70.7)    | 22 (29.3)   | 75 (100)                       |
| Household size                                       |              |             |                                |
| Large  | 5 (50.0)     | 5 (50.0)    | 10 (100)                       |
| Small  | 63 (70.0)    | 27 (30.0)   | 90 (100)                       |
| Temperature (°C)                                     |              |             |                                |
| Inadequate   | 28 (63.6)    | 16 (36.4)   | 44 (100)                       |
| Adequate   | 40 (71.4)    | 16 (28.6)   | 56 (100)                       |
| Humidity   |              |             |                                |
| Inadequate   | 66 (68.0)    | 31 (32.0)   | 97 (100)                       |
| Adequate   | 2 (66.7)     | 1 (33.3)    | 3 (100)                        |
| Lighting   |              |             |                                |
| Inadequate   | 29 (72.5)    | 11 (27.5)   | 40 (100)                       |
| Adequate   | 39 (65.0)    | 21 (35.0)   | 60 (100)                       |
| Ceiling  |              |             |                                |
| Inadequate   | 36 (78.3)    | 10 (21.7)   | 46 (100)                       |
| Adequate   | 32 (59.3)    | 22 (40.7)   | 54 (100)                       |
| Floor  |              |             |                                |
| Inadequate   | 4 (80.0)     | 1 (20.0)    | 5 (100)                        |
| Adequate   | 64 (67.4)    | 31 (32.6)   | 95 (100)                       |
| Wall   |              |             |                                |
| Inadequate   | 14 (82.4)    | 3 (17.6)    | 17 (100)                       |
| Adequate   | 54 (65.1)    | 29 (34.9)   | 83 (100)                       |
| Ventilation  |              |             |                                |
| Inadequate   | 48 (72.7)    | 18 (27.3)   | 66 (100)                       |
| Adequate   | 20 (58.8)    | 14 (41.2)   | 34 (100)                       |
| Ventilation screen                                   |              |             |                                |
| Not available  | 41 (68.3)    | 19 (31.7)   | 60 (100)                       |
| Available  | 27 (67.5)    | 13 (32.5)   | 40 (100)                       |

Table 1 - Distribution of basic characteristics and house conditions among under-five children (n=100)

we found that 46% of the children were suffering from undernutrition. In the family characteristics, we investigated the maternal age, the maternal education level, and the presence of a smoker in the house. Our results found 13% of the mothers were categorised as high risk with regard to maternal age, 50% of the mothers had not graduated from high school, and 63% of the children in this study were living with a smoker. We investigated several factors regarding house conditions, including house ownership, household size, temperature, humidity, lighting, ceilings, floors, walls, ventilation, and ventilation screens. Our results found that 25% of the respondents were living in rented houses, 10% were living in crowded houses, 44% were living in houses with unacceptable temperatures, 97% were living in houses with unacceptable humidity, 40% were living in houses with unacceptable lighting, 46% were living in houses with inadequate ceilings, 5% were living in houses with inadequate floor conditions, 17% were living in houses with inadequate wall conditions, 66% were living in houses with inadequate ventilation, and 60% were living in houses without ventilation screens.

# Bivariate Analysis of Factors Associated with ARIs among Under-Five Children

Figure 2 shows the results of the bivariate analysis between the independent and dependent variables. The associations were indicated by the odds ratio (OR) values with a 95% confidence interval (CI). Despite no statistical differences, our results found several variables that have high OR (95% CI), including maternal age (2.01 (0.62–6.57)), presence of smoker (1.52 (0.64–3.60)), ceiling (2.48 (1.02–6.00)), floor (1.94



Figure 2 - Bivariate analysis of factors associated with ARIs among under-five children \*The odds ratio with a 95% confidence interval (OR (95% CI)) of each independent variable was presented. Chisquare tests were used for the analysis.

(0.21–18.07)), wall (2.51 (0.67–9.44)), and ventilation (1.87 (0.78–4.46)).

#### Multivariate Analysis

We performed a multivariate analysis with logistic regression to investigate the determinant factors of ARIs among under-five children in Depok City. The variables with a *p*-value of <0.25 in the previous bivariate analysis were included in this analysis. The multivariate analysis was carried out by gradually eliminating the variables that were not statistically significant. Variables that were substantially associated with ARIs based on theory and previous studies were maintained in the model, even though the *p*-value was higher than 0.05. The final result from the multivariate analysis is presented in Table 2. Among the variables maintained in the multivariate model, the presence of a smoker in the house was the determinant factor of ARIs in Depok City, with OR 2.242 (95% CI 0.987–5.094), followed by maternal age with OR 1.713 (95% CI 0.599-4.898) and ceiling condition with OR 1.641 (95%) CI 0.734-3.668).

# Discussion

In the present study, we investigated the prevalence of ARIs and the risk factors among children under five years of age in Depok City. Our findings showed that 68% of the children in this study were infected with ARIs during the study period. The percentage of ARIs in this study was relatively high compared to similar studies conducted in Indonesia (18, 19). The high percentage of ARIs in this study was probably because it was carried out during the transition between the wet and dry seasons. Seasonal change is known to cause decreased immunity in the population (20). After identifying the prevalence of ARIs among under-five children, we further investigated the determinants of ARIs based on three categories, including the characteristics of the children, characteristics of the mother and family, and house conditions (Figure 1).

