

**Secondary organic
aerosol formation**

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Secondary organic aerosol formation from the photooxidation of isoprene, 1,3-butadiene, and 2,3-dimethyl-1,3-butadiene under high NO_x conditions

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Abstract

Secondary organic aerosol (SOA) formation from atmospheric oxidation of isoprene has been the subject of multiple studies in recent years; however, reactions of other conjugated dienes emitted from anthropogenic sources remain poorly understood.

5 SOA formation from the photooxidation of isoprene, isoprene-1-¹³C, 1,3-butadiene, and 2,3-dimethyl-1,3-butadiene is investigated for high NO_x conditions. The SOA yield measured in the 1,3-butadiene/NO_x/H₂O₂ irradiation system (0.089–0.178) was close to or slightly higher than that measured with isoprene under similar NO_x conditions (0.077–0.103), suggesting that the photooxidation of 1,3-butadiene is a possible source
10 of SOA in urban air. In contrast, a very small amount of SOA particles was produced in experiments with 2,3-dimethyl-1,3-butadiene. Off-line liquid chromatography – mass spectrometry analysis revealed oligoesters as the major SOA products observed from all dienes investigated. The oligoesters originate from the unsaturated aldehyde gas-phase diene reaction products, which undergo oligoester formation through heterogeneous oxidation under high NO_x conditions. Oligoesters produced by the dehydration reaction between nitrooxypolyol and 2-methylglyceric acid monomer or its oligomer
15 were also discovered in these experiments with isoprene as the starting diene. These oligomers are possible sources of the 2-methyltetrols found in ambient aerosol samples collected under high NO_x conditions. Furthermore, in low-temperature experiments also conducted in this study, the SOA yield measured with isoprene at 278 K was 2–3
20 times as high as that measured at 300 K under similar concentration conditions. Although oligomerization plays an important role in SOA formation from isoprene photooxidation, the observed temperature dependence of SOA yield is largely explained by gas/particle partitioning of semi-volatile compounds.

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1 Introduction

Isoprene is the most abundant nonmethane hydrocarbon emitted into the atmosphere, mainly originating from biogenic sources (Guenther et al., 2006). SOA formation during isoprene oxidation has been investigated via laboratory studies by many researchers (e.g., Pandis et al., 1991; Miyoshi et al., 1994; Jang et al., 2002; Edney et al., 2005; Kroll et al., 2005, 2006; Böge et al., 2006; Dommen et al., 2006; Surratt et al., 2006; Szmigielski et al., 2007; Sato, 2008; Kleindienst et al., 2009). The products formed from isoprene photooxidation (i.e., 2-methyltetrols, C₅-alkenetriols, and 2-methylglyceric acid) have been observed in ambient fine particles (Claeys et al., 2004, 2010; Wang et al., 2005; Xia and Hopke, 2006; Clements and Seinfeld, 2007; Fu et al., 2009, 2010a, 2010b). The atmospheric oxidation of isoprene is currently estimated to be the single largest source of SOA in the atmosphere (Henze and Seinfeld, 2006; Henze et al., 2008; Hallquist et al., 2009; Carlton et al., 2009).

Isoprene and other conjugated dienes are also emitted in urban environments from petrol and diesel engine exhaust or fuel vapor (Jemma et al., 1995; Shi and Harrison, 1997; Smith et al., 2002; Nelson et al., 2008; Agrawal et al., 2008), and measurements in the urban environment have been reported (Jeffries, 1995; Calvert et al., 2002; Liu et al., 2008). A representative conjugated diene emitted from anthropogenic sources is 1,3-butadiene, which is more volatile than isoprene. However, SOA can be produced from 1,3-butadiene if its oxidation products were to undergo heterogeneous oligomerization. Two previous studies have investigated SOA formation from the photooxidation of 1,3-butadiene (Angove et al., 2006; Sato, 2008), but the yield and the composition of SOA formed from this reaction remain poorly understood. In this study, we experimentally investigate the formation of SOA from the photooxidation of conjugated dienes in the presence of NO_x to improve our understanding of SOA formation from these reactions in urban air.

The major particle-phase products formed in the presence of NO_x from isoprene photooxidation are oligoesters; these oligoesters are produced by the aerosol-phase

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oligomerization of 2-methylglyceric acid (Surratt et al., 2006, 2010; Szmigielski et al., 2007; Chan et al., 2010). 2-Methyltetrols and C₅-alkenetriols are formed as particle-phase products under low NO_x conditions (Surratt et al., 2006; Kleindienst et al., 2009; Paulot et al., 2009; Surratt et al., 2010) but are not produced under high NO_x conditions (Surratt et al., 2006). However, 2-methyltetrols are also found in ambient particles even under high NO_x conditions (Edney et al., 2005; Claeys et al., 2010). A possible source of 2-methyltetrols in the presence of NO_x is the decomposition of nitrooxypolyols (Sato, 2008; Szmigielski et al., 2010); however, experimental evidence of nitrooxypolyol formation during isoprene photooxidation under high NO_x conditions is still limited.

During oligoester formation from isoprene photooxidation, particle-phase oligomerization occurs following the gas-to-particle absorption of semi-volatile products. The gas-to-particle equilibrium shifts to the particle side with decreasing temperature (Odum et al., 1996; Takekawa et al., 2003). On the other hand, the rate of particle-phase oligomerization decreases with decreasing temperature if the oligomerization process has a substantial activation barrier. Measurement of the temperature dependence of SOA yield from the reaction of isoprene will provide a better understanding of SOA formation involving oligomerization in the particle phase. To our knowledge, the temperature dependence of aerosol yield or aerosol composition during isoprene photooxidation has never been investigated.

In this study, SOA formation from the photooxidation of isoprene (ISO), isoprene-1-¹³C (ISO-13C), 1,3-butadiene (BD), and 2,3-dimethyl-1,3-butadiene (DMB) was investigated under high NO_x conditions (Fig. 1). The aims of this study were to verify the known mechanism of SOA formation from isoprene/NO_x photooxidation and to elucidate the mechanism of SOA formation from the reactions of other conjugated dienes. We explored particle-phase products paying attention not only to known oligoester products but also to nitrooxypolyols and their derivatives. Furthermore, we studied the temperature dependence of SOA yield and SOA composition during isoprene photooxidation.

2 Experimental section

2.1 Materials

Isoprene (Aldrich, 99%), isoprene-1-¹³C (Aldrich, 99 atom % ¹³C, 97% (CP)), 1,3-butadiene (Aldrich, 99%), and 2,3-dimethyl-1,3-butadiene (Aldrich, 98%) were used as reactants. Hydrogen peroxide (Aldrich, 50% in H₂O) was used as an OH radical source without further concentration.

2.2 Experimental procedure

Table 1 shows the experimental conditions of this study. All experiments were conducted using the UCR CE-CERT 90-m³ environmental chamber (Carter et al., 2005; Malloy et al., 2009; Qi et al., 2010). Two Teflon 90-m³ reactors located in a 450-m³ enclosure were ventilated by dry purified air (dew point less than 233 K). Prior to an experiment, the reactors were filled with dry purified air. Nitrogen oxide (22–940 ppb), conjugated diene (20–965 ppb), and hydrogen peroxide (0–3 ppm) were injected into each reactor using nitrogen carrier gas. The gas mixture in each reactor was then stirred using a mixing fan for 1 min. Complete mixing was ensured by monitoring NO_x and diene concentration using a chemiluminescence NO/NO_x analyzer (Teco, Model 42C) and two gas chromatographs equipped with flame ionization detectors (GC-FIDs; Agilent, Model 6890N). The two reactors were then irradiated by black lights (Sylvania, 350BL). The NO₂ photolysis rates were 0.12 and 0.40 min⁻¹ when 80 and 276 black lights were used at 300 K, respectively. The pressure of each reactor was maintained at 5 Pa higher than the enclosure by pressing the reactor wall in order to avoid any leakage of contaminants; thus, the reactor volume decreased with time. An experiment was finished when the volume of a chamber decreased to one-third the initial value. The experimental duration ranged from 6 to 8 h during the present experimental period.

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The air temperature in the enclosure was controlled by an air handler with ~ 105 kW cooling power. Most experiments were conducted at room temperature (300 ± 1 K) while two experiments with isoprene were conducted at a low temperature (278 ± 1 K). In order to correct the change in light intensity caused by temperature decrease, the number of lights used at 278 K was adjusted to reproduce the NO_2 photolysis rate of experiments at 300 K (Qi et al., 2010).

