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Abstract

Satellite observations of the tropospheric NO₂ vertical column density (VCD) are closely correlated to surface NO_x emissions and can thus be used to estimate the latter. In this study, the NO₂ VCDs simulated by a regional chemical transport model with data from the updated Regional Emission inventory in ASia (REAS) version 2.1 were validated by comparison with multi-satellite observations (GOME, SCIAMACHY, GOME-2, and OMI) between 2000 and 2010. Rapid growth in NO₂ VCD driven by expansion of anthropogenic NO_x emissions was revealed above the central eastern China region, except during the economic downturn. In contrast, slightly decreasing trends were captured above Japan. The modeled NO₂ VCDs using the updated REAS emissions reasonably reproduced the annual trends observed by multi-satellites, suggesting that the NO_x emissions growth rate estimated by the updated inventory is robust. On the basis of the close linear relationship of modeled NO₂ VCD, observed NO₂ VCD, and anthropogenic NO_x emissions, the NO_x emissions in 2009 and 2010 were estimated. It was estimated that the NO_x emissions from anthropogenic sources in China beyond doubled between 2000 and 2010, reflecting the strong growth of anthropogenic emissions in China with the rapid recovery from the economic downturn during late 2008 and mid-2009.

1 Introduction

Nitrogen oxides (NO_x = NO + NO₂) emitted from anthropogenic sources (e.g., fossil fuel combustion, transportation, power plants) and natural sources (e.g., microbiological processes in soil, lightning) play key roles in tropospheric chemistry, with important implications for air quality and climate change. In particular, NO_x contributes to the formation of photochemical ozone (O₃) and secondary aerosols. It is also involved in the chemical formation of other atmospheric species through feedback on hydroxyl radicals (OH) (Seinfeld and Pandis, 2006).

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In recent years, advances in satellite technology and the development of new instruments and algorithms have allowed for observations of the NO₂ vertical column density (VCD) from space, providing useful information for air quality research (e.g., Richter et al., 2005; van der A et al., 2008; Irie et al., 2009). A global picture of the spatial distribution of the NO₂ VCD is now available because satellite measurements provide global coverage in a very short time (between 1 and 6 days, depending on the instrument and cloud cover). Operational observations of tropospheric NO₂ VCD have been performed for more than ten years continuously by the Global Ozone Monitoring Experiment (GOME), the SCanning Imaging Absorption spectroMETER for Atmospheric CHartography (SCIAMACHY), the Ozone Monitoring Instrument (OMI), and the GOME-2. Because of the short lifetime of NO_x in the troposphere, satellite NO₂ observations are closely correlated to the surface NO_x emissions. The tropospheric NO₂ VCD retrieved from satellites has hence been successfully applied to evaluate and quantify the spatial distribution, temporal variation, and interannual trends of NO_x emissions (He et al., 2007; Uno et al., 2007; van der A et al., 2008; Han et al., 2009; Lamsal et al., 2011).

A large positive trends of NO₂ VCD over eastern China in parallel with a strong increase in NO_x emissions from 1996 to 2005 due to increases in industry and traffic has been found using a combination of GOME and SCIAMACHY observations (Richter et al., 2005). Based on a systematic analysis of NO₂ VCD measured by GOME and simulated by a regional chemical transport model with anthropogenic emission data from the Regional Emission inventory in ASia (REAS) version 1.1 (Ohara et al., 2007), it was revealed that the anthropogenic emissions would have underestimated the rapid growth in NO_x emissions from China, especially during 1998–2000 (Uno et al., 2007). The emissions estimated by bottom-up methods have large uncertainties because of the uncertainties in activity data such as energy consumption, removal efficiencies, emission factors, and others. A combination of top-down methods is one potential alternative approach (e.g., Martin et al., 2003). By developing a data assimilation system,