Our results found that 69.6% of children suffering from undernutrition in this study became infected with ARIs during the study period. The association between nutritional status and infectious diseases, including ARIs, has been shown in many previous studies (6, 21, 22). It is known that children suffering from undernutrition have insufficient immunity to prevent infection, causing them to be susceptible to ARIs (8). A study in Bangladesh indicated that a lower nutrition level among children increases mortality from viral respiratory infections (23). Since we found an almost comparable distribution between children suffering from undernutrition and normal underfive children, we could not find statistical significance between nutritional status and ARIs in this study.

We further investigated the characteristics of the mother and family as risk factors of

Table 2 - Multivariate analysis of determinant factors of ARIs among under-five children

| Variable           | В      | S.E.  | p-value | OR    | 95% CI      |
|--------------------|--------|-------|---------|-------|-------------|
| Maternal age       | 0.538  | 0.536 | 0.316   | 1.713 | 0.599–4.898 |
| Presence of smoker | 0.808  | 0.419 | 0.054   | 2.242 | 0.987-5.094 |
| Household size     | -1.064 | 0.704 | 0.131   | 0.345 | 0.087-1.372 |
| Ceiling            | 0.495  | 0.410 | 0.227   | 1.641 | 0.734-3.668 |
| Constant           | -0.376 | 0.561 | 0.502   | 0.686 |             |

ARIs. Maternal age has been associated with ARIs, as suggested in a previous study (7). It was shown that older mothers/caregivers were not paying as much attention to their children as younger mothers (3). In this study, we found only 13 mothers aged over 35 years old (high risk) during the study period. Among those, seven (53.8%) of their children were detected as positive cases. Although our bivariate analysis did not find significance, our multiple regression analysis showed that maternal age was the second determinant of ARIs in this study, with OR 1.713 (95% CI 0.599-4.898). The other important factor often affecting the child's care is the maternal educational level (24, 25). In this study, the levels of education of the mother was equally distributed between the high and low categories. Therefore, our result did not show a statistically significance between the education level of the mother and ARIs among under-five children.

Smoking is still a major public health problem; a previous study showed that children who are passive smokers are more susceptible to severe respiratory problems and often require hospitalisation (26). In this study, we identified that 63% of the family members were active smokers. Among the children who had active smokers in their houses, 45 (71.4%) tested positive for ARIs during the study period. Moreover, our multivariable regression logistic analysis demonstrated that the presence of smokers in the house is the first determinant of ARIs (OR 2.242 (95% CI 0.987-5.094)) in this study. Cigarette smoke has the ability to penetrate the respiratory system, increasing the susceptibility of children to infectious diseases - including ARIs - as reported in previous studies (27, 28).

Then, we further investigated the house environmental conditions with ARIs among under-five children. The house conditions in this study were compared with the regulations of the Indonesian Health Ministry in 2011 (5). Household size, which directly correlated with crowding conditions, was reported to be associated with incidences of ARIs in a previous study (10). House crowding causes increases in the probability of exposure to droplets of infectious secretions from other family members (29). To prevent crowding, the ideal size for liveable housing is 10 m<sup>2</sup> per person (30). In our study, we found only 10 mother and child pairs who lived in crowded houses, which could be the reason for the insignificant result obtained by statistical analysis in this study.

Physical environmental conditions, such as temperature, humidity, and lighting, which have been reported to be directly correlated with the survival of airborne infectious disease (18, 31), were further investigated in this study. In this study, about 44% of the children were living in a house with an unacceptable temperature according to the regulation (18–30°C). Our results showed that 63.6% of children living in a house with an unacceptable temperature were infected with ARIs during the study period, despite the insignificant result in our bivariate analysis.

We detected that about 97% of houses have unacceptable humidity levels compared with what is prescribed by the Regulations (40–60% Rh). This condition decreases the indoor air quality of the houses, resulting in a higher incidence of ARIs. Our results found that 68% of those living in houses with unacceptable humidity were infected with ARIs during the study period, despite the insignificant result in our bivariate analysis. Since humidity has been reported to be correlated with ARIs in previous studies (9,31), our results could support the findings in previous studies.

Further, we investigated the lighting in the houses with incidences of ARIs. Adequate lighting, especially sunlight, is an important factor in deactivating airborne infectious organisms (31). Our results found that 40% of houses have inadequate lighting according to the Regulations (more than 100 lux). Despite the statistical insignificance in our bivariate analysis, we found that 29 (72.5%) of the children living in houses with inadequate lighting were infected with ARIs during the study period. Since a previous study reported the correlation between lighting and ARIs (32), our results supported this finding.