Aerosol particles in the reactors were collected on a Teflon membrane disk filter (Pall, Teflo®, 2 μm pore, 47-mm diameter) for off-line analysis of particle-phase products. The samples were collected for 30–120 min at a flow rate of 25 L min^{-1} . Pretreatment of sample filters was conducted within 48 h of sampling. Sample filters were stored in a freezer until pretreatment. Each sample filter was extracted by sonication in 5 mL of methanol for 30 min. Each extract was concentrated under a gentle stream of dry nitrogen until dried. Each sample was reconstituted with 1-mL acetic acid/methanol/water solution ($v/v/v = 49.95/49.95/0.1$) and was then used as an analytical sample.

2.3 Instruments

The concentrations of gaseous compounds were measured by two GC-FIDs, an NO/NO_x analyzer, and an ultraviolet absorption ozone analyzer (Dasibi, Model 1003-AH). Furthermore, reactant and product volatile organic compounds (VOCs) were measured by a high sensitivity proton transfer reaction – mass spectrometer (PTR-MS; Ionicon).

Particle-size distribution between 28 and 730 nm was measured using two custom-made scanning mobility particle sizer (SMPS) spectrometers similar in design to those described elsewhere (Cocker et al., 2001). Particle number and volume were wall-loss corrected using the method of Bowman et al. (1997). Particle-mass loading was calculated assuming a unit density. The volatility of particles at 373 K was measured by a custom-made volatility tandem differential mobility analyzer (VTDMA) having a similar design as that described elsewhere (Qi et al., 2010).

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The off-line analysis of SOA particles was conducted by a high-pressure liquid chromatography – accurate mass (3 ppm) time-of-flight mass spectrometer (LC-TOF; Agilent, Model 6210). A 10- μ L aliquot of analytical sample was injected into the LC-TOF. The mobile phases used were 0.1% acetic acid aqueous solution (A) and 0.1% acetic acid methanolic solution (B). The total flow rate of mobile phases was set to 0.5 mL min⁻¹. The concentration of mobile phase B was set to 50% in the flow-injection analysis conducted without a column. On the other hand, in the column-injection analysis, the concentration of mobile phase B was set to 5% as an initial value and was linearly increased to 90% in 30 min. The column used was an octadecyl silica gel column (Agilent, 6.6 \times 150 mm Eclipse, XDB-C18); the temperature of the column was set to 298 K. Analytes were ionized by electrospray ionization (ESI) method in negative polarity mode; the temperature of the vaporizer was set to 448 K; nebulizer gas pressure was set to 276 kPa; corona current was set to 2 μ A; and fragmentor voltage was set to 160 V. The ions formed were analyzed by a time-of-flight mass spectrometer.

The real-time mass spectrum of SOA was measured by a time-of-flight aerosol mass spectrometer (ToF-AMS; Aerodyne); details of the instrument are explained elsewhere (Drewnick et al., 2005). Particles collected through an aerodynamic lens were vaporized by a heater at 873 K. Vaporized compounds were ionized by electron ionization (EI) and analyzed by the time-of-flight mass spectrometer in V mode. Data obtained was numerically analyzed by the ToF-AMS Analysis Toolkit program version 1.48 and ToF-AMS HR Analysis program version 1.07 (ToF-AMS Software Downloads, 2009).

3 SOA formation

3.1 Time profile

Figure 2a shows the time profiles of gaseous reactants and products obtained from an isoprene photooxidation experiment (EPA1078W). The concentrations of isoprene and NO decreased with irradiation time. Figure 2b shows a time profile of SOA mass

concentration obtained in the same experiment. The plotted SOA concentrations are values obtained after wall-loss correction. The SOA mass concentration increased to above a detectable level after most of the initial NO was consumed. Similar results were observed under lower NO_x conditions (EPA1069W and EPA1070E; NO_x/HC = 0.06–0.08) as well as in this experiment (NO_x/HC = 2.23). The SOA concentration continued to increase after most of the isoprene was consumed.

Figure 2c shows the time profiles of PTR-MS signals at *m/z* 69 and 71. Isoprene, having a molecular weight of 68 g mol⁻¹, was detected by PTR-MS as protonated ions at *m/z* 69. In the same figure, scaled GC-FID isoprene data is also plotted. The time profile at *m/z* 69 agreed with that of the relative isoprene concentration measured by GC-FID. Methacrolein and methyl vinyl ketone, produced as first-generation products during isoprene oxidation, were detected as protonated ions at *m/z* 71. Signal intensity at *m/z* 71 increased and then decreased due to the formation and subsequent reactions of these products. SOA concentration increased with decreasing signal intensity at *m/z* 71, suggesting that SOA particles are second- or higher-generation products of isoprene oxidation.

3.2 Time-dependent growth curve

Time-dependent SOA growth curves (i.e., SOA concentrations plotted as a function of the concentration of hydrocarbon reacted) are used for the analysis of the SOA formation mechanism (Sato et al., 2004; Chan et al., 2007; Kroll and Seinfeld, 2008). Time-dependent growth curves of the reactions with isoprene, 1,3-butadiene, and DMB are shown in Fig. 3. Only experiments with NO_x ranging from 249–267 ppb were included in Fig. 3 since the yield and the product distribution of SOA during isoprene photooxidation depend strongly on the initial NO_x concentration (Kroll et al., 2005, 2006; Surratt et al., 2006), Experiment 1078W (Fig. 2) was conducted with a NO₂ photolysis rate of only 0.12 min⁻¹; however, the signal at *m/z* 71, corresponding to methacrolein or methyl vinyl ketone, was still present when the experiment was finished. The NO₂ photolysis rate for all experiments in Fig. 3 was therefore raised in subsequent experiments

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to 0.40 min^{-1} to ensure complete consumption of m/z 71 within the limited duration of the experiments.

Figure 3a shows the time-dependent SOA growth curves of the reaction with isoprene. All SOA formation curves were or nearly were vertical because the majority of SOA production occurred continued after most of the isoprene was consumed. Similar results were obtained in the range of 37–240 ppb of the initial isoprene concentration. These results confirm that SOA is generated from a second- or higher-generation product. Vertically increasing growth curves are observed when the rate of precursor VOC oxidation is much higher than that following the oxidation of products leading to SOA formation (Kroll and Seinfeld, 2008). This is consistent with the OH reaction rate of isoprene being 3.2 and 5.5 times as high as that of methacrolein and methyl vinyl ketone, respectively (Atkinson, 1986). SOA yield, calculated using the maximum value of SOA concentration, was 0.077–0.103.

Similar results were obtained in an experiment with 1,3-butadiene (Fig. 3b). The measured SOA yield was 0.089–0.178 in the range of 41–269 ppb of diene concentration, close to or slightly higher than the results for isoprene. To our knowledge, this is the first study in which the SOA yield from the reaction of 1,3-butadiene was measured by changing the reactant concentration. SOA signals were detected in the experiments with DMB in the range of 115–291 ppb of diene concentration, but the measured SOA yield (0.003–0.007) was significantly lower than that of isoprene and 1,3-butadiene (Fig. 3c).

As listed in Table 1, the SOA yield measured in all the present isoprene/ $\text{NO}_x/\text{H}_2\text{O}_2$ experiments at 300 K was 0.015–0.103, whereas that measured in the absence of H_2O_2 was 0.006. Literature values of SOA yields in isoprene/ $\text{NO}_x/\text{H}_2\text{O}_2$ and isoprene/ NO_x systems were 0.049–0.080 (Surratt et al., 2006) and 0.002–0.049 (Kroll et al., 2005; Dommen et al., 2006), respectively. Results from different laboratories cannot be directly compared due to the difference in reactor characteristics; however, the SOA yield values measured in the presence and absence of H_2O_2 were close to literature values. Both the present and previous studies show that the SOA yield

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measured in the absence of H_2O_2 was lower than that in the presence of H_2O_2 . In the absence of an OH radical source, SOA formation that is not completed within the experimental duration and/or wall deposition loss of semi-volatile compounds (see, e.g., Loza et al., 2010) are significant.

4 Chemical composition of SOA

4.1 LC-TOF mass spectrum

Flow-injection analysis of SOA off-line samples was conducted by LC-TOF (Fig. 4). The results obtained by experiment with isoprene at 300 K (EPA1108W) are shown in Fig. 4a. A series of oligomer signals with regular mass difference of 102 amu was found at m/z 119, 221, and 323 (series 1A). Similar oligomer signals were found at m/z 266, 368, 470, 572, 674, 776 (series 2A); m/z 249, 351, 453, 555, 657, 759 (series 3A); and m/z 263, 365, 467, 569, 671, 773 (series 4A). The mass numbers of these oligomer signals agreed with those measured in previous studies (Surratt et al., 2006; Chan et al., 2010). In this study, a new series of oligomer signals with a regular mass difference of 102 amu was observed at m/z 327, 429, 531, 633, and 735 (series 5A). Mass signals of all 1A–5A oligomers were also detected in an experiment without using hydrogen peroxide as an additional OH radical source (EPA1087W). Very low or no signals of 1A–5A oligomers were observed in experiments conducted under lower NO_x conditions (EPA1069W and EPA1070E).