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extensively for atmospheric environmental research over East Asia (e.g., He et al., 2007; Uno et al., 2007; Han et al., 2009; Itahashi et al., 2012a, b, 2013). In this study, the meteorological fields were generated by the Weather Research and Forecasting (WRF) model version 3.3 with the National Centers for Environmental Prediction (NCEP)/National Center for Atmospheric Research (NCAR) reanalysis data (FNL; <http://rda.ucar.edu/datasets/ds083.2/>). The model was constructed with a 98 × 78 horizontal grid at a resolution of 80 km, centered at 35° N, 115° E on a Lambert conformal projection, with 37 vertical grids extending from the surface to 50 hPa. Anthropogenic emissions data were obtained from the newly updated REAS version 2.1 (Kurokawa et al., 2013). Natural sources of NO_x from soil were also prepared from the updated REAS version 2.1, but from lightning were not considered in this study due to the difficulty of determining its magnitude and variations. Emissions from biogenic and biomass burning, with monthly variations, were obtained from the Model of Emissions of Gases and Aerosols from Nature (MEGAN) (Guenther et al., 2006) and the Global Fire Emissions Database (GFED) version 3.1 (van der Werf et al., 2010), respectively. CMAQ was configured to use mass-conserving scheme for advection, multiscale horizontal diffusion and eddy vertical diffusion. The Statewide Air Pollution Research Center version 99 (SAPRC-99) chemical mechanism and AERO5 were respectively adopted for production of gas-phase chemistry and aerosol chemistry. The initial conditions were provided in the CMAQ default dataset, and lateral boundary conditions were generated using the results of the CHemical Atmospheric general circulation model for Study of atmospheric Environment and Radiative forcing (CHASER) global chemistry model (Sudo et al., 2002), with a monthly-mean basis. Numerical simulations with the updated REAS emission were performed from 2000 to 2008, and the emissions from anthropogenic sources were fixed for 2009 and 2010 using the same inventory as 2008 in a sensitivity simulation.

account in the analysis of the model results, the satellite record therefore has a clear-sky bias. However, a largest difference of NO₂ VCD with regard to the cloud fraction is found to be up to 30–35 %, which is much smaller than the quoted uncertainty in satellite retrieval (Uno et al., 2007; Irie et al., 2013). Averaging kernel information were not applied in the model analysis, but profile uncertainties which lead to changes in the retrieval are approximately 10 % (Boersma et al., 2004).

3 Results and discussion

3.1 Tropospheric NO₂ column trends

Tropospheric NO₂ VCDs above central eastern China (CEC; 110–123° E, 30–40° N), Korea (125–130° E, 34.5–39° N), and Japan (133–141° E, 33.5–37° N) were examined (see top-left panel in Fig. 2). In Fig. 1, the temporal variations in monthly mean tropospheric NO₂ VCD above CEC are shown for (a) morning and (b) afternoon. Both the model results and satellite observations clearly illustrate the rapid increase in NO₂ VCD above the CEC between 2000 and 2010, except during the economic downturn between late 2008 and mid-2009. The peak levels of monthly mean NO₂ VCD in the winter of 2008–2009 were significantly lower than those in the winter of 2007–2008. However, satellite observations indicated a rapid recovery during the winter of 2009–2010. CMAQ modeling results reproduced the observed temporal variation but greatly underestimated the high NO₂ VCD in the mornings during winter. However, they remarkably captured the absolute values throughout the year between 2000 and 2008 in the afternoon. In the model simulations, a sensitivity study during 2009–2010 with anthropogenic emission fixed at 2008 levels was conducted. The large differences between model and satellite observations also implied the recovery of the Chinese economy and hence increasing emissions during 2009–2010 compared to 2008 values. These differences were larger and clearer in the morning than in the afternoon because the morning was considered to have a more direct relation to emissions. Here we note

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that, the absolute values of modeled NO₂ VCD showed improved accuracy compared to those in our previous study. Modeled NO₂ VCDs were reported to be lower than observed values by factors of 2–4 over polluted CEC (Uno et al., 2007). Possible reasons for the improved accuracy in the present study are changes in vertical resolution, the updating of CMAQ modeling system (including the chemical reaction scheme and improvements to vertical diffusion), and the use of the updated REAS inventory.