We then investigated the house characteristics, including ceilings, floors, walls, and ventilation, as the risk factors of ARIs among under-five children. Ceilings are important for controlling the air exchange to prevent dust from entering the house, and they are associated with indoor air quality (33). In this study, we found that among 46 children living in houses without adequate ceilings, 36 (78.3%) were infected with ARIs during the study period. Moreover, our logistic regression analysis suggests that the ceiling is the third determinant of ARIs among under-five children in Depok (OR 1.641 (95% CI 0.734–3.668)). Despite no statistical significance being found, the result of this study supported the finding in a previous study showing that the presence of a proper ceiling is correlated with the incidence of ARIs among under-five children (10).

Another house characteristic directly correlated with indoor air quality is ventilation. Our results showed that among 66 children living in houses with inadequate ventilation, 48 (72.7%) were infected with ARIs during the study period. Moreover, among 60 houses with an absence of ventilation screens, we found that 68.3% of children had ARIs during the study period. Since a previous study reported the correlation of ventilation with ARIs among under-five children (34), the results in this study suggest the importance of adequate ventilation and the presence of a ventilation screen to prevent ARIs among under-five children.

Further, the conditions of the floors and walls in the house were investigated.

Inadequate floor and wall conditions facilitate the entrance of infectious pathogens into the house. However, our study found only five houses with inadequate floor conditions and 17 with inadequate wall conditions. Among those children living in houses with inadequate floor and wall conditions, our results found that four (80%) and 14 (82.4%) were infected with ARIs during the study period. Since previous studies have suggested floor and wall conditions as risk factors of ARIs (35, 36), our results gave an overview that supported this finding.

However, this study has some potential limitations. First, this study used a crosssectional study design, which cannot establish a cause-and-effect relationship. Second, this study had a limited number of respondents and was equally distributed in some of the variables; thus, we could not find any significant difference in our bivariate analysis. Nevertheless, our univariate analysis is consistent with previous studies and literature. Despite its limitations, the results of this study could give a comprehensive overview of ARI prevalence in Depok City – the city with the third highest prevalence of ARIs in Indonesia – and the potential determinants, including internal and external factors. Further comprehensive epidemiological study is needed to investigate the cause-effect relationship of ARIs.

# Conclusions

In this study, we found that 68% of the children under five in this study were infected with ARIs during the study period. We comprehensively investigated the determinants of ARIs in under-five children from internal and external factors in this study. Although our bivariate analysis showed insignificant difference between all independent variables with ARIs, our univariate analysis provides an overview that could support the findings in previous studies. Among the risk factors, our multivariate analysis suggests the presence of smokers, maternal age, and the ceiling absence as potential determinants of ARIs among under-five children in Depok City.

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**Competing Interest:** The authors declare that there are no competing interests.

Authors' Contributions: RAW contributed substantially to the concept, methodology, validation resources, data curation, drafting of the manuscript, writing of the original draft, supervision, writing review and editing, and finalised approval of the version to be published. SF made critical input to the formal analysis, investigation, data curation, and writing/original draft preparation. FK contributed to validation, data curation, writing/review and editing, and visualisation.

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#### Riassunto

#### Indagini sui fattori di rischio per le infezioni respiratorie acute (ARI) tra i bambini d'età inferiore a 5 anni a Depok City, Indonesia

**Premessa.** L'infezione respiratoria acuta è causata da un agente patogeno che si diffonde rapidamente. Le infezioni respiratorie acute comprendono le infezioni del tratto respiratorio superiore e le infezioni del tratto respiratorio inferiore. Secondo l'Organizzazione Mondiale della Sanità (2019), le infezioni respiratorie acute sono al quarto posto tra le malattie che colpiscono i bambini con elevata morbosità. Il tasso di mortalità sotto i cinque anni dell'Indonesia attribuibile a infezioni respiratorie acute è al primo posto tra i paesi dell'Associazione delle nazioni del sud-est asiatico. La mortalità sotto i cinque anni dovuta a infezioni respiratorie acute in Indonesia rappresenta il 22,30% del totale dei casi di mortalità sotto i cinque anni.

**Disegno e metodi dello studio**. Questo studio trasversale è stato condotto su di un totale di 100 coppie madre-bambino selezionate mediante campionamento casuale semplice. Sono stati studiati con analisi multivariata - come fattori di rischio delle Infezioni respiratorie acute tra i bambini d'età inferiore ai 5 anni - fattori interni ed esterni, tra cui stato nutrizionale, età materna, livello di istruzione materna, presenza di fumatori in casa, proprietà della casa, dimensioni della famiglia, temperatura, umidità, illuminazione, soffitti, pavimenti, pareti e ventilazione.

**Risultati.** Lo studio ha rilevato che 68 bambini sotto i cinque anni su 100 sono stati infettati da infezioni respiratorie acute durante il periodo di osservazione.Tra i fattori di rischio, la nostra analisi multivariata suggerisce che la presenza di fumatori, l'età materna e le disastrose condizioni del soffitto domestico erano i potenziali determinanti delle infezioni respiratorie acute tra i bambini sotto i cinque anni a Depok City.

**Conclusioni.** Nonostante i limiti di questo studio, riteniamo che i nostri risultati possano avder fornito una panoramica completa dei fattori di rischio delle infezioni respiratorie acute nei bambini sotto i cinque anni.

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