The results of an experiment with isoprene at 278 K (EPA1148W) are shown in Fig. 4b. Mass signals were found at the same mass numbers as those found in experiments at 300 K. The ratio of the total signals of 5A oligomers to the total signals of other 1A–4A oligomers measured at 278 K increased compared with that at 300 K.

Figure 4c shows the results of an experiment with isoprene- $1\text{-}^{13}\text{C}$ (EPA1082W). All mass signals corresponding to 1A–5A oligomers found in the experiments with isoprene were observed; however, all mass peaks had shifted due to the substitution

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by ^{13}C atoms. For example, mass signals corresponding to series 2A were detected at m/z 268, 371, and 474. Mass numbers 268, 371, and 474 were 2, 3, and 4 amu larger than those of the corresponding mass signals measured in the experiments with isoprene; these mass signals were identified as a dimer, a trimer, and a tetramer, respectively. The regular mass difference of all 1A–5A oligomers was 103 amu in the experiment with isoprene-1- ^{13}C .

The results of an experiment with 1,3-butadiene (EPA1094W) are shown in Fig. 4d. A series of oligomer signals with regular mass difference of 88 amu was found at m/z 105, 193, 281, 369, 457, and 545 (series 1B). Another series of oligomer signals with a regular mass difference of 88 amu was also observed at m/z 387, 475, 563, 651, and 739 (series 5B). In an experiment with DMB (EPA1104W), four series of oligomer signals were observed and identified as series 1A–4A observed in the experiments with isoprene (Fig. 4e).

4.2 LC-TOF base peak chromatogram

To confirm the presence of oligomers in the off-line sample solution, column injection analysis was conducted (Fig. 5). The base peak chromatogram measured in the experiment with isoprene (EPA1058E) is shown in Fig. 5a. Mass numbers illustrated with black, red, green, blue, and orange ink correspond to oligomer series 1A, 2A, 3A, 4A, and 5A, respectively. Chromatographic peaks of each oligomer series with different mass numbers were successfully separated in the column-injection analysis; signals with different mass numbers were obtained from molecules with different degrees of oligomerization. These results confirm that the observed mass signals with high mass numbers were not produced during the ionization process, and oligomers were originally present in the off-line sample solution. The sample solution obtained in EPA1058E and its 1/5, 1/25, and 1/125 diluted samples were analyzed to measure chromatographic peak areas as a function of relative concentration. Linear relationships were observed between chromatographic peak area and relative concentration for all observed oligomers ($r > 0.998$, $p < 0.002$).

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Figure 5b shows the results of the experiment with isoprene at 278 K (EPA1148W). The ratio of the total peak area of 5A oligomers to the total peak area of other 1A–4A oligomers measured at 278 K increased compared with that at 300 K. The results of the experiment with 1,3-butadiene (EPA1132W) are shown in Fig. 5c. Chromatographic peaks of 1B and 5B oligomers measured in experiments with 1,3-butadiene were also successfully separated.

4.3 Identification of products

Accurate masses were measured for ions resulting from column-separated oligomers. Measured accurate mass, suggested ion formula, and identified product molecular structure are shown in Table 2. Generally, analyte molecules are deprotonated to form quasimolecular ions ($[M-H]^-$) during the negative-mode ESI process. For product identification, all detected ions in this study were assumed to be deprotonated ions. All ion formulae suggested for oligomer series 1A–4A in the present study agreed with those suggested in a recent study by Chan et al. (2010). The products of series 1A, 2A, 3A, and 4A were identified as 2-methylglyceric acid oligoesters and their mononitrates, monoformates, and monoacetates, respectively. The products of series 5A were identified as oligomers produced by the dehydration reaction between nitrooxypolyol and 2-methylglyceric acid monomer or its oligomer. It is believed that the 2-methyltetrols found in ambient fine particles under high NO_x conditions are produced by the decomposition of nitrooxypolyols produced by atmospheric oxidation of isoprene (Claeys et al., 2010; Sato, 2008). Nitrooxypolyols and 2-methylglyceric acid would be produced in the particle phase by the hydrolysis of 5A oligomers under ambient humid conditions.

The products of series 1B formed from 1,3-butadiene oxidation were identified as glyceric acid monomer and its oligomers. Products of series 5B were identified as oligoesters produced by the dehydration reaction between nitrooxypolyol and glyceric acid monomer or its oligomer.

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4.4 AMS mass spectrum

To determine if oligomers are present in suspended SOA particles, particles were directly measured by ToF-AMS. Raw mass spectra, obtained by averaging the data collected for 3-h measurements, are shown in Fig. 6. The results obtained in the experiment with isoprene (EPA1170W) are shown in Fig. 6a. Strong mass signals were observed at m/z 103, 131, 145, 205, 233, and 247. The mass difference between m/z 103 and 205, between m/z 131 and 233, and between m/z 145 and 247 was 102 amu. Chan et al. (2010) reported that positive fragment ions formed from the dehydroxylation of 1A, 3A, and 4A oligomers ($[M-OH]^+$) were observed by ToF-AMS. Mass signals at m/z 103, 131, and 145 were identified as dehydroxylated ions of 1A, 3A, and 4A monomers, whereas mass signals at m/z 205, 233, and 247 were identified as dehydroxylated ions of 1A, 3A, and 4A dimers (Table 2). The results of the experiment with isoprene-1- ^{13}C (EPA1082W) are shown in Fig. 6b. In the experiment with the isotopomer, the mass numbers of the monomer and dimer peaks shifted by 1 and 2 amu, respectively. These ToF-AMS results confirm that oligomers detected by LC-TOF off-line analysis are present in SOA particles.

If dehydroxylated fragment ions of 1B oligomers formed from 1,3-butadiene oxidation can be detected by ToF-AMS, mass signals with a regular mass difference of 88 amu would be expected to appear at m/z 89, 177, and 265 (Table 2). The results of the experiment with 1,3-butadiene (EPA1094W) are shown in Fig. 6c. Mass signals were detected at these predicted mass numbers, but the peaks are not as strong when compared with the oligoester mass signals observed in the experiments with isoprene.

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5 Proposed reaction mechanism

5.1 Isoprene and 1,3-butadiene

The proposed mechanism of oligomer formation from the reactions of isoprene and 1,3-butadiene is shown in Fig. 7. In the figure, R₁ represents a methyl group or a hydrogen atom for the reaction of isoprene or 1,3-butadiene, respectively. The atmospheric oxidation of isoprene proceeds through the reaction with OH radicals, NO₃ radicals, and O₃ although the major daytime oxidant is the OH radical (Yokouchi, 1994). Hydroperoxy radicals formed from the OH + isoprene reaction react with NO to form methacrolein (e.g., Miyoshi et al., 1994) and unsaturated nitroxyalcohols (Chen et al., 1998; Lockwood et al., 2010). The subsequent oxidation of methacrolein produces hydroxynitroxy-MPAN; it is suggested that this product undergoes heterogeneous oxidation to form 2-methylglyceric acid and 2-methylglyceric acid mononitrate in the particle phase (Surratt et al., 2010; Chan et al., 2010). Subsequent oxidation of nitroxyalcohols leads to the formation of nitrooxypolyols, which are then absorbed into the particle phase (Sato, 2008).

2-Methylglyceric acid has both a hydroxyl and a carboxyl group in its molecules. The dehydration reaction between the hydroxyl group of one molecule and the carboxyl group of another molecule produces ester dimers. Successive condensation reactions result in the formation of oligomers of series 1A (Surratt et al., 2006). Oligomers of series 2A are produced when one of these monomers is 2-methylglyceric acid mononitrate. The condensation reactions between oligomers of series 1A and formic acid (or acetic acid) form oligomers of series 3A (or those of series 4A). Oligomers of series 5A are produced by the condensation reaction between nitrooxypolyols and 2-methylglyceric acid monomer or its oligomer.

The ratio of total peak area of nitrooxypolyol-involving oligoesters (series 5A) to the total peak area of other oligoesters (series 1A–4A) measured at 278 K increased compared with that at 300 K. According to the proposed mechanism, the formation of nitrooxypolyol and 2-methylglyceric acid is branched during the reaction of

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hydroxyperoxy radical with NO. The reaction of organic peroxy radical (RO₂) with NO is believed to produce the ROONO* complex; this complex decomposes to RO + NO₂ or isomerizes and then undergoes collisional stabilization to form organic nitrate (Darnall et al., 1976):



In the case of isoprene, reaction (R1) is followed by 2-methylglyceric acid formation; and reaction (R2) is followed by nitrooxypolyol formation. According to Darnall et al. (1976), the branching ratio of nitrooxypolyols increases with decreasing temperature.

