

Data Oblivious ISA Extensions for Side Channel-Resistant and High Performance Computing

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Abstract—Blocking microarchitectural (digital) side channels is one of the most pressing challenges in hardware security today. Recently, there has been a surge of effort that attempts to block these leakages by writing programs *data obliviously*. In this model, programs are written to avoid placing sensitive data-dependent pressure on shared resources. Despite recent efforts, however, running data oblivious programs on modern machines today is insecure and low performance. First, writing programs obviously assumes certain instructions in today’s ISAs will not leak privacy, whereas today’s ISAs and hardware provide no such guarantees. Second, writing programs to avoid data-dependent behavior is inherently high performance overhead.

This paper tackles both the security and performance aspects of this problem by proposing a *Data Oblivious ISA extension (OISA)*. On the security side, we present ISA design principles to block microarchitectural side channels, and embody these ideas in a concrete ISA capable of safely executing existing data oblivious programs. On the performance side, we design the OISA with support for efficient memory oblivious computation, and with safety features that allow modern hardware optimizations, e.g., out-of-order speculative execution, to remain enabled in the common case.

We provide a complete hardware prototype of our ideas, built on top of the RISC-V out-of-order, speculative BOOM processor, and prove that the OISA can provide the advertised security through a formal analysis of an abstract BOOM-style machine. We evaluate area overhead of hardware mechanisms needed to support our prototype, and provide performance experiments showing how the OISA speeds up a variety of existing data oblivious codes (including “constant time” cryptography and memory oblivious data structures), in addition to improving their security and portability.

I. INTRODUCTION

With the rise of cloud computing and internet services, *digital or microarchitectural side channel attacks* [1] have emerged as a central privacy threat. These attacks exploit how victim and adversarial programs share hardware/virtual resources on shared remote servers (e.g., an amazon EC2 cloud). Simply by co-locating to the same platform, researchers have shown how attackers can learn victim program secrets through the victim’s virtual memory accesses [2], [3], hardware memory accesses [4], [5], branch predictor usage [6], [7], arithmetic pipeline usage [8], [9], [10], speculative execution [11], [12] and more. Given the many avenues to launch an attack, it is paramount for researchers to explore holistic and efficient defensive strategies.

```
1 x = 0, y = 64
2 if (secret)
3   x = y
4 z = Memory[x]
(a) Insecure code.
```

```
1 x = 0, y = 64
2 z = Memory[x]
3 tmp = Memory[y]
4 z = (secret) ? tmp : z
(b) Equivalent data oblivious code.
```

Fig. 1: Non-oblivious (1a) and equivalent data oblivious codes (1b). The word `secret` denotes private data.

Recently, there has been a surge of work that attempts to block *all* digital side channels, on commercial machines, by writing and compiling programs in a *data oblivious* fashion (e.g., [13], [14], [15], [1], [16], [17], [18], [19], [20], [21], [22], [23], [24], [8], [25]). Data oblivious code, a.k.a. “constant time” or “running programs as circuits,” blocks side channels by disallowing private data-dependent control flow. Figure 1 gives an example. Figure 1a leaks private information over microarchitectural side channels—namely, program execution time (the ‘if-taken’ case executes more instructions) and memory footprint (if x and y touch different lines in cache). To block these leakages, a data oblivious program will evaluate both sides of the branch as shown in Figure 1b. A ternary operator—e.g., implemented as the x86 `cmov` instruction or bitwise operations—chooses the correct final result (Figure 1b, Line 4). Since executing each side of the branch is independent of the secret, and the ternary operator does work independent of the secret, running the code data obliviously does not leak the secret.

A. Challenges

Despite the promise of data oblivious programs to block side channels, future progress faces two key challenges.

Security: Existing Instruction Set Architectures (ISAs) provide no guarantees that instructions used in data oblivious codes can block leakages over microarchitectural side channels. For example, if `cmov` (used as the ternary operator in [1], [23], [17], [19]) was ever implemented as the microcode sequence `branch+mov`, the secret condition would leak through branch predictor state and whether hardware speculation results in a squash. Being ISA-invisible, these changes can occur at any time. Case in point, Intel has stated that `cmov`’s behavior w.r.t. speculation may change in future processors ([26], Section 3.2).

Beyond `cmov`, the larger problem is that commercial ISAs such as x86 give engineers significant rope to perform *data-dependent* optimizations during program execution. For example, it is well known that arithmetic units can sometimes take data-dependent time [8], [9]. We provide a comprehensive background on related vulnerabilities in Section III-B. Any of these software-invisible optimizations can undermine the

security of prior and future work that attempts to write data oblivious programs.

Performance: Data oblivious codes can incur large performance overheads. The reason, once again, is that data obliviousness does not have ISA-level support. As a result, programmers are forced to use only the simplest instructions to achieve data oblivious execution, out of fear that other instructions will leak privacy. For example, data oblivious codes must make two memory accesses in Figure 1b out of fear that a single access will reveal the address through the processor cache, or other, side channel. This overhead scales with deeper data-dependent control flow and larger data sizes.

B. This Paper

In this paper, we tackle both the security and performance aspects of this problem by developing a novel type of ISA extension which we call a *Data Oblivious ISA* extension (*OISA*). To our knowledge, this represents the first foundation for writing and executing secure, portable and performant data oblivious code on commercial-class (out-of-order, speculative) processors. To this end we make the following contributions:

1.) Design principles for OISA design. Our key idea is to explicitly specify security guarantees at the ISA level, while decoupling those guarantees from the implementation details of a particular processor. Specifically, each operand of each instruction is given an ISA-level attribute specifying whether that operand is *Safe* to receive private data. If marked *Safe*, processor implementations (*microarchitectures*) using that ISA must hide operand-dependent side effects from other parts of the system due to that instruction’s execution. Importantly, how protecting *Safe* operands is implemented is left to the hardware designer, who can devise efficient protections depending on each microarchitecture (e.g., by breaking the instruction into simpler data oblivious instructions [8] or using hardware partitioning [27] or using cryptographic techniques [23]). In all cases, the programmer works with a simple, portable guarantee.

2.) Design of a concrete OISA. With these principles, we define a set of instructions that can serve as the foundation for the rich line of ongoing work in data oblivious programming [14], [13], [17], [18], [19], [20], [24], [23], [22], [1], [21], [8], [25]. Beyond Turing completeness and security, we also want to reduce the performance overhead common with data oblivious code. To that end, we provide additional instructions that implement efficient *memory oblivious* computation [23], [21] (featuring loads/stores with private addresses). Given the principles above, this extension is conceptually simple: instead of emulating memory obliviousness with dummy memory operations (Figure 1b), we designate a new load instruction whose address operand is *Safe*, which gives hardware designers the ability to build secure and efficient implementations, e.g., using partitioning, for that specific operation.

3.) Hardware prototype on an out-of-order, speculative processor. To show that our ideas are practical, we prototype all hardware changes needed to support our ISA on top of the RISC-V BOOM processor (for “Berkeley Out-of-Order Machine”) [28]. BOOM is the most sophisticated open RISC-V processor, featuring modern performance optimizations such as speculative and out-of-order execution, and is similar to

commercial machines that run data oblivious code today.¹

4.) Formal analysis: non-interference on out-of-order, speculative execution-class processors. In parallel to our hardware prototype, we develop a formal analysis that models an abstract BOOM-class processor (out-of-order, speculative, superscalar), and describe how to map the abstract BOOM to our concrete BOOM prototype. A key insight enabling this analysis is that by applying *local* checks to each instruction as it executes, the analysis/hardware need not be aware of whether each instruction is speculative, executed out-of-order, etc.: the checks performed to maintain security are the same in all cases. Through this formalism, we prove that the ISA provides a basis to satisfy strong security definitions such as non-interference [32] on advanced machines. Importantly, we achieve this result *while* allowing high performance hardware optimizations (e.g., out-of-order, speculative execution) to remain enabled in the common case and *without* ever requiring hardware flushes to structures such as the cache or branch predictors [33], [34].

5.) Evaluation. We evaluate our proposal in terms of hardware area and performance over a range of existing data oblivious programs (including linear algebra, data structures, and graph traversal). Area-wise, our proposal takes < 5% the area of the unmodified BOOM processor. Performance-wise, our ISA and hardware implementation provides an $8.8\times/1.7\times$ speedup on small/large data sets, respectively, relative to data oblivious code running on commodity machines (and with the security and portability benefits stated before). We also show case studies, where our ISA speeds up constant time AES [35], [36] by $4.4\times$ and the memory oblivious ZeroTrace [23] library by $4.6\times$ to several orders of magnitude, depending on parameters.

We have open-sourced our prototype design on the RISC-V BOOM processor at <https://github.com/cwfletcher/oisa>.

II. BACKGROUND AND THREAT MODEL

A. Hardware Terminology

1) Out-of-order execution: Modern commercial processors such as the RISC-V BOOM [28] dynamically schedule and execute data-independent instructions in parallel and out of program order to improve performance. Instructions are *fetched* and *issued* (enter the scheduling system) in *program order*, *execute* (perform their operations and produce their results) possibly out of program order, and finally *retire* (make their operation externally visible by irrevocably modifying the architected system state) in program order.

2) Speculative execution: Speculative execution improves performance by executing instructions whose validity is uncertain instead of waiting to determine their validity. If such a speculative instruction turns out to be valid, it is eventually retired; otherwise, it is *squashed* and the processor’s state is rolled back to a valid state. (As a byproduct, all following instructions also get squashed.) That is, a squash causes a large pipeline disturbance. There are multiple ways an instruction stream can be speculative—e.g., due to branches, memory accesses [37], or even arithmetic instructions [38]—discussed further in Section III-B.

More details on BOOM are given in Section V-A.

¹We note that prior work [29], [30], [31] requires the use of discrete coprocessors with simple microarchitecture. To match modern cloud deployments, our goal is to support *concurrent* execution of many processes on advanced microarchitectures.