To further investigate the effect of the economic downturn, the normalized tropospheric NO₂ VCD to averaged values between October 2007 and September 2008 with a 12 month moving window were analyzed using the same manner as Lin et al. (2012). Results are shown in the lower part of Fig. 1. The model results obtained using the updated REAS emission inventory remarkably reproduced the growth rate of NO₂ VCD in both the morning and afternoon and suggested that the NO₂ VCD approximately doubled from 2001–2002 to 2008 (before the economic downturn) during both the morning and afternoon. This increased NO₂ VCD was caused by the increase in NO_x emissions from anthropogenic sources. The growth of anthropogenic NO_x emissions is discussed later.

The seasonal variation in tropospheric NO₂ VCD above CEC is shown as summer minima and winter maxima (Fig. 1). Modeled results greatly underestimated in the morning during winter above the CEC region. Comparisons between the spatial distribution of tropospheric NO₂ VCD in the morning (model results at 10:00 LT and retrieved data from SCIAMACHY) are presented in Fig. 2, with focus on the summer (June 2005 to August 2005) and winter (December 2005 to February 2006) seasons. In summer, due to the short lifetime of NO_x, the highest NO₂ VCDs were found around megacities such as Beijing, Shanghai, Hong Kong, Seoul, Tokyo, and the North China Plain region. Above the North China Plain, the model reproduced the temporal variation in the NO₂ VCD over the CEC region, but overestimated it in Hebei Province and underestimated it in Shanxi Province. Revision of emissions at the province scale may be required, and considerations of lightning emissions would partly improve the underestimation of model results especially above northern part of CEC (e.g., Lin, 2012). In winter, high

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NO₂ VCD covered the entire CEC region, and the model generally underestimated values in this region. There may have been underestimation in the NO_x emission estimations and uncertainty in the NO_x-related chemistry of model simulations, along with potential problems in the NO₂ retrievals from satellite observations (e.g., Han et al., 2009). In the model simulations, more detailed studies based on sensitivity tests (e.g., spatial resolution, emission intensity) with CMAQ were conducted (Irie et al., 2013). However, the reasons for the difference remain unclear. The averaged NO₂ VCD values above the CEC were improved in a 20 % emission increment sensitivity run, but the diurnal variation (ratio of morning to afternoon values) still showed discrepancies between the model and satellite data. Modeling uncertainties in meteorology and chemistry are also important factors. Modeled cloud optical depth, the uptake coefficient of HO₂ on aerosols, and some rate constant have found to be large impacts on NO₂ VCD in global chemistry model of GEOS-Chem, and these issues would be also relevant to CMAQ model (Lin et al., 2012). From the viewpoint of satellite observations, there may be difficulties in retrieving wintertime NO₂ VCDs with the same quality as summertime NO₂ VCDs, for example, due to more stable, shallow boundary layer conditions in winter. The quoted uncertainty in satellite NO₂ VCD appears to be usually larger in winter than in summer (Irie et al., 2013).

The annual mean tropospheric NO₂ VCDs over the CEC, Korea, and Japan regions (see rectangular region in Fig. 2) between 2000 and 2010 are illustrated in Fig. 3, along with the temporal variation of anthropogenic NO_x emissions of the total in China, Korea, and Japan estimated by the updated REAS inventory. Over China, as has been discussed, the model tended to underestimate the tropospheric NO₂ VCD in morning during winter. The annual mean NO₂ VCD was therefore also underestimated by the model. However, the increases were closely reproduced by the model, and the growth rates of tropospheric NO₂ VCD during 2002–2008 were +10.6 % yr⁻¹ (model, 10:00 LT) and +9.7 % yr⁻¹ (satellite, SCIAMACHY), respectively. During the same period, the updated REAS estimated a +8.7 % yr⁻¹ growth in NO_x emissions from anthropogenic sources. The tropospheric NO₂ VCD also exhibited growth of +8.9 % yr⁻¹ (CMAQ,

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for the morning was considered to have a more direct relationship with emissions, and SCIAMACHY has longer archives than the other satellites. Because of the underestimation of modeled NO₂ VCD in winter, the slope of the linear regression results on an annual basis was larger than unity. However, a close linear relationship can be seen between the model and satellite observations. Furthermore, the relationships between the modeled NO₂ VCD and anthropogenic NO_x emissions from CEC were also found to be clearly linear (see Fig. 4b). The modeled NO₂ VCD was calculated using various emission sources (e.g., anthropogenic, biomass burning, and biogenic emissions), but was mainly attributable to anthropogenic sources (Itahashi et al., 2013).