Series 2A and 5A oligomers involving nitrooxy groups are expected to be detected as nitrates by high-resolution ToF-AMS. Therefore, the ratio of nitrates to organics (HRNO₃/HROrg) measured by ToF-AMS at 278 K would be expected to be higher than 300 K. This is confirmed as HRNO₃/HROrg ratio measured at 278 K (0.07–0.14) was higher than 300 K (0.03–0.05).

Oligomers of series 1B and 5B, found during the reaction of 1,3-butadiene, are produced through similar reactions to those resulting in the formation of 1A and 5A oligomers, respectively. Oligomers corresponding to series 2A, 3A, and 4A were not detected in the reaction of 1,3-butadiene.

20 5.2 Isoprene-1-¹³C and DMB

The photooxidation of isoprene-1-¹³C produces ¹³C atom labeled methacrolein and non ¹³C labeled methyl vinyl ketone (Fig. 8a). According to the proposed mechanism, 2-methylglyceric acid monomer is produced by the heterogeneous reaction of methacrolein. If this is the case, then the ¹³C atom is involved in the production of every C₄ monomer. Actually, the regular mass difference of all 1A–5A oligomers found in the experiment with isoprene-¹³C was 103 amu, which is 1 amu larger than that observed

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in the reaction of isoprene (Fig. 4a and c). In addition, mass differences between monomer and dimer observed by ToF-AMS were also 103 amu (Fig. 6b). These results support the proposed mechanism in which the gaseous intermediate of oligoester formation is assumed to be methacrolein.

5 The unsaturated ketone (2-methyl-1-butene-3-one) is produced as a primary product of DMB oxidation; however, no unsaturated aldehyde is produced from DMB oxidation. Oligomers of series 1A–4A were found to be present in SOA particles from DMB (Fig. 4e), suggesting that 2-methylglyceric acid is also produced in the particle phase during DMB photooxidation. If we assume that 2-methylglyceric acid is produced by the
10 reaction of 2-methyl-1-butene-3-one, a ketone group needs to be converted to a carboxyl group. However, the oxidation of a ketone group is very slow. This is congruent with the small yield of SOA from photooxidation of DMB since unsaturated aldehydes are not produced as primary products.

6 Check of proposed mechanism

15 6.1 Low mass loading experiment

Oligoesters are produced through gas/particle absorption of semi-volatile compounds followed by particle-phase oligomerization. Since the equilibrium of gas/particle partitioning is determined by existing particle mass concentration, the concentration of oligoesters produced will depend on the SOA mass loading in the reactor. Most previous studies have detected the presence of oligomers in SOA particles under higher
20 mass loading conditions than ambient levels (e.g., Dommen et al., 2006; Surratt et al., 2006). In this study, we investigate whether or not oligomers can be detected at ambient particle levels using our environmental chamber designed for low-concentration experiments. SOA mass loading was controlled by changing the initial isoprene concentration between 20 and 573 ppb, while maintaining the initial isoprene/NO_x ratio
25 within the range 0.6–0.9 (EPA1058W, EPA1058E, EPA1060W, EPA1060E, EPA1061W,

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and EPA1061E). Figure 9 shows the oligomer distribution for series 1A, 2A, and 5A determined by LC-TOF analysis at mass loadings of 26, 19, and $3 \mu\text{g m}^{-3}$. Signals of 1A–5A oligomers were detected even at the lowest SOA mass loading of all six experiments. These results confirm that semi-volatile compounds resulting in oligoester formation are sufficiently absorbed into the particle phase even at $3 \mu\text{g m}^{-3}$ of SOA mass loading.

6.2 Time profiles of oligomers

According to the proposed mechanism, oligoesters are produced by successive condensation reactions of 2-methylglyceric acid in the particle phase. In a previous study, concentrations of oligomers at different degrees of oligomerization were monitored by AMS to examine particle-phase oligomerization (Surratt et al., 2006). Time profiles of oligoesters were also monitored by ToF-AMS in this study. Figure 10a shows the time profiles of m/z 103 and 205 signals measured by ToF-AMS in the experiment with isoprene (EPA1070W). The monitored signals correspond to monomers and dimers of series 1A. No clear difference was observed between the time profiles of monomers and dimers after 150 min. Trimer or higher oligomers were not detected, probably because these oligomers undergo dissociative ionization during the EI process of ToF-AMS in this study. Therefore, monomer and dimer signals measured by ToF-AMS are significantly affected by fragment ions formed from the ionization of higher oligomers.

Time profiles of particle-phase products have also been studied by analyzing multiple off-line samples collected at different reaction times (e.g., Hatakeyama et al., 1985; Jang and Kamens, 2001). We collected hourly filter samples (6 total) to study the time profiles of oligomers in an isoprene experiment (EPA1078E). The concentrations of 2-methylglyceric acid monomer and its oligomers, determined by LC-TOF analysis, are plotted as a function of time (Fig. 10b); the vertical axis is the relative concentration on a linear scale. Each determined concentration value involves about 15% of uncertainty, mainly resulting from the uncertainty of recovery during sample extraction. The concentrations of monomer (m/z 119) and dimer (m/z 221) increased above

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detectable levels at 60–120 min, and continued to increase until 180–240 min. After that, these concentrations maintained a constant level or decreased slightly. In contrast, the concentrations of trimer or higher oligomers increased monotonically with time. These results indicate that the production of trimer or higher oligomers continues in the particle phase even after monomer and dimer formation is complete. In other words, oligoesters are produced by particle-phase successive reactions as assumed in the proposed mechanism.

6.3 Lights-off experiment

According to the proposed mechanism, 2-methylglyceric acid is produced by heterogeneous reactions initiated by the gas-phase OH + methacrolein reaction. If this is the case, no 2-methylglyceric acid monomer is produced in the absence of irradiation. In order to study the lights-off effect, we conducted experiments with isoprene in which black lights were turned off after 178 min of irradiation time (EPA1090W and EPA1090E). The results of EPA1090W are shown in Fig. 11. When the lights were turned off, PTR-MS results showed that most of the isoprene (m/z 69) was consumed by the reaction, whereas a substantial amount of methacrolein (m/z 71) was still present in the reaction system (Fig. 11a). At that time, SOA particles had already been produced (Fig. 11b). The SOA concentration increased even after the lights were turned off, suggesting that SOA is formed from the reactions of gaseous oxidation products with NO_3 radicals or O_3 during this period. The filter was changed every 60 min, and five SOA filter samples in total were collected during this experiment (Fig. 11c). Again, the concentration data involved about 15% uncertainty. Monomer and all detected oligomers (i.e., dimer, trimer, and tetramer) increased until after the lights were turned off. After that, the concentrations of monomer and oligomers were maintained at constant values or decreased slightly with time; these results are clearly different from those of the irradiated experiments in which trimer and tetramer concentrations increased monotonically with time. Although oligoester formation was suppressed after the lights were turned off, there was a time lag between the lights-off and

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the suppression of oligoester formation, probably because the slow decomposition of hydroxynitrooxy-MPAN.

A new product signal was found at m/z 509 by LC-TOF in samples collected after the lights were turned off. The accurate mass of these ions was 509.0859 amu; the suggested ion formula is $C_{12}H_{21}N_4O_{18}^-$. The same product was observed in SOA formed from the NO_3 + isoprene reaction (Ng et al., 2008). This product is therefore likely produced by the reaction of an isoprene oxidation product with NO_3 radical.

7 Temperature dependence of SOA yield

In this study, experiments with isoprene were conducted at 300 K (EPA1078W and EPA1078E) and 278 K under similar reactant concentration conditions (EPA1148W and EPA1148E). NO_2 photolysis rates in experiments at 300 and 278 K were set to 0.12 min^{-1} . Initial isoprene concentrations of experiments at 300 K (EPA1078W) and 278 K (EPA1048W) were 115 and 132 ppb, respectively (Fig. 12a). The SOA yield measured at 278 K (0.105–0.152) was about 2–3 times as high as that measured at 300 K (0.047–0.053), as shown in Fig. 12b. Even though oligomerization occurs in the particle phase, the temperature dependence of SOA yield is largely explained by the gas/particle partitioning of semi-volatile compounds. The volume fraction remaining (VFR) measured at 300 K (0.45–0.56) was higher than that measured at 278 K (0.26–0.43), as shown in Fig. 12c, suggesting that the volatility of SOA formed from the reaction at a low temperature was higher than the reaction at room temperature. However, the change in SOA yield cannot be solely explained by changes in the VFR (SOA yield increased 2–3 times while the volatility change by less than a factor of 2), probably because oligomer formation/decomposition processes in the particle phase also affect to the changes in SOA yield and VFR.

Further, changes in chemical composition of the products depending on temperature were observed in this study. This is the other reason for the temperature difference in SOA yield. The changes in chemical composition coupled with different gas/particle partitioning, and could help to explain total difference in the SOA yield.