B. Threat Model

We consider the setting where a victim program runs on a shared machine in the presence of adversarial software. The adversary’s goal is to learn private data in the victim program through digital side channels. For example, private inputs contributed by another party or secret program state (e.g., a cryptographic key). The program itself is considered public. We trust the processor hardware and that the victim program is correctly using the OISA.

We defend against two classes of adversary: supervisor-level (Ring-0) or user-level (Ring-3) software. In both cases, we strive to block digital side channels that could be exploited by the standard Intel SGX adversary used in prior work on data oblivious programming [19], [1], [23], [24], [16], [17], [18], [21]. This adversary is supervisor-level software that controls when victim threads run, and therefore can monitor/influence the victim’s hardware resource utilization (e.g., monitor/prime the cache/branch predictors [4], [12], [34]) at near-perfect resolution (e.g., via [39], [2], [3]). By extension, this adversary can monitor the victim’s termination time, and determine when a precise exception [1], [40] or system call [41] occurs. We don’t make assumptions on where the victim runs relative to adversarial code (e.g., as an adjacent SMT context, adjacent core, etc.). If the adversary is actually user-level software, our threat model is strictly conservative.²

In the case of a supervisor-level adversary, we assume the victim is running within a virtual shielding system, such as an SGX enclave [43], [44], to prevent direct inspection/tampering on victim data. The OISA is orthogonal to which virtual shielding system is used, in the sense that shielded programs can execute oblivious instructions regardless of the exact shielding system implementation. We will therefore only discuss the OISA, independent of the shielding system, for the rest of the paper.

Non-goals. Physical side channels (e.g., power [45] or EM [46]) are out of scope. Similar to previous works on data oblivious programming, we also do not consider integrity of computation. Integrity relies on orthogonal mechanisms, e.g., traditional or SGX-augmented process/memory isolation.

III. DATA OBLIVIOUS EXECUTION

We now give background on data oblivious execution and give examples for where prior work on commercial ISAs (e.g., x86) and modern machines (e.g., speculative, out-of-order) is vulnerable to attack.

A. Security Definition

Data oblivious execution satisfies computational indistinguishably³ of program traces, once the trace is projected by an appropriate observability function.

Definition III.1. (*Confidential input privacy*). Given a program λ with Public (non-sensitive) input x and Confidential (sensitive) input y , $O(\mu\text{Arch}(\lambda(x, y))) = X = \{X_0, X_1, \dots, X_M\}$ represents the program’s observable execution trace (projected

²Note that even user-level adversaries have been shown to be surprisingly powerful in their ability to monitor digital side channels [42].

³Here, computational indistinguishability (adopted from the Oblivious RAM literature [47]) is synonymous with computational non-interference [48], and the definition can be easily changed to require strict non-interference [32] if the program does not require computational assumptions.

through function O) when running on a processor μArch . What information is contained in each X_t (for each time step t) depends on the observability function O . W.l.o.g. we will treat x and y as fixed-size arrays, thus λ can accept an arbitrary number of Public and Confidential inputs. Privacy for the Confidential inputs then requires:

$$\forall x \in \text{Data}_P, \forall y, y' \in \text{Data}_C : \\ O(\mu\text{Arch}(\lambda(x, y))) \simeq O(\mu\text{Arch}(\lambda(x, y')))$$

where \simeq denotes computational indistinguishability, and Data_P and Data_C denote the space of Public and Confidential inputs, respectively.

We denote Definition III.1 parameterized by an observability function O and a specific microarchitecture μArch as $\text{Oblivious}[O, \mu\text{Arch}]$, dropping μArch when it is clear which microarchitecture we are referring to.

Existing data oblivious programs written for commodity machines demand a rich observability function that reveals fine-grain details about processor state [14], [13], [17], [18], [19], [20], [24], [23], [22], [1], [21], [25]. The reason is that machines today are shared, and adversaries from Section II-B can monitor internal activity such as caches and pipeline behavior. It is therefore useful to define the most conservative observability function that could apply to adversaries from Section II-B:

Definition III.2. (*BitCycle observability: Security labels at bit-level spatial granularity, cycle-level temporal granularity*). Let $S_t = \{0, 1\}^N$ denote the processor state during clock cycle t , where state includes all on-chip storage (e.g., flip-flops, SRAM). S_t^i denotes the value of the i -th bit in cycle t . Given a program execution $\lambda(x, y)$, $\text{BitCycle}(\mu\text{Arch}(\lambda(x, y))) = X = \{X_0, X_1, \dots, X_M\}$ where $X_t = \{0, 1\}^N$ and $X_t^i = 1$ indicates S_t^i contains an explicit flow⁴ of Confidential data in cycle t ($X_t^i = 0$ otherwise).

For example, writing data d to the processor cache at address a in cycle t sets bits in X_t , corresponding to cache memory cells at a , if either d or a were computed based on Confidential data. More generally, the definition implies the adversary can monitor every possible hardware resource pressure (e.g., flip-flop level pipeline utilization, cache footprint, etc.) every cycle. This paper’s goal is to provide a basis for programs to achieve $\text{Oblivious}[\text{BitCycle}]$ on advanced commercial-class machines.

B. Security Issues in Existing Data Oblivious Code

Existing data oblivious codes are written extremely conservatively to remove code constructs that blatantly violate $\text{Oblivious}[\text{BitCycle}]$. For example, prior works rely solely on a carefully chosen subset of arithmetic operations (e.g., bitwise operations), conditional moves, branches with data-independent outcomes, jumps with public destinations, and memory instructions with data-independent addresses [13], [14], [15], [1], [16], [17], [18], [19], [20], [21], [22], [23], [24], [8], [25].

⁴Formally: Let each memory cell S^i take two inputs: data (in^i) and write enable (we^i) where both are functions (combinational logic) taking a subset of bits in S as input. For time $t = 0$: S_0^i (i.e., at $t = 0$) is initialized with starting program state, $X_0^i = 1$ iff S_0^i is Confidential data. For time $t > 0$: $X_t^i = 1$ if (a) we^i outputs 0 in cycle t and $X_{t-1}^i = 1$ or (b) we^i outputs 1 in cycle t and $X_{t-1}^j = 1$ for some j in the inputs to S^i (in^i or we^i). We note that implicit flows [49] are accounted for once BitCycle is applied to Definition III.1.

It is important to understand when this isn't sufficient for security. To that end, we now detail 11 possible attack vectors on today's data oblivious code. Importantly, we do not list many popular attacks (e.g., prime+probe in the cache [4]) as these are defeated by writing programs in the style described above. Yet, attacks can still occur because the hardware can apply invisible optimizations to undermine software-level transformations. In the following, we describe attack vectors known to be implemented today, and also proposals whose implementation status is unknown. However, importantly, each optimization could be implemented at any time, breaking existing codes.

Vectors 1, 2, 3: branch, jump, memory speculation: While transient execution attacks [12], [11] are known to impact general purpose code, their impact on data oblivious code has not been adequately studied. We make an important observation that data oblivious code security is undermined even by 'honest' speculative execution. By 'honest', we mean the speculation is not intentionally being controlled in a malicious way, e.g., as in [12]. The root problem is that modern ISAs have limited resources (e.g., ISA-level registers) and executing unintentional instructions can cause secrets stored in *aliased resources* to be exposed accidentally.

Consider a toy example for data oblivious decryption, exploiting conditional branch misprediction (denoted Vector 1):

```

1 for (i = 0; i < NUM_ROUNDS; i++)
2   state = OblDecRound(state, rkey[i])
3   declassify(state)

```

A legal data oblivious code can implement decryption round logic data obliviously, with the round keys `rkey` considered Confidential (Definition III.1), and wrap the round in a data-independent branch to reduce code footprint. Once decryption is complete, the program may use the plaintext in a non-oblivious way, e.g., by using it as an address to lookup a record in cache (denoted `declassify(state)`). Such a non-oblivious operation can reveal information related to the *decryption key* on a speculative machine. Specifically, if the branch mispredicts "not taken" (e.g., while the predictor is training), `state` is prematurely exposed before all rounds complete, allowing an attacker to perform cryptanalysis on encryption round intermediate state.

Removing branches or disabling branch speculation is not sufficient to fix this issue, as other forms of speculation (e.g., unconditional branches/jumps, memory disambiguation [11]—denoted Vectors 2 and 3) cause similar issues on legal data oblivious code.

Vectors 4, 5: sub-address optimizations: Numerous data oblivious codes, e.g., "constant time" cryptography [50], [51], make an assumption that modulating certain bits in a memory address (e.g., the bits indicating offset within a cache line) does not create observable behaviors. This assumption doesn't hold on some microarchitectures due to hardware optimizations such as speculative store forwarding (Vector 4) and cache banking (Vector 5), and attacks exploiting these features have been shown to lead to full cryptographic breaks [52], [53].

Vector 6: input-dependent arithmetic: It is well known that complex arithmetic operations (e.g., multiply/divide, floating point square root) exhibit observable data-dependent timing based on their operands [9], [8]. While prior work can mitigate these threats by re-writing complex arithmetic using

bitwise operations, this can incur over an order of magnitude performance overhead depending on the operation [8].

Vector 7: microcode: Even simple instructions may be decomposed into simpler instructions, called micro-ops, before being executed. In some cases, micro-op conversion can create data-dependent behavior. For example, `cmov` (which implements conditionals based on Confidential values [1], [23], [17], [19]) can be broken into a `branch+mov`. There is evidence to suggest that this transformation will be applied in future Intel processors ([26], Section 3.2). This breaks privacy: the branch direction will be speculatively guessed and whether a misprediction occurs changes program timing due to the squash (Section II-A).