Hereafter, a simple estimate of NO_x emissions from the CEC region based on the observed NO₂ VCD is introduced. Because of the close linear relationship between observed and modeled NO₂ VCD, the modeled NO₂ VCD in 2009 and 2010 could be estimated from the linear regression results in Fig. 4a. After the modeled NO₂ VCD in 2009 and 2010 were estimated, the anthropogenic emissions from CEC were assessed based on the close linear relationship in Fig. 4b. The results showed that the estimated anthropogenic NO_x emissions from CEC in 2009 and 2010 were respectively 13.6 (+0.2) Tgyr⁻¹ and 14.9 (+0.3) Tgyr⁻¹. The results in parentheses indicate that the differences in the y-intercept are not forced to zero in Fig. 4a. Considering that the ratio of the CEC emissions to the total in China is around 46 %, anthropogenic NO_x emissions from China in 2009 and 2010 were estimated to be 29.7 (+0.5) Tgyr⁻¹ and 32.3 (+0.7) Tgyr⁻¹, respectively. Furthermore, considering that the anthropogenic NO_x emissions from China were estimated to be 14.3 Tgyr⁻¹ in 2000, anthropogenic NO_x emissions from China beyond doubled between 2000 and 2010. The observed tropospheric NO₂ VCD declined during the economic recession between late 2008 and mid-2009, but the rapid recovery in winter of 2009–2010 led to a clear increase in anthropogenic NO_x emissions.

Our results remarked that 7.2 % yr⁻¹ growth of anthropogenic NO_x emissions from 2006 to 2009 in China. This is consistent to a research by Lamsal et al. (2011) which estimated a annual growth rate of 6.7 % in China during the same period based on

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5 tropospheric NO₂ VCD from 2001–2002 to 2008 (before the economic downturn). In contrast to the situation above the CEC, the NO₂ VCD showed a slight decreasing trend over Japan with continuous reduction in anthropogenic emissions. Above Korea, the NO₂ VCD increased toward 2003–2004, before decreasing to 2006. However, the following situation was different between the model results and satellite observations in the morning. Both the model results and satellite observations revealed a constant trend of NO₂ VCD above Korea in the afternoon. The tropospheric NO₂ VCD simulated with the updated REAS emission inventory reasonably reproduced the observed NO₂ VCD from multi-satellite observations. However, more research, such as comparison
10 studies with other emission inventories, will be necessary to establish a more reliable emission inventory over East Asia. Modeling uncertainties should also be considered in light of recent studies in forthcoming study (Lin et al., 2012; Strakou et al., 2013).

The differences between multi-satellite observations and model sensitivity simulations in 2009 and 2010 with fixed emissions in 2008 implied a growth of NO_x emissions from 2008 levels. The model results and satellite observations of tropospheric NO₂ VCD were closely correlated, with a linear relationship to anthropogenic NO_x emissions. Hence a simple inverse estimate of NO_x emissions was proposed. On the basis of the NO₂ VCD observed by satellite, the anthropogenic NO_x emissions in 2009 and 2010 were estimated using linear regression results. By this approach, we estimated
15 that the anthropogenic NO_x emissions from China beyond doubled between 2000 and 2010. This means that there was a strong increase in anthropogenic emissions in China and rapid recovery of the Chinese economy from economic recession.

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Table 1. Summary of satellite-based NO₂ measurement instruments.