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In this study, SOA formation from the photooxidation of isoprene, isoprene-1-¹³C, 1,3-butadiene, and DMB was investigated under high NO_x conditions. The SOA yield measured for the reaction of 1,3-butadiene was close to or slightly higher than that measured for the reaction of isoprene, suggesting that the photooxidation of 1,3-butadiene is a potential source of SOA in urban air. On the other hand, only a very small amount of SOA was produced during the photooxidation of DMB.

Oligoesters were observed as major particle-phase products in experiments with all dienes examined. During the photooxidation of conjugated dienes under high NO_x conditions, oligoesters are produced by a heterogeneous reaction of unsaturated aldehyde, which is a gas-phase oxidation product. The results of an experiment with isoprene-1-¹³C confirmed the proposed mechanism in which unsaturated aldehyde is assumed to be a key intermediate of oligoester formation. This mechanism was also confirmed by the reaction of DMB: only a very small amount of SOA was produced during DMB photooxidation, in which no unsaturated aldehyde is formed.

Oligoesters produced by the dehydration reaction between nitrooxypolyol and 2-methylglyceric acid monomer or its oligomer (oligomer series 5A) were discovered in the experiments with isoprene. These oligomers are possible sources of 2-methyltetrols found in ambient aerosol samples collected under high NO_x conditions.

The proposed mechanism was also checked by measurements of oligomer signals as functions of SOA mass loading and irradiation time. Oligoester formation was confirmed to occur even under low SOA mass loading conditions (> 3 μg m⁻³). Time profiles of oligomers, measured by off-line analysis, suggested that oligomerization involves successive reactions as assumed in the proposed mechanism. Oligoester formation was suppressed when the lights were turned off, which is consistent with the proposed mechanism in which an unsaturated aldehyde intermediate is assumed to react with OH radicals to form oligoesters in the particle phase.

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This is the first study to investigate the temperature dependence of SOA formation from isoprene photooxidation. The ratio of total chromatographic peak area of nitrooxypolyol-involving oligoesters (series 5A) to total peak area of other oligoesters measured at 278 K increased compared to that at 300 K. The SOA yield measured at 278 K was higher than that measured at 300 K; the temperature dependence of SOA yield is largely explained by gas/particle partitioning of semi-volatile compounds even though oligomerization occurs in the particle phase.

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Table 1. Experimental conditions and results.

Run ^a	Compound	[Diene] ₀ (ppb)	[NO _x] ₀ (ppb)	Diene reacted (μg m ⁻³)	SOA ^b (μg m ⁻³)	SOA yield	Note
EPA1058W	ISO	573	940	1595	24	0.015	c
EPA1058E	ISO	255	398	710	26	0.037	c
EPA1060W	ISO	145	240	403	28	0.069	c
EPA1060E	ISO	66	103	184	19	0.103	c
EPA1061W	ISO	42	50	116	9	0.077	c
EPA1061E	ISO	20	22	55	3	0.055	c
EPA1068W	ISO	115	44	320	12	0.038	c
EPA1068E	ISO	49	42	136	7	0.052	c
EPA1069W	ISO	552	43	1350	25	0.019	c
EPA1069E	ISO	247	42	688	16	0.023	c
EPA1070W	ISO	957	907	2663	136	0.051	c
EPA1070E	ISO	965	56	1734	32	0.018	c
EPA1072W	BD	106	333	234	5	0.021	c
EPA1072E	BD	51	146	112	4	0.036	c
EPA1078W	ISO	115	257	320	15	0.047	c
EPA1078E	ISO	115	257	320	17	0.053	c
EPA1082W	ISO-13C	317	275	881	50	0.057	c
EPA1082E	ISO-13C	376	273	1046	46	0.044	c
EPA1087W	ISO	338	315	939	6	0.006	c,d
EPA1087E	ISO	244	250	678	36	0.053	c
EPA1090W	ISO	199	343	555	9	0.016	c,e

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Table 1. Continued.

Run ^a	Compound	[Diene] ₀ (ppb)	[NO _x] ₀ (ppb)	Diene reacted (μg m ⁻³)	SOA ^b (μg m ⁻³)	SOA yield	Note
EPA1090E	ISO	197	339	548	6	0.011	c,e
EPA1094W	BD	269	267	594	66	0.111	f
EPA1094E	BD	112	267	247	22	0.089	f
EPA1104W	DMB	250	258	839	4	0.005	f
EPA1104E	DMB	115	259	386	1	0.003	f
EPA1108W	ISO	240	256	668	63	0.094	f
EPA1108E	ISO	115	256	320	33	0.103	f
EPA1115W	DMB	291	267	976	7	0.007	f
EPA1115E	ISO	37	268	103	8	0.077	f
EPA1132W	BD	214	249	473	84	0.178	f
EPA1132E	BD	41	250	91	11	0.121	f
EPA1137W	BD	227	275	501	22	0.044	d,f
EPA1137E	BD	228	276	504	30	0.060	d,f
EPA1148W	ISO	132	297	368	56	0.152	c,g
EPA1148E	ISO	133	300	371	39	0.105	c,g

^a Typical experiments were conducted in the presence of ~3 ppm H₂O₂ at 300 ± 1 K, unless otherwise specified.

^b SOA mass was converted from SMPS volume assuming 1 g cm⁻³ density.

^c NO₂ photolysis rate was set to 0.12 min⁻¹.

^d No hydroxyl radical source (H₂O₂) was used.

^e Lights-off experiment.

^f NO₂ photolysis rate was set to 0.40 min⁻¹.

^g Low-temperature experiment at 278 K.

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Table 2. Oligoesters detected by LC-TOF and AMS.

	<i>n</i>	LC-TOF measured [M–H] [–]	LC-TOF suggested formula	Error (ppm)	AMS measured [M–OH] ⁺	AMS suggested formula	Structure
Products of ISO or DMB							
Series 1A	1	119.0349	C ₄ H ₇ O ₄ [–]	8.5	103	C ₄ H ₇ O ₃ ⁺	
	2	221.0665	C ₈ H ₁₃ O ₇ [–]	4.2	205	C ₈ H ₁₃ O ₆ ⁺	
	3	323.0978	C ₁₂ H ₁₉ O ₁₀ [–]	1.6			
	4	425.1281	C ₁₆ H ₂₅ O ₁₃ [–]	–2.0			
	5	527.1582	C ₂₀ H ₃₁ O ₁₆ [–]	–4.7			
Series 2A	1	ND					
	2	266.0515	C ₈ H ₁₂ NO ₉ [–]	3.2			
	3	368.0832	C ₁₂ H ₁₈ NO ₁₂ [–]	2.3			
	4	470.1149	C ₁₆ H ₂₄ NO ₁₅ [–]	1.8			
	5	572.1464	C ₂₀ H ₃₀ NO ₁₈ [–]	1.2			
Series 3A ^a	1	ND			131	C ₅ H ₇ O ₄ ⁺	
	2	249.0615	C ₉ H ₁₃ O ₈ [–]	4.0	233	C ₉ H ₁₃ O ₇ ⁺	
	3	351.0928	C ₁₃ H ₁₉ O ₁₁ [–]	1.7			
	4	453.1245	C ₁₇ H ₂₅ O ₁₄ [–]	1.4			
	5	555.1560	C ₂₁ H ₃₁ O ₁₇ [–]	0.8			
Series 4A ^b	1	ND			145	C ₆ H ₉ O ₄ ⁺	
	2	263.0768	C ₁₀ H ₁₅ O ₈ [–]	2.5	247	C ₁₀ H ₁₅ O ₇ ⁺	
	3	365.1080	C ₁₄ H ₂₁ O ₁₁ [–]	0.4			
	4	467.1394	C ₁₈ H ₂₇ O ₁₄ [–]	–0.3			
	5	569.1706	C ₂₂ H ₃₃ O ₁₇ [–]	–1.1			

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Table 2. Continued.

	<i>n</i>	LC-TOF measured [M–H] [−]	LC-TOF suggested formula	Error (ppm)	AMS measured [M–OH] ⁺	AMS suggested formula	Structure
Series 5A ^c	1	ND					
(Newly found)	2	327.0683	C ₉ H ₁₅ N ₂ O ₁₁ [−]	3.9			
	3	429.0989	C ₁₃ H ₂₁ N ₂ O ₁₄ [−]	0.4			
	4	531.1302	C ₁₇ H ₂₇ N ₂ O ₁₇ [−]	−0.4			
Products of BD							
Series 1B	1	105.0181	C ₃ H ₅ O ₄ [−]	−1.3	89	C ₃ H ₅ O ₃ ⁺	
	2	193.0336	C ₆ H ₉ O ₇ [−]	−3.5	177	C ₆ H ₉ O ₆ ⁺	
	3	281.0491	C ₉ H ₁₃ O ₁₀ [−]	−4.4	265	C ₉ H ₁₃ O ₉ ⁺	
	4	369.0650	C ₁₂ H ₁₇ O ₁₃ [−]	−3.7			
	5	457.0819	C ₁₅ H ₂₁ O ₁₆ [−]	−1.1			
Series 5B	1	ND					
(Newly found)	2	299.0364	C ₇ H ₁₁ N ₂ O ₁₁ [−]	2.2			
	3	387.0516	C ₁₀ H ₁₅ N ₂ O ₁₄ [−]	−0.2			
	4	475.0681	C ₁₃ H ₁₉ N ₂ O ₁₇ [−]	0.6			
	5	563.0844	C ₁₆ H ₂₃ N ₂ O ₂₀ [−]	0.9			

^a This oligoester series involves esterification with formic acid.