Vectors 8, 9, 10, 11: data-based compression, data-based speculation, silent stores: Finally, there are a number of proposals whose implementation status on commercial machines is unknown. In register file [54] and cache [55] compression (analogous to OS-level page de-duplication [56]), register file and cache pressure is a function of program data (Vectors 8 and 9, respectively). Value prediction [38] (Vector 10) speculates on the result of a memory load or long-running arithmetic operation, causing a squash if the prediction is incorrect (Section II-A). Finally, silent stores [57] (Vector 11) remove redundant store operations (impacting cache pressure) when the hardware detects the memory already contains the same value at the same address. What all of the above have in common is that they are *program data-centric* optimizations that don't discriminate between Public and Confidential data. Thus, they can undermine any data oblivious code written in any style.

Takeaway: Not only is writing data oblivious code difficult, it is fraught with danger due to subtle ISA-invisible optimizations such as those given above. Our proposed OISA gives hardware the visibility it needs to decide when and when not to apply leaky performance optimizations (such as those above) and enables richer hardware support for data oblivious code to speedup core operations such as oblivious memory.

IV. DATA OBLIVIOUS ISAS

We now describe data oblivious ISA (OISA) design principles and give an example concrete OISA that we will later implement on top of the RISC-V BOOM.

A. Design Principles

We had two primary goals in designing an OISA. First, the ISA should expose security guarantees in a microarchitecture-independent way. A single ISA may be embodied in many different microarchitectures (within and across processor generations), each with different organizations and optimizations. It isn't reasonable to ask software to reason about each microarchitecture: a developer who writes a data oblivious code correctly once should have confidence that security will hold on each microarchitecture. Second, the ISA should not preclude modern hardware performance techniques, except when those techniques have a chance to leak privacy. Specifically, we want to be compatible with wide (multiple instructions fetched per cycle), speculative, out-of-order commercial-class machines, e.g., those described in Section II-A, and also point optimizations (e.g., banked caches, data-dependent arithmetic; c.f. Section III-B) that, left unchecked, cause security problems.

To achieve these goals, an OISA has the following main components (which require hardware support).

1) *Dynamic tracking for Confidential (sensitive) data:* We use hardware-based dynamic information flow tracking techniques (DIFT, similar to [58], [59]) to track how Confidential data propagates through the processor as the program executes. Conceptually, all data in the processor is *labeled* Confidential/Public at some granularity (e.g., word-level).⁵ This gives hardware the ability to decide when to apply optimizations to data in use (e.g., attack Vectors 6-7, 10-11; c.f. Section III-B) and at rest (e.g., Vectors 8-9).

Prior work does not specify precise rules for when data labeled Confidential can be processed relative to when its label is resolved. A conservative strategy is to require all {data, label} state to correspond to program order, which would preclude speculative, out-of-order execution. A more aggressive strategy is to allow speculation, and to further allow data to be used before its label is resolved.⁶ Based on our use of DIFT, it will be clear the latter approach is not secure. Instead, we adopt (and prove secure in Section VI) a middle ground which we call *coherent labels*.

Rule IV.1. (*Coherent labels*) *When reading an operand, its label must be resolved with respect to the dynamic sequence of speculative/non-speculative instructions (which does not necessarily follow program order) that have executed so far to generate that operand.*

A simple implementation that satisfies Rule IV.1 is to physically extend each data word with a label bit, which allows normal processor dependency tracking to ensure labels are resolved on time. We use this strategy for our implementation in Section V.

2) *Instruction operand-level security specifications:* In an OISA, instruction definitions specify, for each operand, whether that operand can accept Public or both Public/Confidential data. We call the former an *Unsafe* operand and the latter a *Safe* operand. Once specified, the hardware designer must handle the following cases.

Rule IV.2. (*Confidential* \rightarrow *Safe*) *When Confidential data is sent to a Safe operand: the hardware designer must add mechanisms to enforce Definition III.1, for a specified observability function, despite that instruction’s execution. For example, by disabling performance optimizations, scrubbing side effects and masking exceptions that occur as a function of Confidential operands.*

Rule IV.3. (*Confidential* \rightarrow *Unsafe*) *When Confidential data is presented to an Unsafe operand: the hardware must stop (squash) that instruction’s execution as soon as the label is resolved. This event is called a label violation #LV. Due to Rule IV.1, #LV will be signaled immediately after register/memory read, and before the execute stage begins. If the violating instruction is the next instruction to retire (i.e., is non-speculative), terminate the program. This event is called a label fault #LF.*

That is, Rule IV.3 is similar to rules that handle badly typed programs, extended to speculative execution. Label violations (#LV) are caused by transient conditions, e.g., imperfect

⁵‘Public’ and ‘Confidential’ semantics are equivalent to the lattice $\{L, H\}$ ({low, high} security) where $L \sqsubseteq H$ [60], [61].

⁶For example, [59] proposes storing labels in the page table. If the processor supports speculative store-forwarding [52] (Vector 4), data will be used before the label lookup completes.

prediction (Section III-B, Vector 1), and are correctable. Label faults (#LF) indicate a program bug or illegal typing. Fixing bugs is outside of our scope, so we will focus on #LV.

An important question is whether #LV creates a side channel based on *when* it is triggered. We prove in Section VI-B that it does not, and further prove that #LV signals enable the OISA to block multiple additional attacks (Vectors 1-5; c.f. Section III-B), e.g., speculation that can reveal Confidential data, on top of the vectors blocked from Section IV-A1. Finally, Public data is handled as:

Rule IV.4. (*Public* \rightarrow *Safe/Unsafe*) *When Public data is sent to Safe or Unsafe operands, no special treatment is needed and execution can proceed without protection.*

As the above definitions apply at operand granularity, the OISA permits optimizations that are functions of individual operands. For example, zero-skip multiply can be enabled if a Public operand is 0, regardless of whether other operands are Confidential.

Specifying each instruction operand as Safe/Unsafe at the ISA level is a key design feature, and provides significant flexibility to both the ISA and hardware designer while simplifying programmer-level reasoning about security. At the ISA level, an ISA designer can decide which instructions are sufficiently important to warrant Safe operands. These choices should be made carefully: On one hand, Safe operands impose a burden on hardware designers as the processor must support mechanisms to uphold Definition III.1 for those operands. On the other hand, Safe operands do not specify an implementation strategy. Hardware designers can implement a given operation using simpler data oblivious instructions (e.g., [8]), hardware partitioning (e.g., [27]) or cryptographic techniques (e.g., [23])—depending on what is efficient given public parameters and the specific microarchitecture. In either case, programmers work with a simple guarantee: Confidential values will not be at risk when consumed by Safe operands, and dynamic execution will be terminated when violations to this policy are detected.

B. Concrete OISA Specification

Using the principles from the previous section, we now present a concrete OISA that we will implement on top of the RISC-V BOOM processor. Figure 2 shows data oblivious instruction encodings, supported instruction types, and the Safe/Unsafe characteristics for each operand (Section IV-A2).

1) *Label propagation:* Our ISA requires word-granularity labels, tracked in the register file and memory. In most cases, label update logic follows standard taint tracking rules, given the 2-level security lattice {Public, Confidential} [60], as shown in Figure 2. When the result is fully determined by Public operands, regardless of other operands (e.g., zero-skip multiply), the result label is set to Public (as done in GLIFT [63], but not shown in Figure 2 for simplicity).

2) *Label declassification:* Declassification—downgrading data marked Confidential to Public—is a rare but necessary task needed to, e.g., return results. Our ISA supports a single *serializing* declassification instruction called *ounseal*. Serializing instructions are not executed until all older in-flight instructions retire. This is necessary for security: declassification is the only mechanism to demote Confidential to Public, and this action under malicious speculative execution could be used to bypass label checking.

Base Data Oblivious ISA:	Operand label constraints (S = Safe, U = Unsafe)	Instruction functionality	Label propagation	Notation (assembly)
Arithmetic (R-type)	rs2 (S) rs1 (S)	$R[rd] \leftarrow R[rs1] \text{ op } R[rs2]$	$Lr[rd] \leftarrow Lr[rs1] \mid Lr[rs2]$	
Arithmetic (I-type)	rs1 (S)	$R[rd] \leftarrow R[rs1] \text{ op ext(imm)}$	$Lr[rd] \leftarrow Lr[rs1]$	
Declassify (I-type) (serializing)	rs1 (S)	$R[rd] \leftarrow R[rs1]$	$Lr[rd] \leftarrow 0$	ounseal %rd, %rs1
Classify (I-type)	rs1 (S)	$R[rd] \leftarrow R[rs1]$	$Lr[rd] \leftarrow 1$	oseal %rd, %rs1
Conditional move (R-type)	rs2 (S) rs1 (S)	$R[rd] \leftarrow (R[rs1]) ? R[rs2] : R[rd]$	$Lr[rd] \leftarrow Lr[rs1] \mid Lr[rs2] \mid Lr[rd]$	ocmov %rd, %rs1, %rs2
Branch (B-type)	rs2 (U) rs1 (U)	if $(R[rs1] \text{ op } R[rs2])$ PC = PC + imm	$Lr[rd] \leftarrow 0$	
Jump register (I-type)	rs2 (U)	$R[rd] = \text{PC} + 4$; PC = PC + imm	$Lr[rd] \leftarrow 1$	
RNG (J-type)		$R[rd] \leftarrow \text{rand}()$	-	orng %rd
Load (I-type)	rs1 (U)	$R[rd] \leftarrow M[R[rs1] + \text{ext(imm)}]$	$Lr[rd] \leftarrow Lm[R[rs1] + \text{ext(imm)}]$	orld %rd, imm(%rs1)
Store (S-type)	rs2 (S) rs1 (U)	$M[R[rs1] + \text{ext(imm)}] \leftarrow R[rs2]$	$Lm[R[rs1] + \text{ext(imm)}] \leftarrow Lr[rs2]$	orst %rs2, imm(%rs1)
Oblivious Memory extension:		let addr := $R[rs1] + \text{ext(imm)} \% \text{OSZ}$		
Oblivious Load (I-type)	rs1 (S)	$R[rd] \leftarrow M[\text{addr}]$	$Lr[rd] \leftarrow 1$	ocld %rd, imm(%rs1)
Oblivious Store (I-type)	rs2 (S) rs1 (S)	$M[\text{addr}] \leftarrow R[rs2]$	-	ocst %rs2, imm(%rs1)
CPUID (J-type)		$R[rd] \leftarrow \text{OSZ}$	-	ocpuuid %rd

Fig. 2: Dat Oblivious ISA. R/Lr , M/Lm denote register file data/labels, memory data/labels, respectively. The label Public is denoted logic 0, Confidential logic 1. rs1 and rs2 denote operand registers in RISC-V instructions while rd denotes destination register. R, I, B, J, S-type refers to standard RISC-V instruction formats [62]. ext extends the immediate to the word width. If assembly notation is unspecified, it follows RISC-V with an ‘o’ prefix (e.g., add becomes oadd). OSZ refers to the microarchitecture-specific oblivious memory partition size (Section IV-B6).