Instrument	GOME	SCIAMACHY	GOME-2	OMI
Satellite	ERS-2	ENVISAT	MetOp	Aura
Period	Apr. 1995–Jun. 2003	Mar. 2002–Apr. 2012	Oct. 2006	Jul. 2004
Overpass time	10:30 LT	10:00 LT	9:30 LT	13:45 LT
Nadir-view spatial resolution	40 × 320 km ²	30 × 60 km ²	13 × 24 km ²	40 × 80 km ²
Global coverage	3 days	6 days	1 day	1 day

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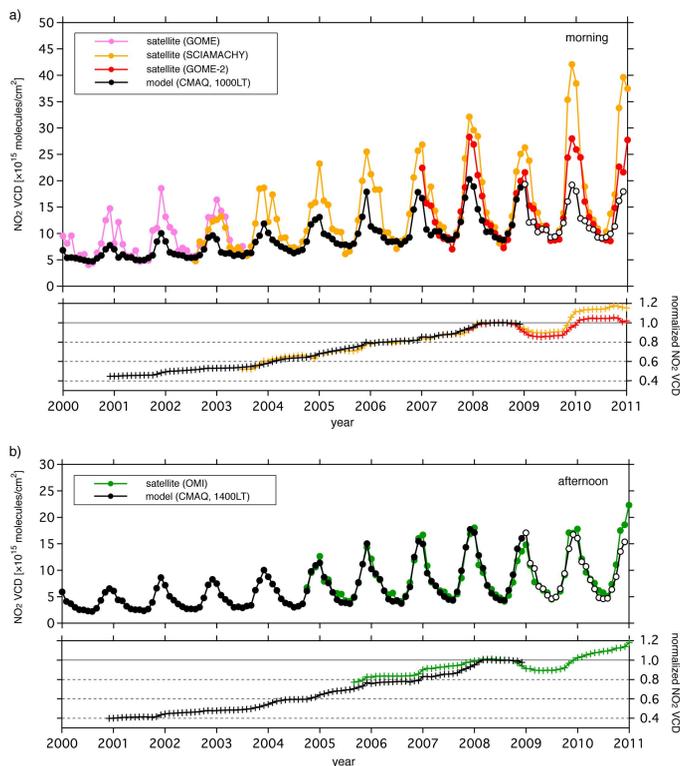


Fig. 1. Temporal variation in monthly mean tropospheric NO₂ VCD above CEC region during 2000–2010 from multi-satellite observations (GOME, SCIAMACHY, GOME-2, OMI) and model results (open circles denote sensitivity simulations with anthropogenic emissions fixed at 2008 values) in (a) the morning and (b) the afternoon. The normalized tropospheric NO₂ VCD relative to averaged values between October 2007 and September 2008 with a 12 month moving average are also shown at the bottom.

Trend analysis of tropospheric NO₂ column density over East Asia

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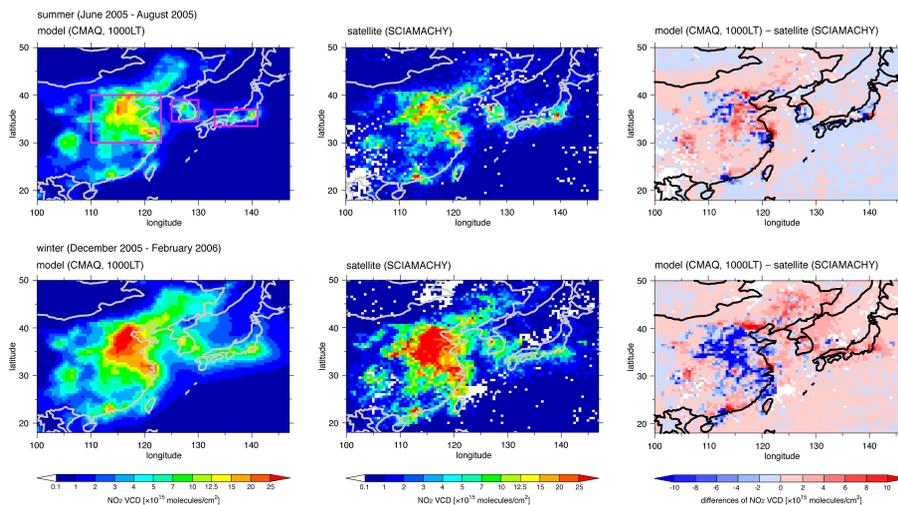


Fig. 2. Spatial distribution of tropospheric NO₂ VCD from (left) model results at 10:00 LT, (center) satellite observations by SCIAMACHY, and (right) differences between model and satellite results (top) in summer (averaged between June 2005 and August 2005) and (bottom) in winter (averaged between December 2005 and February 2006). The rectangular regions shown in the top-left figure are the investigation regions (CEC, Korea, and Japan).