^b This oligoester series involves esterification with acetic acid.

^c This series was only produced by the reaction of isoprene and was not produced by the reaction of DMB.

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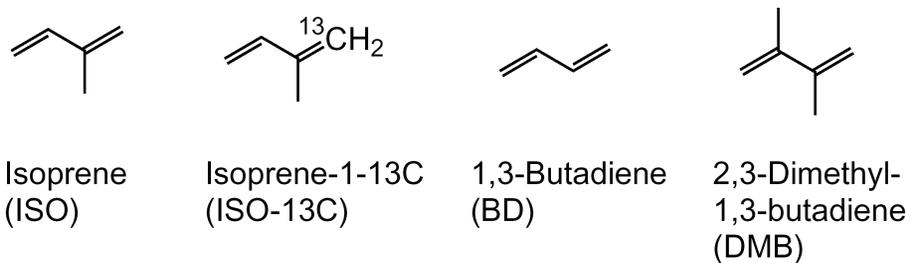


Fig. 1. Conjugated dienes used in this study.

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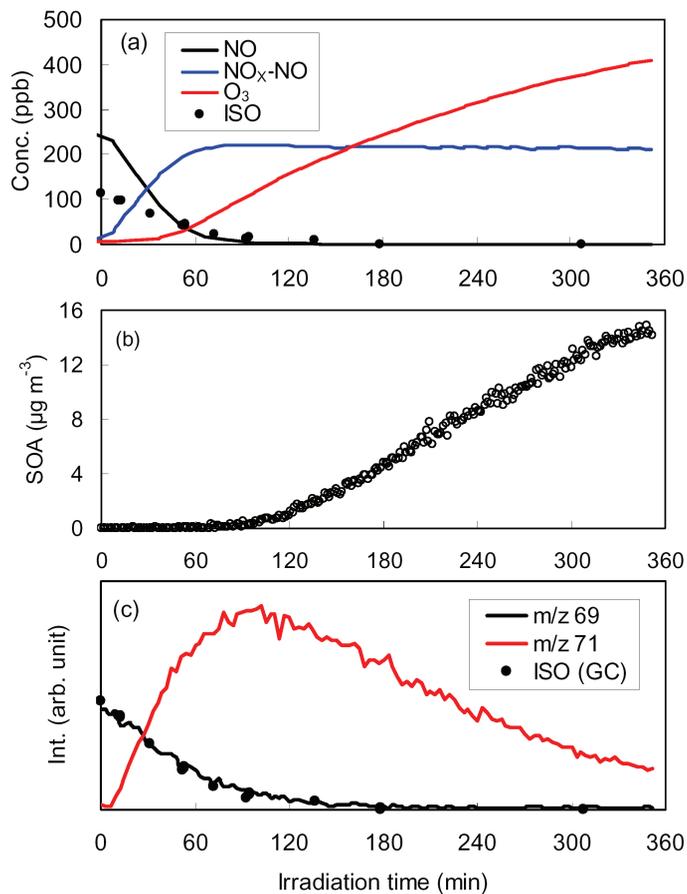


Fig. 2. Time profiles of **(a)** gas concentration, **(b)** SOA mass concentration, and **(c)** PTR-MS signals during isoprene/NO_x/H₂O₂ experiment at 300 K (EPA1078W). The NO₂ photolysis rate for this run was 0.12 min⁻¹.

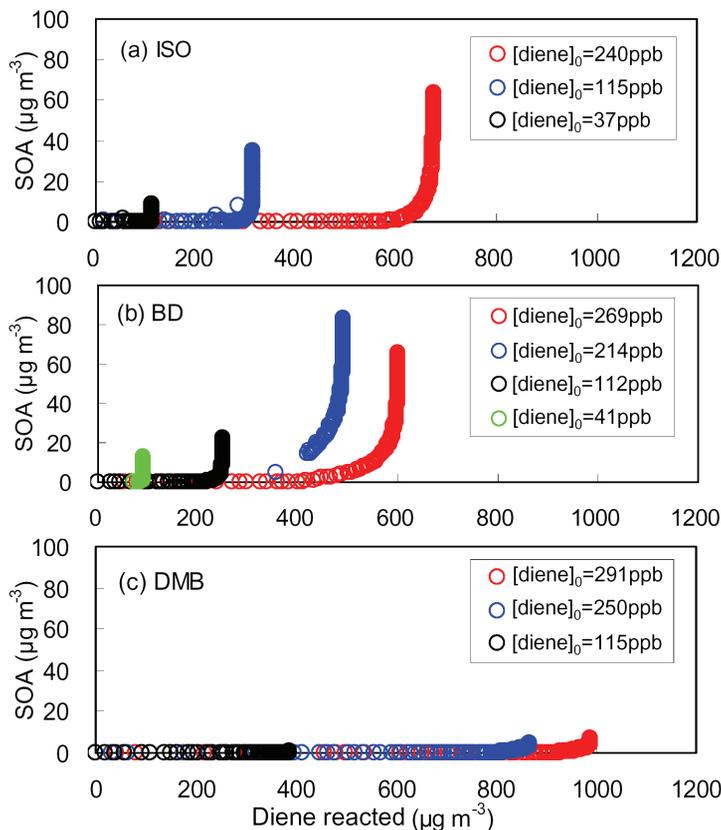


Fig. 3. Time-dependent SOA growth curves measured in diene/ NO_x / H_2O_2 experiments with **(a)** isoprene (EPA1108W, EPA1108E, EPA1115E), **(b)** 1,3-butadiene (EPA1094W, EPA1094E, EPA1132W, EPA1132E), and **(c)** DMB (EPA1104W, EPA1104E, EPA1115W). All results plotted were obtained at initial NO_x concentrations of 249–267 ppb and at an NO_2 photolysis rate of 0.40 min^{-1} .

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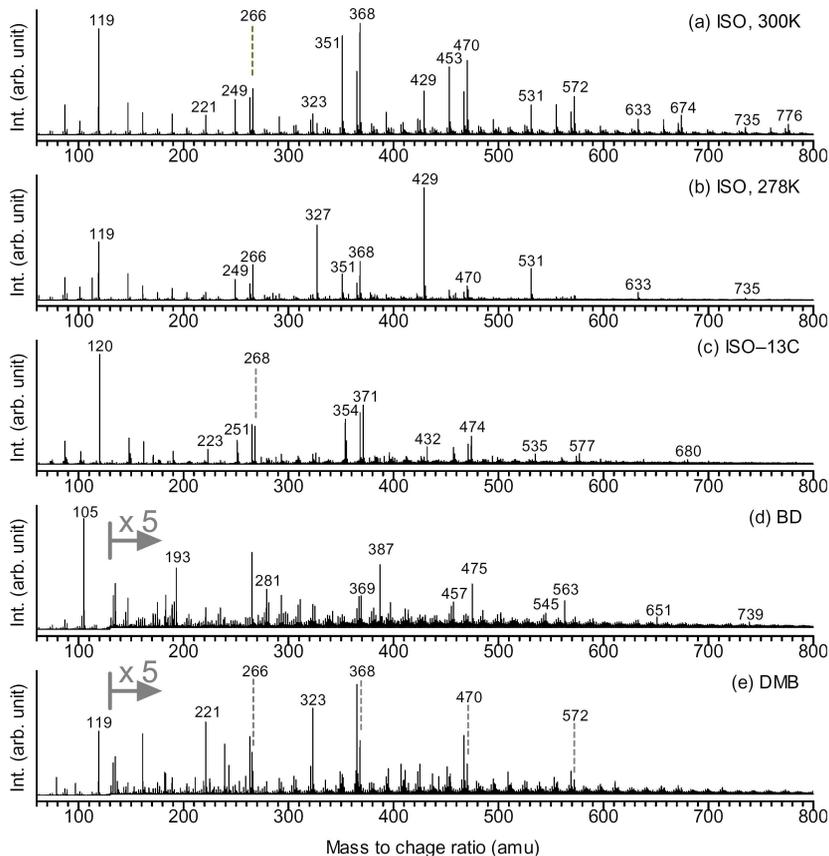


Fig. 4. Flow-injection LC-TOF mass spectra of off-line SOA samples obtained during the photooxidation of (a) isoprene at 300 K (EPA1108W), (b) isoprene at 278 K (EPA1148W), (c) isoprene-1-¹³C (EPA1082W), (d) 1,3-butadiene (EPA1094W), and (e) DMB (EPA1104W).