3) *Instruction set*: Our ISA supports the following instruction types, which we chose to maximize compatibility with existing data oblivious codes and minimize hardware changes. First, all RISC-V integer and floating point arithmetic with Safe operands. This means programmers can implement floating point directly, without invoking bitwise libraries [8]. Second, random number generation, as many randomized data oblivious codes require private random numbers (e.g., [64], [65], [66], [23], [21]). Third, a `cmov`-style ternary/conditional move operator with a Safe predicate for implementing conditionals, and branches/jumps with Unsafe operands to reduce code footprint. Fourth, load/store operations (`orld` and `orst`) with Unsafe address operands.

Lastly, we support a second flavor of load/stores (with Safe address operands) which can be used to implement oblivious memory using Confidential addresses (Section IV-B6).

4) *Mixing in non-oblivious instructions*: Oftentimes, only a small program region should be made data oblivious (e.g., the inner branch in modular exponentiation) to prevent unnecessary performance overheads. To support these situations, we support mixing data oblivious instructions with instructions from the original ISA. All operands for all original instructions are considered Unsafe. All data oblivious instructions are encoded on top of the normal RISC-V ISA by modifying existing instruction fields (e.g., the opcode and func [62]).

```

1 oaddi %x1, %x0, 0
2 oaddi %x2, %x0, 64
3 oseal %x3, secret
4 orld %x1, 0(%x1) //Mem
5 orld %x2, 0(%x2) //Mem
6 ocmov %x1, %x3, %x2

```

(a) Data obl. Fig. 1b.

```

1 oaddi %x1, %x0, 0
2 oaddi %x2, %x0, 64
3 oseal %x3, secret
4 ocmov %x1, %x3, %x2
5 ocld %x1, 0(%x1) //Mem

```

(b) Data obl. Fig. 1b w/ OMP.

Fig. 3: Data oblivious code, using the OISA, implementing Figure 1b. The word `secret` denotes Confidential data. `%x...` are RISC-V general purpose registers. `%x0` is a RISC-V idiom for constant 0.

5) *Putting it all together*: To summarize the section, we show a version of Figure 1b written using our OISA in Figure 3a. The programmer need only specify what data is Confidential via `oseal`. The ISA and hardware will prevent `%x3` from being processed by subsequent speculative/non-speculative Unsafe operands. For example, specifying `%x3` as an address to a speculative/non-speculative `orld` triggers a `#LV/#LF`, respectively.

6) *Oblivious memory extension*: A common bottleneck in existing data oblivious code is the inability to use Confidential data as memory addresses [23], [1], [27], [21]. For example, Figure 3a needed to execute two `orld` instructions. More generally, looking up an array with a Confidential address requires a memory scan.

To accelerate these operations, our OISA exposes two new instructions `ocld` and `ocst`, which are analogous to `orld`/`orst` (Section IV-B3) except with Safe address operands, and a new variant of CPUID `ocpuuid` which returns a microarchitecture-specific constant OSZ (“oblivious memory partition size”).

Each microarchitecture is responsible for providing OSZ bytes of “fast” oblivious storage, called the *oblivious memory partition* (OMP), which only `ocld` and `ocst` can read/write. This storage can be used to speedup data oblivious code. For example, if `x` and `y` in Figure 1b both fall within the OMP, then Figure 3a can be rewritten as Figure 3b (saving a memory access).

How much storage is provided (the value of OSZ) and how that storage is implemented—e.g., a dedicated scratchpad, flexible cache partition, etc.—is left to hardware designers and can be decided on an implementation-by-implementation basis. (Our prototype in Section V-B uses ways in a cache.) We note that the hardware constrains addresses sent to `ocld`/`ocst` to fall within bounds 0 to OSZ-1.

To make data oblivious code portable across machines (each of which can specify a different OSZ), we provide the following software/programmer-level functions:

- **Unsafe** OblObj* obl_alloc(**Unsafe** int size)
- void obl_free(**Unsafe** OblObj* o)
- **Safe** int obl_read(**Unsafe** OblObj* o, **Safe** int addr)
- void obl_write(**Unsafe** OblObj* o, **Safe** int addr, **Safe** int data)

Safe/Unsafe qualifiers are implied based on how these functions are implemented. That is, size must be **Public**. `obl_alloc/free` dynamically allocate/free an oblivious memory object `OblObj` which exposes type, base and bound fields. `type = {OMP, ORAM, SCAN}` and is determined by `obl_alloc` under the hood using the following rules:

- 1) If the new object will completely fit into the OMP, based on the size argument, previous allocations, and OSZ: set `type = OMP`.
- 2) Else: depending on remaining space in the OMP and the size argument, set the type as ORAM or SCAN. Heuristics to select which are described below.

Post-allocation, users perform reads and writes to `OblObjs` through `obl_read` and `obl_write`, which instrument each operation based on the allocator’s prescribed type, as shown in Figure 4. We describe the ORAM type below.

```

1 int obl_read(OblObj* o, int addr) {
2 #oblivious {
3   int ret; int tmp;
4   switch (o->type)
5   case OMP:
6     asm ("oaddi %0, %1, %2":
7         "=r" (tmp): "r" (addr), "r" (o->base));
8     asm ("ocld %0, 0(%1)":
9         "=r" (ret): "r" (tmp));
10    break;
11   case ORAM:
12     ret = oram("read", o, addr); break;
13   case SCAN:
14     for (int j = o->base, j < o->bound; j+=4) {
15       asm ("orld %0, 0(%1)":
16           "=r" (tmp): "r" (j));
17       asm ("ocmov %0, %1, %2":
18           "+r" (ret): "r" (j==addr), "r" (tmp));
19     } break;
20   return ret; } }

```

Fig. 4: `obl_read` implementation (`obl_write` is analogous). `#oblivious` is short-hand to indicate that the body consists only of data oblivious instructions. `oram`’s implementation is discussed in Section IV-B6. “=r”, “+r” denotes output register; “r” denotes input.

`obl_alloc` decides on each allocation’s type based on information returned by `ocpuid`. In the current design, `ocpuid` returns OSZ, the implementation-specific size of the OMP. Future implementations may also return richer information, such as machine cache sizes/etc. to make more informed decisions. Since size and branches/jumps in our OISA are **Unsafe**, the strategy selected for each allocation depends only on the program (which is **Public**) and the machine architecture. Lastly, we note that since the allocator makes decisions based on the order of previous allocations, more performance-sensitive objects should be allocated first.

ORAM and SCAN types. When the oblivious object does not fit into the OMP, the allocator may implement it as an Oblivious RAM [47] (ORAM) or memory scan. ORAMs are randomized algorithms which implement oblivious memory in poly-logarithmic time. For ORAM, we use the ZeroTrace

library [23] which is a data oblivious ORAM client written in our threat model. Depending on remaining OMP space, ZeroTrace’s internal sub-structures (e.g., the ORAM stash and position map [23]) can be placed in the OMP, which we show can speedup the original ZeroTrace by $> 4\times$ (Section VII-C6). SCAN is a fallback that emulates oblivious memory using normal memory, and is implemented as a sequence of `orld` and `ocmov` instructions (Figure 4).

Pointed out by [1], when scan vs. ORAM is more efficient depends on the memory size and the allocator should take this into account based on the allocation size parameter.

C. Process-OS Interface

Processes interact with the OS through exception handling, context switching and system calls. We design the OISA to cause minimal friction with the existing OS-process interface.

1) *Exceptions:* Exceptions leak data-dependent conditions (e.g., when a divide by zero occurs) in programs [40], [1]. When an exception occurs on instructions with all **Public** operands, it is handled like a normal exception. When an exception occurs on an instruction with a **Confidential** operand, the hardware must mask that exception (e.g., by replacing the result with a canonical value and leaving the label unchanged). In this design, the adversary may learn an exception has occurred only if resulting data is explicitly declassified with `ounseal`.

2) *Context switching:* In the current design, the OMP (Section IV-B6) and register file labels are added as thread state. Labels in memory are mapped to pages in a region of virtual memory that cannot be accessed directly by the program (Section V-C1). While adding the OMP to thread state doesn’t make context switching performance-prohibitive for the OMP sizes we consider in Section VII, it will for sufficiently large OMPs. We leave integrating the OMP into normal process virtual memory (e.g., by using the RISC-V VLS technique [67]), as future work. Finally, if the adversary is supervisor-level (Section II-B), we rely on the shielding system, e.g., SGX, to protect program data during context switches. For example, in an SGX setup [43], all data (**Public** and **Confidential**) would be stored within the SGX ELRANGE.

3) *System calls:* We rely on orthogonal software techniques to sanitize system call arguments [68], [41].

V. IMPLEMENTATION

This section describes how we prototyped our OISA on the RISC-V BOOM microarchitecture. Our design augments BOOM ‘v2,’ which is the most recent iteration of the BOOM design [28]. We give the exact parameters used for the architecture in Table II, which corresponds to the block diagram in Figure 5 and is a default BOOM configuration.