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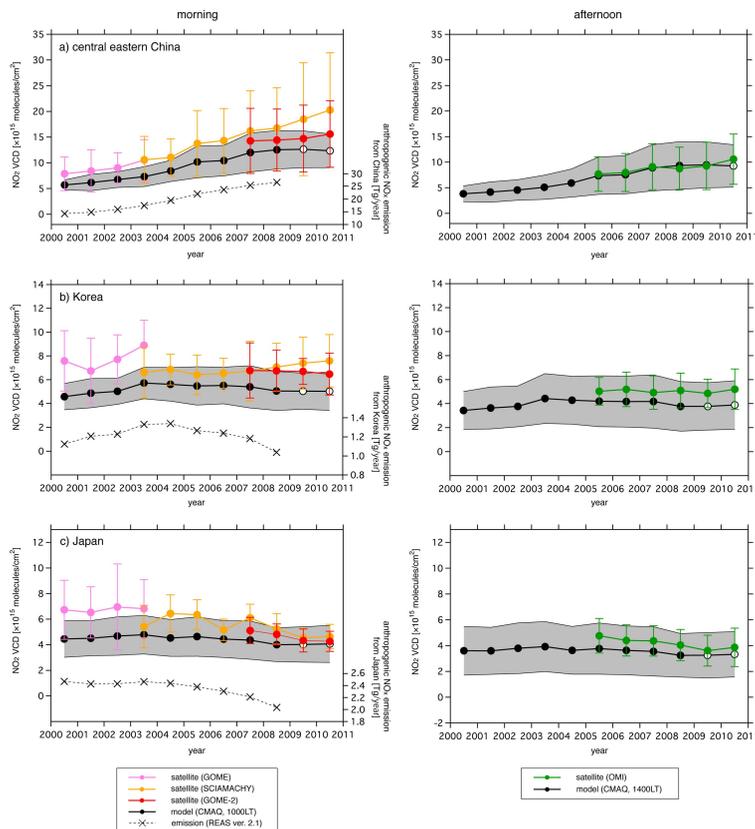


Fig. 3. Annual trend of tropospheric NO₂ VCD during 2000–2010 from the multi-satellite observations (GOME, SCIAMACHY, GOME-2, and OMI) and model results (open circles denote sensitivity simulations with anthropogenic emissions fixed at 2008 values) with 1σ standard deviation above (a) CEC, (b) Korea, and (c) Japan in (left) the morning and (right) the afternoon.

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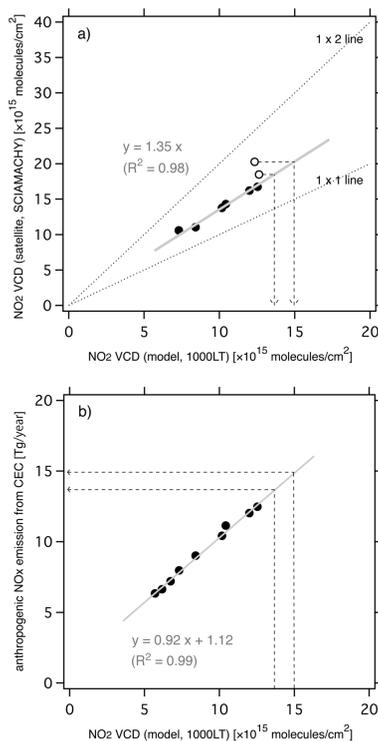


Fig. 4. Scatter diagram of **(a)** annual-mean modeled tropospheric NO₂ VCD at 10:00 LT, with annual-mean observed tropospheric NO₂ VCD by SCIAMACHY above CEC (open circles denote sensitivity simulations with anthropogenic emissions fixed at 2008 values), and **(b)** annual-mean modeled tropospheric NO₂ VCD at 10:00 LT above CEC with annual-based anthropogenic NO_x emissions from CEC.