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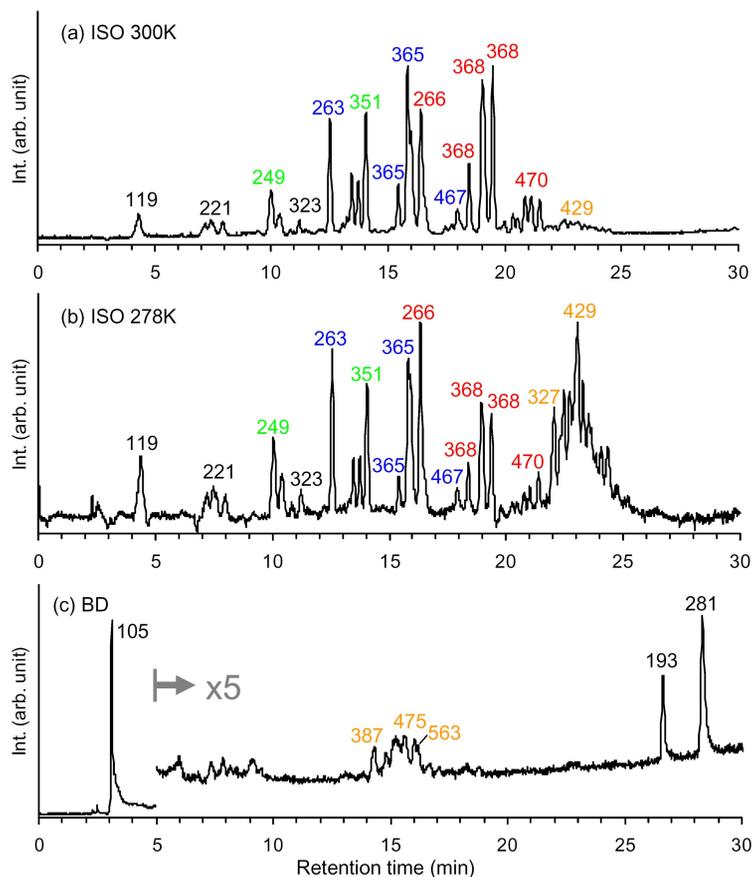


Fig. 5. Typical LC-TOF base peak chromatograms of SOA formed from the photooxidation of **(a)** isoprene at 300 K (EPA1058E), **(b)** isoprene at 278 K (EPA1148W), and **(c)** 1,3-butadiene (EPA1132W).

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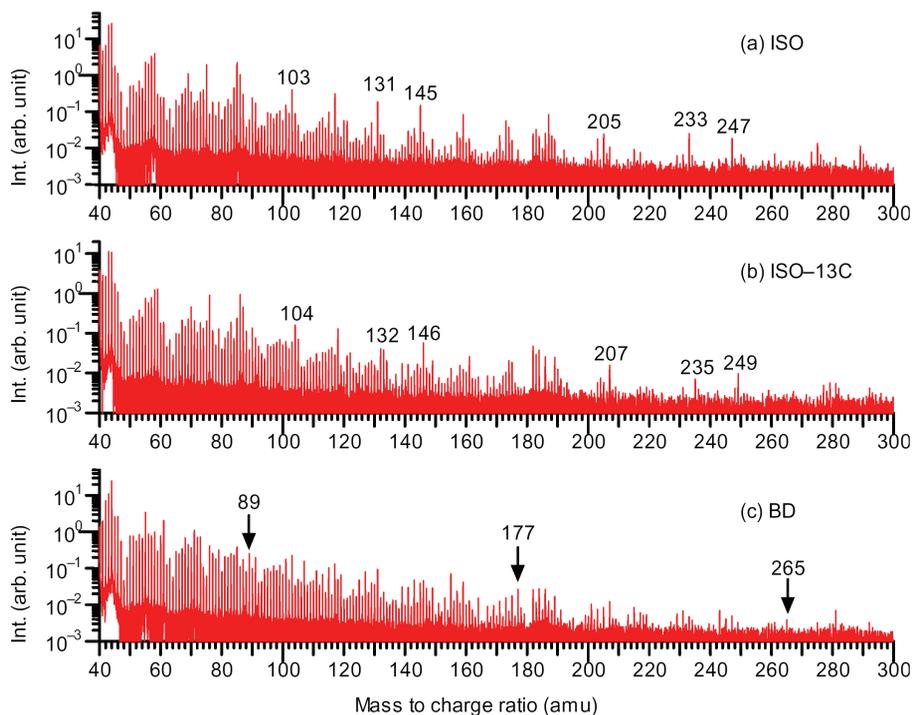


Fig. 6. Typical TOF-AMS raw mass spectra of SOA formed from the photooxidation of **(a)** isoprene (EPA1170W), **(b)** isoprene- $1\text{-}^{13}\text{C}$ (EPA1082W), and **(c)** 1,3-butadiene (EPA1094W).

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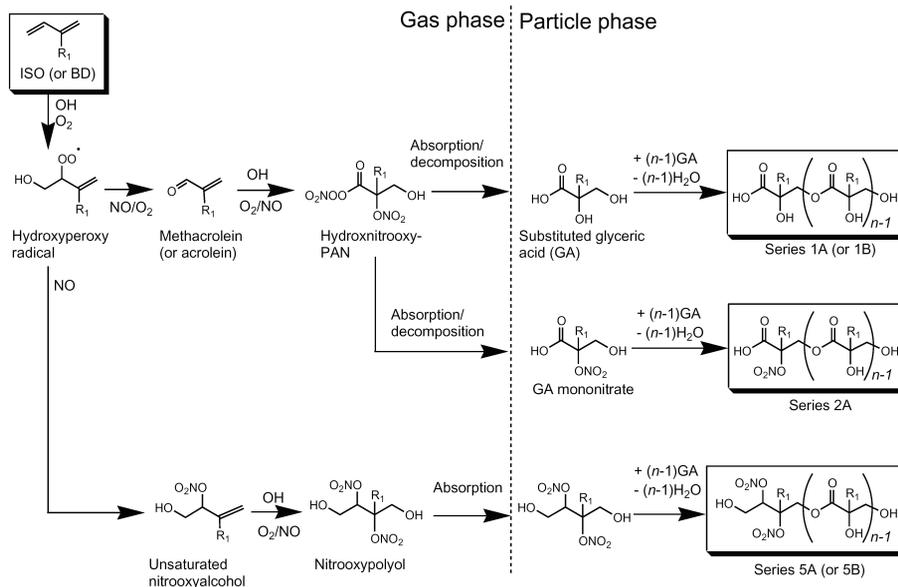


Fig. 7. Proposed mechanism of particle-phase product formation from the photooxidation of isoprene and 1,3-butadiene in the presence of NO_x . R_1 is a methyl group (isoprene) or a hydrogen atom (1,3-butadiene).

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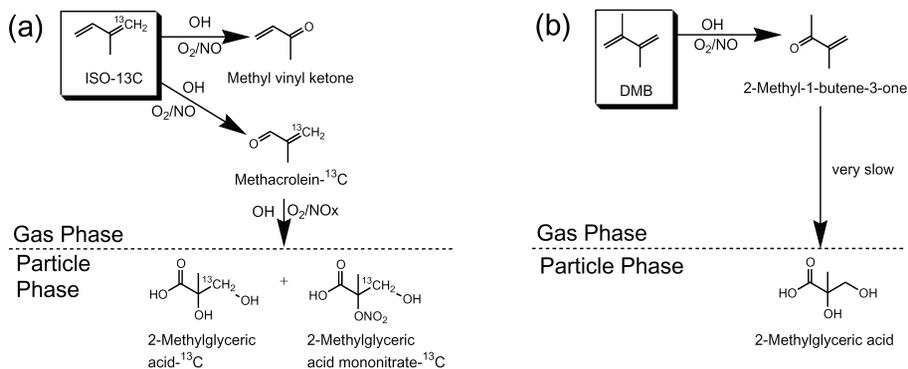


Fig. 8. Proposed mechanism of (a) isoprene-1-¹³C and (b) DMB photooxidation in the presence of NO_x.