A. RISC-V BOOM Summary

We first summarize unmodified BOOM (referencing Figure 5). These details will be used for our implementation (this section) and formal analysis (Section VI).

First, multiple instructions are *fetched* each cycle ❶. Based on the current program counter (PC) and decoded instructions, multiple levels of branch/jump predictors issue predictions for fetched branches/jumps. Mispredicted branches/jumps are discovered in the execute stage, and cause subsequent speculatively

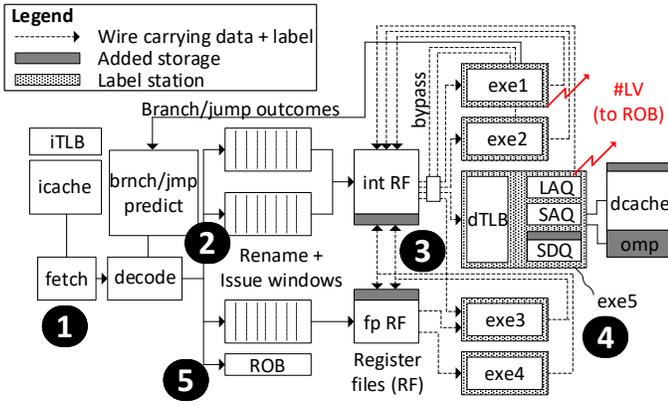


Fig. 5: RISC-V ‘BOOM v2’ pipeline [28]. ‘exeXX’ are execution units, and contain arithmetic/branch/etc units stated in Table II. Hardware modifications needed to support the OISA (Figure 2) are shown in the legend. No modifications are needed before the int/fp register files. Label stations are discussed in Section V-C2. ‘omp’ is the oblivious memory partition (Section V-B).

decoded instructions to squash (Section II-A). Once *decoded*, instructions are added to the issue windows ② where they wait for their operands to be ready, at which point they are *scheduled* (possibly out-of-order) to execution units. Operands become ready when they are written (or written back) to one of two register files (RFs, for floats and integers) ③, or when an execution unit finishes early and *bypasses* the result directly to the consumer instruction. RFs contain speculative and non-speculative data.

BOOM supports a configurable number of execution units ④, each of which contains a configurable number of primitive arithmetic/branch/etc. units, shown in Table II. Each execution unit receives dedicated read/write ports to the RFs. Primitive arithmetic blocks may be *pipelined* (have input-independent latency) or *un-pipelined* (have input-dependent latency). Lastly, a load/store unit interfaces to the cache and decides whether load data should be read from the cache or store data queue (SDQ) which contains speculative stores (store-load forwarding). Loads may speculatively execute after stores whose address has not resolved [37]; address alias violations are caught and squashed at retire time. Finally, a reorder buffer (ROB) ⑤ tracks in-flight instructions in-order to facilitate in-order commit (Section II-A).

The current BOOM does not currently support SMT/hyper-threading. We note that our OISA is compatible with an SMT-enabled machine and that the hardware mechanisms discussed below need not change to support SMT.

B. Support for New Instructions

Discussed in Section IV-B, most instructions in the OISA have exact counterparts in RISC-V, but with additional semantic/dynamic checks for Safe/Unsafe operands. These instructions reuse existing RISC-V encodings and have altered opcode/func fields to be identified during the decode stage. Several exceptions are *oseal*, *ounseal*, *orng*, *ocmov*, *ocld/ocst/ocpuid* which don’t have RISC-V counterparts (Figure 2).

We implement *oseal* and *ounseal* as the RISC-V *addi* instruction with the immediate field set to 0 (functionally a move operation), but with modified logic to set/clear label bits. As discussed in Section IV-B, *ounseal* must also *serialize*

(execute non-speculatively) to prevent malicious declassification. Since BOOM already implements serializing instructions, we reuse that functionality for *ounseal*. Our prototype implements *orng* as a cryptographic PRNG (iterative AES core), although a hardware TRNG [69] may be used for a production design.

ocmov presents a challenge, as conditional move requires three operands (predicate, new value and old value) whereas no RISC-V integer instruction requires three input operands. To minimize ISA-level changes, we design a single ALU (in one execution unit) to serve *ocmov* instructions, and add a new RF port for that execution unit. We design this ALU to support bypassing. This design is low overhead and efficient. Having one execution unit support *ocmov* means we only need to add a single read port to the RF (not +1 per execution unit). Through bypassing, our design can execute back-to-back dependent *ocmov*s, one per cycle.

Finally, our current implementation implements the oblivious memory partition (OMP) for *ocld/ocst* as a quarantined region of the first-level data cache. We isolate a region of the cache using way partitioning techniques [70], which are a low-complexity mechanism to divide the cache into non-interfering regions as long as the region size is a multiple of the associativity (our first-level cache is 16-way; Table II). This design has low hardware overhead. If no process has allocated oblivious objects (Section IV-B6), OMP storage can be used as normal cache memory. While an *ocld/ocst* instruction is looking up the OMP, all concurrent cache lookups are stalled to avoid cache bank contention [53].

C. Tracking and Checking Labels

An important component in our OISA is checking and tracking Public/Confidential labels as data flows through the pipeline and signalling #LV when violations occur. Noted in Section IV-B, we track labels at word granularity.

1) *Label storage*: Labels must be stored alongside each word, where-ever each word resides in the processor. This includes the RF, the SDQ, the data cache hierarchy, and intermediate pipeline registers. In all of the above structures, we treat data label as an extra bit in each word. This makes it simpler to satisfy Rule IV.1: whenever a speculative or non-speculative instruction reads an operand, normal out-of-order processor dependency checking ensures the label is resolved.

Unfortunately, this strategy would require large changes to the DRAM/below memory levels because wider words would require wider DRAM lines and larger page tables. Thus, at the DRAM level, we store data and labels in separate disjoint pages and modify the hardware DRAM controller to join data and label into a widened cache line when on-chip (a similar scheme was used in [58]). This means any DRAM access in our system turns into two DRAM accesses.

2) *Label checks*: To satisfy Rules IV.2 and IV.3: once a consumer instruction indicates its intent to use an operand, that operand’s label must be checked against the instruction opcode/func fields, before the use occurs. We design a parameterizable hardware module called a *label station*, which wraps each BOOM execution unit, to administer these checks. The main observation enabling the label station design is that in BOOM, all operand-dependent processor state updates are signalled from the execution units. This makes it possible to implement a shim at the input of each execution unit to perform label

checks, handle label violations/faults, and disable hardware optimizations on Confidential inputs.

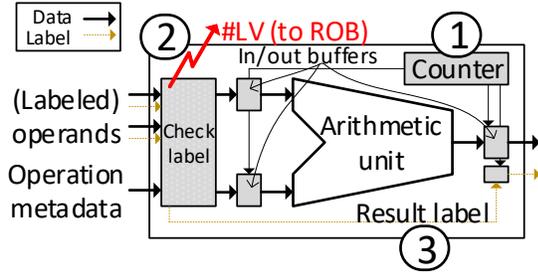


Fig. 6: Label station (Section V-C2) for an execution unit with one internal arithmetic unit. A real execution unit may contain multiple arithmetic units (Table II), in which case this logic is replicated as needed. Added hardware is shaded.

Specifically, the label station (visualized in Figure 6):

① (Rule IV.2: Confidential \rightarrow Safe) Blocks access to/from arithmetic units so that any operation processing Safe operands takes the worst case time. This is implemented using input/output buffers (e.g., flip-flops), a timer (counter), and operand/label decode logic (“Check label” in the figure). Variable-time arithmetic units and their worst-case times are given in Table II. Lastly, any status bits set as a function of Confidential operands are set to canonical values.

② (Rule IV.3: Confidential \rightarrow Unsafe) Checks each incoming operation for illegal label-operand violations, and signals #LV when violations are detected. All checks are performed before operands are forwarded to the execution unit. If any violation is detected, the execution unit does not receive the operation and an #LV signal is sent to the ROB, where it is interpreted as a violation (squash) or a fault (termination, #LF), respectively.

③ (Label propagation) Computes the result label based on operand labels and stages the label to travel with the result when it writes back to the RF or exits early via bypass.

Label stations are parameterized at design-time based on what functionality is actually needed. For example, Execution unit 2 (Table II) only supports Safe-operand arithmetic and therefore doesn’t need logic to enforce Rule IV.3 (Confidential \rightarrow Unsafe). Hence, this logic is pruned away at hardware synthesis time.

VI. SECURITY ANALYSIS

We will show that the OISA provides a basis for satisfying *Oblivious*[BitCycle] (Section III-A) by proving its security over an *abstract* out-of-order, speculative machine (AOOM), and arguing that this abstract machine can be reduced to real hardware such as the BOOM.

A. Takeaways and Main Insights

The takeaway from the analysis is that the OISA provides a basis to prove (computational) noninterference on an out-of-order processor with speculative execution. Importantly, we achieve this result *while* allowing hardware optimizations, such as branch predictors, to remain enabled and *without* requiring those structures to be partitioned or periodically flushed.

Informally, for this result to hold we need to show that (a) each instruction’s visible execution and (b) the sequence of

TABLE I: Notations and simple helper functions.

$ T $	Returns number of elements in T
$T[i : j]$	Returns items with index i to j (inclusive)
λ	Public program
Fetch, Execute, Retire	Instruction stages
Arithmetic, Branch MemLoad/Store	Instruction types
stage, pc, squash, update	Trace entry format
Write(addr, data, label)	Token denoting write to program memory
$Proj(T)$	Trace with updates removed
$arg_i(pc, \lambda), dest(pc, \lambda)$	Returns instruction operand/dest fields
$op(pc, \lambda)$	Returns instruction’s implied arithmetic op
$T.append(e)$	Append e to end of T
$type(pc, \lambda)$	Return instruction at pc’s type (Branch, etc)
$done(e, \lambda)$	Returns true if $e.stage = Retire$ and $e.pc$ is the stop PC given λ
SCHEDULE, PREDICT	Instruction scheduler and predictor functions

instructions that are executed is independent of Confidential data. (a) follows by definition, given Rules IV.2-IV.4, and is enforced by label stations in our implementation (Section V-C2). A key insight here is that by applying these rules *locally, and to each instruction as it executes*, the analysis/hardware need not be aware of whether each instruction is speculative, executed out-of-order, etc.: the checks performed to maintain security are the same in all cases. To show (b), we leverage a key property inherent in any OISA: *that the inter-instruction program counter (PC) never becomes a function of (“tainted by”) Confidential data.*

Guaranteeing that the PC stays “untainted” involves some subtlety. On an out-of-order speculative machine, the sequence of dynamic instructions clearly depends on more than just the program and its input. For example, the PC is influenced by hardware predictors and dynamic data-dependent events such as when squashes occur. Yet, the untainted PC property (once proven) is surprisingly powerful,⁷ and is the crux behind why hardware performance optimizations can remain safely enabled. For example, if the PC is untainted, branch predictor structures are also by extension untainted. In our design, this holds because only branch instructions that do not cause #LV (i.e., those based on Public decisions) are allowed to update the branch predictor, and because maliciously “priming” the branch predictor [12] can be modeled using only Public information. If the branch predictor is untainted, it can by definition remain enabled.

In general, the only new source of overhead occurs when Confidential \rightarrow Unsafe events cause squashes. The analysis will show that when this occurs doesn’t depend on Confidential data and, in particular, that a correctly written program should only see this event when “honest” miss-speculation (Section III-B) occurs. Predictors must be high accuracy to be effective, thus honest miss-speculation should be rare.

B. ISA Level

The following analysis assumes the OISA disables the unseal instruction (Section IV-B) unless otherwise stated.

1) *Abstract machine basics:* The functional model for AOOM is given in Algorithm 2, with notations/helper functions explained in Table I and Algorithm 1. Our goal was to keep the model as simple as possible, while capturing core features. Specifically, the abstract machine: (1) has a 3-stage pipeline {Fetch, Execute, Retire} where each stage is atomic

⁷Similar observations were also made in prior work [63].

and takes one unit of time, (2) has four instruction types $\{\text{Arithmetic}, \text{Branch}, \text{MemLoad}, \text{MemStore}\}$, (3) has infinite fetch bandwidth and execution units, (4) can be parameterized as an in-order or out-of-order/speculative machine. Which instruction types support Safe/Unsafe operands are encoded as conditionals checking operands for label violations ($\#LV$). We explain how to extend the model (e.g., to account for variable latency instructions, cache, limited execution units, more pipeline stages, etc.) in Section VI-C.

2) *Execution traces*: The abstract machine AOOM takes as input a program λ , Public input x and Confidential input y and generates a trace T where each entry T_i tracks a stage of each instruction as it executes on the machine. That is, the i -th element in T is a 4-tuple:

$$T_i = (\text{stage}_i, \text{pc}_i, \text{squash}_i, \text{update}_i).$$

stage_i denotes the instruction's stage $\{\text{Fetch}, \text{Execute}, \text{Retire}\}$. pc_i denotes the instruction address/program counter. Different stages for the same logical instruction share the same pc. If $\text{stage}_i = \text{Execute}$, $\text{squash}_i = \{\text{true}, \text{false}\}$ denotes whether the instruction caused a squash during speculation (Section II-A) or due to a label violation $\#LV$ (Section IV-A2). If $\text{stage}_i \neq \text{Execute}$, $\text{squash}_i = \text{false}$. $\text{update}_i = \text{Write}(\text{addr}, \text{data}, \text{label})$ where Write is a token denoting whether program memory was written, and with what addr, data and label. The Public label is logic 0, Confidential is logic 1. If no write occurs, $\text{addr} = \perp$.

3) *Modeling time*: In our abstraction, entries in T are ordered in time as $\text{time}(T_i) \leq \text{time}(T_{i+j})$ for $i, j \geq 0$ where time is a metric for real time (e.g., clock cycles). That is, multiple events may occur in the same clock cycle (as in a real processor) or be separated far apart. Therefore, stage, and $\text{type}(\text{pc}_i, \lambda)$ allows us to model contention in different pipeline stages for different instruction types.

4) *Modeling out-of-order and speculative execution*: A key feature in our analysis is that AOOM is parameterized by two functions, SCHEDULE and PREDICT. SCHEDULE represents control logic in a real processor and decides which stage of which instruction should be evaluated next. It takes as input the program λ and $\text{Proj}(T)$, a projection of T that removes update from each entry, i.e.,

$$\text{Proj}(T) = \{e.\text{stage}, e.\text{pc}, e.\text{squash} \text{ for } e \in T\}$$

Importantly, $\text{Proj}(T)$ constrains scheduling to not be a function of program data (i.e., $e.\text{update}$) beyond the sequence of present/past fetched instructions ($e.\text{stage}$, $e.\text{pc}$) and whether those instructions result in a squash ($e.\text{squash}$). SCHEDULE outputs an index $\text{idx} \in [0, |T|)$ or \perp . If $\text{idx} = \perp$, the machine will fetch the next instruction. If $\text{idx} \neq \perp$, the machine will evaluate the next stage for the instruction at $T[\text{idx}]$. PREDICT represents branch/jump predictor logic, takes the same inputs as SCHEDULE and outputs the predicted next PC. W.l.o.g. we assume SCHEDULE and PREDICT are deterministic.⁸

Importantly, SCHEDULE and PREDICT are representative of modern processors and allow us to model simple in-order processors to advanced out-of-order speculative processors (details on this claim related to BOOM are in Section VI-C). The only assumption we will make is that SCHEDULE respects in-order Fetch and Retire, as done by machines today.

⁸Heuristics based on randomness can be modeled with an additional seed input.

5) *Modeling machine state*: The current machine state at some point idx in the trace is determined based on the trace prefix from 0 to idx . This includes program state (register file, cache, etc.) and intermediate pipeline/machine state. Program state is calculated based on mem (Algorithm 1). We merge the register file and other memory into a single memory for simplicity. Data always travels with its label, which models Rule IV.1. As mentioned in Section VI-B2, pipeline state (e.g., flip-flops/SRAM not included in program state) is modeled by the sequence of PCs and stages in the trace.

6) *Proof of Security*: We now prove that the abstract model AOOM satisfies Definition III.1 with respect to the following observability function WordStage.

Definition VI.1. (WordStage observability: Public data and labels at Word spatial granularity, instruction stage-level temporal granularity) Given $T = \text{AOOM}(\lambda, x, y)$,

$$\text{WordStage}(T) = \{e.\text{stage}, e.\text{pc}, e.\text{squash}, h(e) \text{ for } e \in T\}$$

where $h(e)$ returns $e.\text{update}$ (unmodified) if $e.\text{update}.\text{label} = \text{false}$, and returns $\text{Write}(e.\text{addr}, \perp, \text{true})$ otherwise.

Algorithm 1: Helper functions *meminit* and *mem*.

```

/* fill memory w/ Public x, Confidential y */
function: meminit(x,y)
1 T := [];
2 for x_i in x do
3   T.append((Execute, ⊥, false, Write(i, x_i, false)))
4 for y_j in y do
5   T.append((Execute, ⊥, false, Write(|x| + i, y_j, true)))
6 return T;
7
/* return coherent memory snapshot, given T.
   Note, an instruction that is squashed by
   another instruction may still create visible
   state changes in the window of time before
   the other instruction reaches Execute. */
function: mem(T)
8 T' = T with all squashed instructions (trace entries) removed.
   That is, remove from T any entry that occurs in between the
   Fetch and Execute stage of an instruction I if I satisfies
   I.stage = Execute ∧ I.squash (inclusive);
9 mem := [⊥ for t in T']; // |T'| upper-bounds mem size
10 for x_i in T' do
11   up := x_i.update;
12   if up.addr ≠ ⊥ then
13     mem[up.addr] = up.data, up.label;
14 return mem;

```

That is, WordStage only removes write data from the trace if the label corresponding to that data is Confidential. Satisfying Definition III.1 with the WordStage function implies the strongest level of privacy with respect to our abstract machine, and implies that the machine's pipeline utilization, PC sequence, set of squash events, and state w.r.t. Public data is independent of Confidential data. We proceed to show Theorem 1:

Theorem 1. *Oblivious*[WordStage, AOOM] holds.