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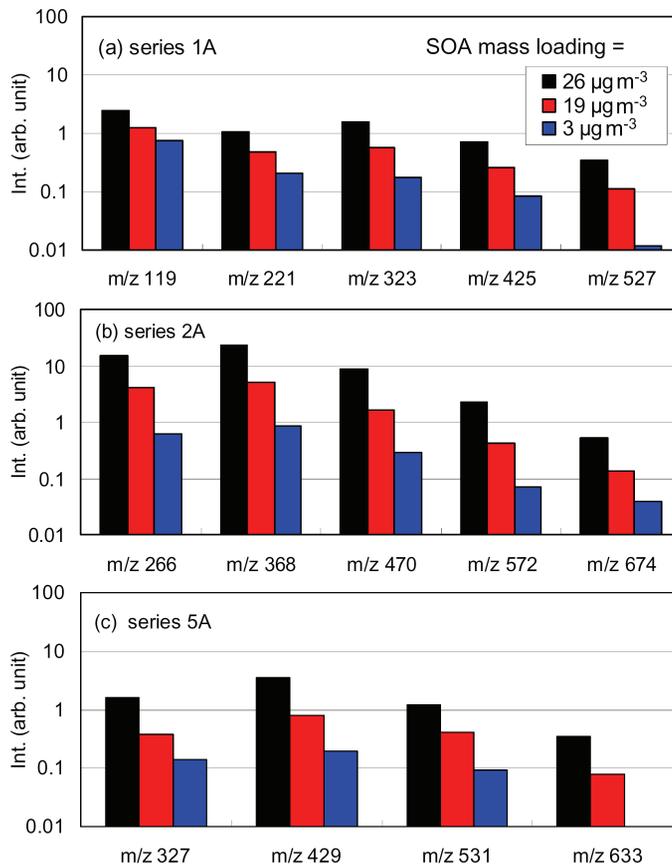


Fig. 9. Oligomer distribution measured in experiments with isoprene (EPA1058E, EPA1060E, and EPA1061E). SOA mass loading was changed between 3 and 26 $\mu\text{g m}^{-3}$ by changing the initial reactant concentrations, maintaining the isoprene/ NO_x ratio within the range 0.6–0.9.

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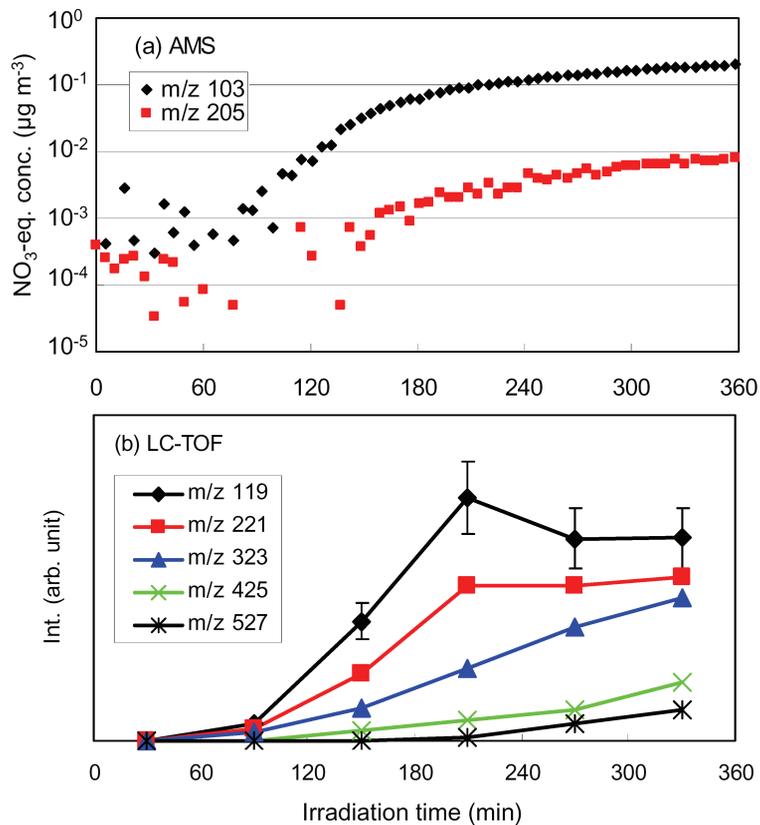


Fig. 10. Time profiles of particulate product mass signals measured by (a) ToF-AMS during an experiment with isoprene (EPA1070W) and (b) LC-TOF during an experiment with isoprene (EPA1078E).

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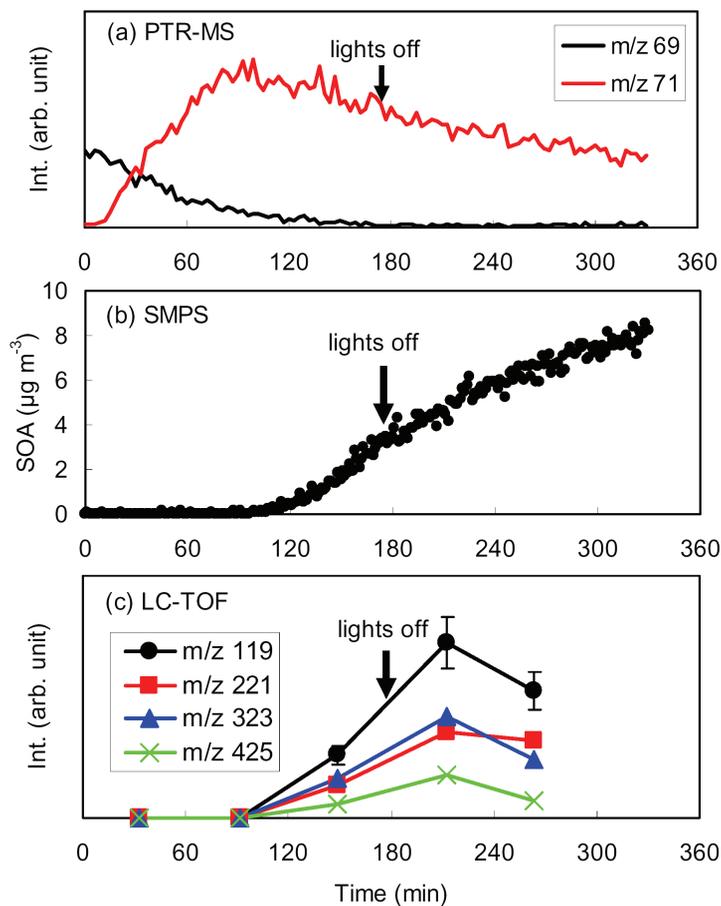


Fig. 11. Time profiles of **(a)** PTR-MS signals, **(b)** SOA mass concentration, and **(c)** LC-TOF oligomer mass signals measured in a lights-off experiment with isoprene (EPA1090W).

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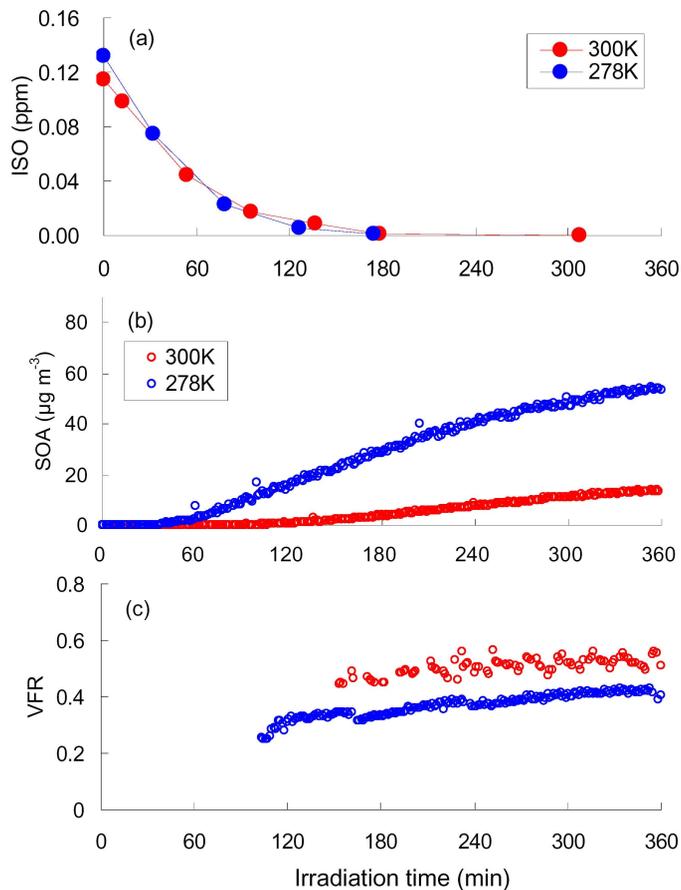


Fig. 12. Time profiles of (a) isoprene concentration, (b) SOA concentration, and (c) volume fraction remaining (VFR) measured during experiments with isoprene at 278 K (EPA1148W) and 300 K (EPA1078W). The NO_2 photolysis rate for both experiments was set to 0.12 min^{-1} .