We prove Theorem 1 using strong induction over traces of two program executions $\text{AOOM}(\lambda, x, y)$ and $\text{AOOM}(\lambda, x, y')$, relying heavily on PREDICT and SCHEDULE not being functions

Algorithm 2: Abstract machine definition. As in Figure 2, the Public label is logic 0, Confidential is logic 1.

```

function: AOOM( $\lambda, x, y$ )
1  $T := meminit(x, y);$  // initialize memory
2 while  $!done(T[|T| - 1], \lambda)$  do
3    $idx := SCHEDULE(Proj(T), \lambda);$ 
4   if  $idx = \perp$  then // Fetch new instr
5      $pc := PREDICT(Proj(T), \lambda);$ 
6      $T.append((Fetch, pc, false, Write(\perp, \perp, false)));$ 
7   else
8      $pc := T[idx].pc;$ 
9      $stage := T[idx].stage;$ 
10    if  $stage = Fetch$  then // Execute instr
11       $T.append(execute(Execute, pc, T, \lambda));$ 
12    else if  $stage = Execute$  then // Retire instr
13       $T.append((Retire, pc, false, Write(\perp, \perp, false)));$ 
14 return  $T;$ 
15
function:  $execute(stage, pc, T, \lambda)$ 
16  $update := Write(\perp, \perp, false);$   $squash := false;$ 
17  $arg_0.data, arg_0.label := mem(T)[arg_0(pc, \lambda)];$ 
18  $arg_1.data, arg_1.label := mem(T)[arg_1(pc, \lambda)];$ 
19 if  $type(pc, \lambda) = Arithmetic$  then
20    $data := arg_0.data \text{ op}(pc, \lambda) arg_1.data;$ 
21    $label := arg_0.label \vee arg_1.label;$ 
22    $update := Write(dest(pc, \lambda), data, label);$ 
23 else if  $type(pc, \lambda) = Branch$  then
24   if  $arg_0.label \vee arg_1.label$  then
25      $squash := true;$  // #LV: Confidential->Unsafe
26   else
27      $fidx := \text{index of Fetch for current instr in } T;$ 
28      $guess := \text{direction for } PREDICT(Proj(T[0 : fidx]), \lambda);$ 
29      $actual := arg_0.data \text{ op}(pc, \lambda) arg_1.data;$ 
30      $squash := guess \neq actual;$  // mispredict
31 else
32   if  $arg_0.label$  then
33      $squash := true;$  // #LV: Confidential->Unsafe
34   else
35     if  $type(pc, \lambda) = MemLoad$  then
36        $data, label := mem(T)[arg_0.data];$ 
37        $addr := dest(pc, \lambda)$ 
38     else if  $type(pc, \lambda) = MemStore$  then
39        $data, label := arg_1.data, arg_1.label;$ 
40        $addr := arg_0.data$ 
41      $update := Write(addr, data, label)$ 
42 return  $stage, pc, squash, update;$ 

```

of trace data. Details for the proof are given in the full version [71].

7) *Extensions to randomized cryptographic algorithms:* It is straightforward to extend the above analysis to support randomized cryptographic algorithms such as ORAM [47], [23]. For example, ORAM client logic can be written data obliviously to satisfy $Oblivious[WordStage, AOOM]$ [23], [72]. What is left is to show how the visible ORAM access pattern—which forms a subset of the trace—satisfies computational indistinguishability [47]. This reduces to the security of the ORAM protocol itself and to the OISA’s mechanism to declassify private data, i.e., ounseal. For the latter, since ounseal is a serializing instruction, we know private randomness will

TABLE II: RISC-V BOOM parameters we use for our prototype and evaluation. Arithmetic units with a ‘(xx)’ next to their name are un-pipelined (variable latency), where ‘xx’ denotes the worst-case latency. The prefix ‘i’ denotes integer, ‘f’ denotes floating point. **CondMove** and **Omp** denote logic for ocmov and the oblivious memory partition (Section IV-B), respectively, and are only present on our modified BOOM.

Core μ arch	out-of-order, speculative
Fetch/issue width	4 instructions fetched/issued per cycle
Execution unit 1	iALU, Branch, iMul, iDiv (6-66)
Execution unit 2	iALU, CondMove
Execution unit 3	IntToFP casting
Execution unit 4	fAdd, fMul, fDiv (5-21), fSqrt (5-29), FPToInt casting
Execution unit 5	Load/store + Omp (memory unit)
L1 I/D cache	32 KB, 4 way/64 KB, 16 way; 64 B cache lines
I/D TLB	16/32 entries

be exposed if and only if it is intended by the protocol.

C. Implementation Level

We now map our ISA-level security analysis (Sections IV-B and VI-B) to our prototype on BOOM (Section V), referred to as BOOM.

1) *Threat vectors in unmodified BOOM:* Unmodified BOOM hardware (Section V-A) supports speculation over branches, jumps and unresolved store instructions (Vectors 1-3; c.f. Section III-B) as well as arithmetic units with input-dependent timing (Vector 6, Table II).⁹ Our implementation of the OMP (Section V-B) is also susceptible to cache bank contention (Vector 5) because it uses space in the data cache.

2) *Securing BOOM:* Recall, the primary hardware mechanisms we added to get security are dynamic information flow tracking (Section V-C1), label stations per execution unit to implement Safe/Unsafe operand semantics (Section V-C2), and logic to isolate the OMP (Section V-B).

In Section VI-B, we proved $Oblivious[WordStage, AOOM]$. We show how to use the proof to argue $Oblivious[BitCycle, BOOM]$ —i.e., cycle-level security of our implementation—which implies that Vectors 1-3 and 5-6 are blocked. There are two steps: (1) mapping AOOM to BOOM and (2) mapping WordStage to BitCycle. We omit detail here, and refer readers to the full version of the paper [71].

Finally, we remark that our current reduction to BOOM is best effort, and consider using formal/automated methods to improve design confidence to be important future work.

VII. EVALUATION

We now evaluate the OISA in terms of area overhead (given our prototype on RISC-V BOOM) and performance over data oblivious workloads. We also show two case studies, showing how the OISA secures and accelerates constant time cryptographic code and memory oblivious libraries.

A. Methodology

We evaluate our system through hardware prototyping to show area overheads and software simulation to show performance.

⁹We note BOOM also supports load/store forwarding but is not susceptible to Vector 4 because the data TLB is accessed sequentially before checking the SAQ (Section V-A).

TABLE III: Area (μm^2) for baseline and modified BOOM cores.

	BOOM	BOOM + OISA	Overhead
Logic	363,900	388,658	6.80%
SRAM	384,232	391,291	1.84%
Total	748,132	779,949	4.25%

1) *Hardware prototyping*: We build on top of the open-source BOOM design [28] which is written in the Chisel hardware description language [73]. We parameterized the prototype according to Table II and synthesized the design using a 32 nm commercial process and the Synopsys flow. We report standard cell (logic cell) area for logic and flip-flops post-synthesis, and report SRAM area using the widely used Cacti tool [74]. BOOM maps the instruction/data caches/TLBs and branch predictor tables to SRAM. Remaining storage structures (e.g., the SDQ, RFs) are mapped to flip-flops. The BOOM word width is 64 bits.

2) *Software simulation*: The BOOM hardware only features a single-level cache, whereas commercial machines feature two- or three-level caches to reduce traffic to DRAM. Thus, to measure more realistic performance figures for our system we use Multi2Sim [75], parameterized to match Table II as closely as possible. For all experiments, we use a 256 KB 4-way level 2 cache (that is shared by data and instructions) and a 2 MB 16-way level 3 cache. This configuration is similar to a single slice on an Intel Skylake machine.

3) *OMP usage*: We use a 32 KB OMP (Section IV-B6) that is built into the level 1 data cache. This is sufficient to store ORAM sub-structures (Section IV-B6) and also big enough to fit tables for constant time cryptographic routines (e.g., AES T-tables and RSA multiplier tables). Some workloads do not benefit from the OMP (e.g., some do not have data-dependent memory access patterns). In this case, a bit in thread state disables the OMP to recover cache space.

B. Hardware Prototyping and Area Results

We show area results for unmodified BOOM and BOOM extended to support our OISA in Table III. Our prototype supports all instructions in Section IV-B and Figure 2. The main hardware components needed to support the OISA are storage for DIFT, logic/storage for label stations, logic to partition the OMP, and a random number generator for `orng` (Section V). For structures that need to store labels, we store those labels alongside the data in whatever medium the data was stored in. That is, labels in data cache are stored in SRAM, labels in the SDQ and register files are stored in flip-flops. The largest single area overhead comes from an iterative AES core that we downloaded from OpenCores [76] to implement `orng`. This unit has area $10,935 \mu\text{m}^2$ (3% of the logic area for the unmodified BOOM), and can be replaced by a hardware TRNG (whose area is negligibly small [69]) in a production design.

The takeaway is that hardware overheads are tolerable, both on the logic and SRAM side, showing the practicality of the proposal on advanced commercial-class machines.

C. Performance Results

We now perform studies to evaluate the performance overhead of running data oblivious code securely, with and without the oblivious memory partition.

TABLE IV: Benchmarks and input data sizes for comparing insecure, `doisa` and `doisa_omp`.

Name	Implementation	Data size (small / large)
mat. mult	data oblivious by default	256x256 / 1024x1024
neural network	“”	64-1K-8 / 1024-32K-256 (2 layers)
findmax	“”	8K / 1M integers
sort	bitonic-sort (<code>doisa</code>), data obl. merge-sort (<code>doisa_omp</code>)	4K / 256K integers
pagerank	GraphSC [77]	1K / 16K nodes
binary search	memory scan (<code>doisa</code>), obl. memory (<code>doisa_omp</code>)	8K / 16M integers
kmeans	obl. memory for histogram	64/256 clusters, 4K/32K points
heap push	ODS [66]	8K / 32M integers in heap
heap pop	ODS [66]	8K / 32M integers in heap
sparse dijkstra	ObliVM [64]	256 / 4K vertices

1) *Comparison systems*: We compare two systems—`doisa` and `doisa_omp`—to a baseline insecure system. All three systems use the same microarchitecture (Table II). Benchmarks run on insecure are written in a non-data oblivious fashion (i.e., without the constraints in Section III-B). Benchmarks run on `doisa` are data oblivious, and written using only instructions in Figure 2 except `ocld/ocst` (the oblivious memory extension; c.f. Section IV-B6). Thus, `doisa` will be similar performance-wise to existing data oblivious codes, e.g., Raccoon [1], which don’t have access to an OMP. Benchmarks run on `doisa_omp` use all instructions in Figure 2 including `ocld/ocst`.

2) *Workloads*: We evaluate a suite of common workloads (Table IV) which have previously been written and evaluated data obliviously [1], [66], [77], [64] on existing x86 machines. These codes are divided into three categories. First, codes that are nearly data oblivious in their default form (`mat mult`, `neural network`, `findmax`). Second, codes that rely heavily on data oblivious sort as a subroutine (`sort` and `pagerank`). Third, codes that rely heavily on oblivious memory (`binary search`, `kmeans`, `heap`, `dijkstra`). We will also perform case studies showing our proposal’s applicability in two additional important settings—constant time cryptography and oblivious memory—in Sections VII-C5 and VII-C6.

3) *Data set sizes*: For each benchmark, we evaluate ‘small’ and ‘large’ data sizes. ‘small’ indicates the largest input size that wholly fits into the 32 KB OMP (Section VII-A). We use this configuration for two reasons. First, to show the benefit of having an OMP. Second, to performance compare against prior work (Raccoon [1], which uses similar data sizes). Finally, we show the ‘large’ data size to illustrate overheads where program data does not completely fit into the OMP. In that case, we fallback to ORAM or SCAN as described in Section IV-B6.

4) *Results*: Figure 7 shows the overhead of $\{\text{doisa}, \text{doisa_omp}\} \times \{\text{small}, \text{large}\}$ relative to insecure. The main takeaway is that `doisa_omp` achieves significant ($8.8 \times / 1.7 \times$ for small/large data sizes) speedup over `doisa`. Furthermore, `doisa_omp` has only $3.2 \times / 40.4 \times$ slowdown relative to insecure on the same data sizes. This shows that our OISA makes data oblivious computing practical in cases where data fits in the OMP.

There are two avenues for future work. First, enhance the OMP to support larger sizes (e.g., beyond the level 1 data cache, see Section IV-C2). As we see on the large data set size, overhead for both `doisa` and `doisa_omp` can be large for workloads that depend on oblivious memory, as large data sizes cannot fit into the OMP. Second, engineer more sophisticated instructions supporting Safe operands. For example, `sort` is an

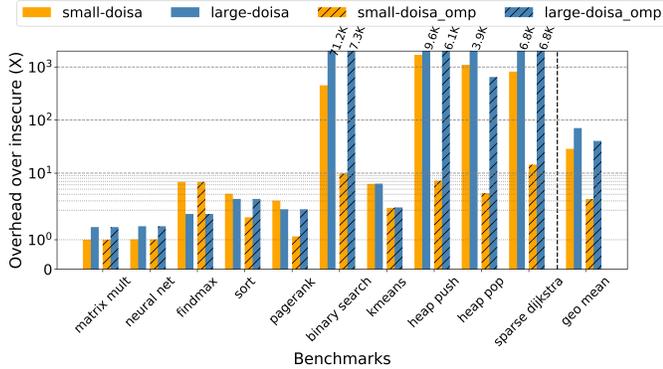


Fig. 7: Performance comparison between doisa and doisa_omp relative to insecure for small/large data sets.

important kernel in multiple data oblivious codes [78], [77], [19], [64]. An OISA can support an osort instruction with Safe operands directly, and use techniques such as hardware partitioning to speedup that operation.

5) *Case study: constant time AES*: An important commercial use-case for data oblivious code today is “constant time” cryptography. Many papers have demonstrated how unprotected codes—e.g., T-table AES [35] and naive modular exponentiation for RSA—leak privacy over microarchitectural side channels [4], [53], [52]. As a result, practitioners use slower codes to improve security—e.g., S-box or bitslice AES [36] and montgomery ladder exponentiation for RSA.¹⁰

Our OISA provides a basis for running high-performance cryptography securely. To demonstrate the benefit, we compare the performance of T-table AES [35] (high performance, low security) vs. bitslice AES [36] (low performance, high security). For this study, we retrofit T-table AES using our ISA and store the T-tables in the OMP to prevent cache attacks (the rest of the code is naturally data oblivious). This gives us a high performance, high security code. The OISA can securely run both the fully unrolled code or a variant with a loop over the number of rounds, regardless of branch prediction accuracy (Section III-B). We argue that on commodity machines today, highly sensitive applications will have to resort to codes like bitslice AES.

Both codes are compiled with gcc using `-O3` optimizations. Relative to an insecure T-table AES code (insecure), our data oblivious T-table AES (doisa_omp) has a 2.17 \times slowdown, while bitslice AES has a 9.6 \times slowdown against the same baseline. Our slowdown relative to insecure is caused by the compiler not optimizing code around `ocld` instructions. Thus, doisa_omp can achieve even lower slowdown with better compiler support.

6) *Case Study: ZeroTrace [23]*: Beyond encryption, there is a rich literature to accelerate data structure operations data obliviously [66], [64], [21]. These schemes typically use oblivious memory as a subroutine. We now demonstrate how the OISA can speedup this subroutine by comparing our oblivious memory API to the original ZeroTrace [23] proposal. Discussed in Section IV-B6, our library combines ZeroTrace with the OMP to achieve speedup for different oblivious memory sizes.

¹⁰Discussed in Section III-B, even hardened codes may be insecure due to subtle hardware optimizations.

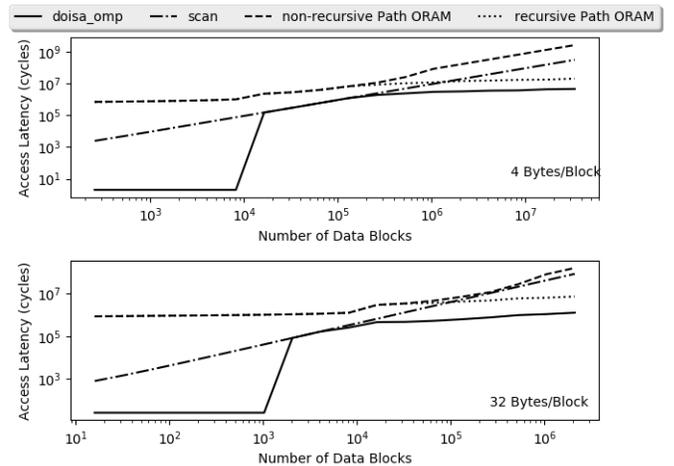


Fig. 8: Comparison between oblivious memory primitives. Scan is the SCAN code from Figure 4, shown for completeness. non-recursive/recursive Path ORAM are baseline ZeroTrace [23].

Results are shown in Figure 8. doisa_omp provides significant speedup in all size regimes. For small data, doisa_omp places the entire memory in the OMP, providing $O(1)$ ($> 1000\times$ speedup) time access to that data. For larger data, doisa_omp uses SCAN or ORAM, depending on which strategy yields best performance, and places the ORAM stash in the OMP in the latter case. An important finding in the ZeroTrace paper is that stash management, written data obliviously, creates a performance bottleneck.¹¹ Since the stash does not grow as a function of the ORAM capacity, we can use the OMP to store the stash and manage it more efficiently, which allows us to improve over baseline ZeroTrace by $\geq 4.6\times$ in all regimes.

VIII. RELATED WORK

Data oblivious stack. Beyond data oblivious code written for today’s ISAs, there is a rich literature to improve algorithm/data structure [47], [79], [78], [77], [80], [81], [64], [82], [83], [66] performance in the *software circuit* abstraction. Additionally, there is rich literature to write (e.g., [65], [84]) and compile (e.g., [64], [85], [82]) programs to software circuits. An important observation is that, although many of these works target cryptographic backends such as garbled circuits, their underlying programming abstraction (software circuits) is very similar to the data oblivious abstraction. For example, bitwise crypto can be easily mapped to integer-wide operations. Thus, our proposal can be used as a secure hardware backend for these works.

Secure co-processors. Secure co-processor proposals Ghost rider [30] and Ascend [29] have the same security goal (Definition III.1) as this paper, but assume a coarse-grain observability function that only captures the processor’s external pin activity (whereas this paper considers fine-grain observability; c.f. Section III-A). These proposals also assume simple processor pipelines and scheduling (e.g., one process per chip at a time). Relative to these works, our goal is to

¹¹We note that an alternate ORAM, Circuit ORAM [72], was designed to avoid stash management overheads. Unfortunately, Circuit ORAM has worse bandwidth— $12 * \log n$ vs. $8 * \log n$ and $3.5 * \log n$ for data size n —than Path ORAM, which relies on a stash. Since our oblivious memory extensions make stash management essentially free, our scheme based on Path ORAM will outperform Circuit ORAM.

show how to retrofit *existing* high-performance machines to concurrently run sensitive and non-sensitive programs, which matches how programmers are writing data oblivious code today.

Architecture to mitigate side channels. There is a significant body of work aimed at blocking specific side channels inside (e.g., [86], [87]) and outside (e.g., [88], [89]) of speculative execution. We view these works as complementary in that they are implementations which can be used to realize various instructions with Safe operands. We note that these works typically do not satisfy Definition III.1 at cycle granularity as is, nor do they provide a composable method to block all (as opposed to specific) side channels without an additional layer such as an OISA.

ISAs for security, type systems for information flow guarantees. ISAs for security are not new; further Safe/Unsafe operands and the use of DIFT can be viewed as performing runtime checks between a simple type system and security lattice (e.g., see [90], [63], [61], [27]). Relative to these lines of work, we view our conceptual contribution as introducing new ISA abstractions and design principles that allow software/hardware designers to trade-off efficiency with implementation complexity, while leaving programmers with simple, portable security guarantees. We note that our choice of lattice and types was done for simplicity; an OISA may be combined with a more sophisticated lattice and set of operand functionalities.

Finally, we view GLIFT [63], [27] as a spiritual predecessor to this work. One of GLIFT’s major insights is that at the logic gate level, implicit and explicit flows look very similar. We observe that the same is true in the data oblivious abstraction at the program level. This allows insight from GLIFT to carry over to our domain (e.g., GLIFT’s bit-level checks/transition functions perform a similar purpose for bits as label stations perform for words; c.f. Section V-C2).

IX. CONCLUSION

This paper proposes an Oblivious ISA extension to enable secure and high-performance data oblivious computing in the data oblivious abstraction. We propose ISA principles, a concrete ISA, a complete prototype on an advanced microprocessor, and accompanying formal analyses for all of the above. Long term, we hope this paper serves as a step for writing and running safe, portable and performant data oblivious code for sensitive applications.

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