



1 **Impact of Siberian observations on the optimization of**
2 **surface CO₂ flux**

3

4 **Jinwoong Kim¹, Hyun Mee Kim¹, Chun-Ho Cho², Kyung-On Boo², Andrew R.**
5 **Jacobson^{3, 4}, Motoki Sasakawa⁵, Toshinobu Machida⁵, Mikhail Arshinov⁶, and**
6 **Nikolay Fedoseev⁷**

7 [1]{Department of Atmospheric Sciences, Yonsei University, Seoul, Republic of Korea}

8 [2]{National Institute of Meteorological Research, Jeju, Republic of Korea}

9 [3]{Earth System Research Laboratory, National Oceanic and Atmospheric Administration,
10 Boulder, USA}

11 [4]{Cooperative Institute for Research in Environmental Sciences, University of Colorado,
12 Boulder, USA}

13 [5]{Center for Global Environmental Research, National Institute for Environment Studies,
14 Tsukuba, Japan}

15 [6]{V. E. Zuev Institute of Atmospheric Optics, Russian Academy of Sciences, Tomsk,
16 Russia}

17 [7]{Melnikov Permafrost Institute, Russian Academy of Sciences, Yakutsk, Russia}

18 Correspondence to: Hyun Mee Kim (khm@yonsei.ac.kr)

19

20 **Abstract**

21 To investigate the effect of additional CO₂ observations in the Siberia region on the Asian and
22 global surface CO₂ flux analyses, two experiments using different observation dataset were
23 performed. One experiment was conducted using a data set that includes additional
24 observations of Siberian tower measurements (Japan-Russia Siberian Tall Tower Inland
25 Observation Network: JR-STATION), and the other experiment was conducted using a data
26 set without the above additional observations. The results show that the global balance of the
27 sources and sinks of surface CO₂ fluxes was maintained for both experiments with and
28 without the additional observations. While the magnitude of the optimized surface CO₂ flux



1 uptake in Siberia decreased, the magnitude of the optimized surface CO₂ flux uptake in the
2 other regions (e.g., Europe) of the Northern Hemisphere (NH) increased for the experiment
3 with the additional observations. This change was mostly caused by changes in the
4 magnitudes of surface CO₂ flux in June and July. The observation impact measured by
5 uncertainty reduction and self-sensitivity tests shows that additional observations provide
6 useful information on the estimated surface CO₂ flux. It is expected that the Siberian
7 observations play an important role in estimating surface CO₂ flux in the NH in the future.

8

9 **1 Introduction**

10 The terrestrial ecosystem in the Northern Hemisphere (NH) plays an important role in the
11 global carbon balance (Hayes et al., 2011; Le Quéré et al., 2015). Especially, Siberia is
12 considered to be the one of the largest CO₂ uptake regions and reservoirs due to its forest area
13 (Schuleze et al., 1999; Houghton et al., 2007; Tarnocai et al., 2009; Kurganova et al., 2010;
14 Schepaschenko et al., 2011); and its dynamics and interactions with the climate have global
15 significance (Quegan et al., 2011). Therefore, it is important to accurately estimate the surface
16 CO₂ fluxes in this region.

17 To estimate the surface CO₂ flux, atmospheric CO₂ inversion studies are conducted using
18 atmospheric transport models and atmospheric CO₂ observations (Gurney et al., 2002; Peylin
19 et al., 2013). However, large uncertainties remain in the estimated surface CO₂ fluxes due to
20 the sparseness of current surface CO₂ measurements assimilated by inverse models (Peters et
21 al., 2010; Bruhwiler et al., 2011). Peylin et al. (2013) performed an intercomparison study of
22 estimated surface CO₂ fluxes from 11 different inversion systems. The results showed that the
23 estimated surface CO₂ flux uptake in the NH, where the atmospheric CO₂ network is dense, is
24 similar across the inversion systems; meanwhile, the established flux is noticeably different
25 across the inversion systems for the tropics and SH, where the atmospheric CO₂ network is
26 sparse.

27 Regionally, however, the longitudinal breakdown of all the NH sinks appears to be much
28 more variable than the total flux itself. Therefore, additional observations in a sparse CO₂
29 observation network region are necessary to reduce uncertainty in estimating the surface CO₂
30 flux. Maksyutov et al. (2003) showed that additional observations in the Asia region show the
31 largest effect and reduce the uncertainty in the estimated regional CO₂ fluxes for Siberia
32 during 1992-1996 by time-independent synthesis inversion. Chevallier et al. (2010) also



1 argued that an extension of the observation network toward Eastern Europe and Siberia is
2 necessary to reduce uncertainty in estimated fluxes by inversion methods. Despite the
3 necessity of additional observations in this region, only a few atmospheric CO₂ inversion
4 studies have been conducted using observations in this region due to the deficiency of
5 observations (Quegan et al., 2011).

6 Meanwhile, Reuter et al. (2014) and Feng et al. (2015) reported that the European terrestrial
7 CO₂ uptake inferred by the satellite-retrieved dry-air column-average model fraction of CO₂
8 (XCO₂) is larger than that inferred by a bottom-up inventory approach or inverse modeling
9 systems using surface-based in situ CO₂ atmospheric concentrations. Though a broad spatial
10 coverage of XCO₂ from satellite radiance observations provides useful information for
11 inversion systems, the current XCO₂ has low accuracy and regional biases of a few tenths of a
12 ppm, which may hamper the accuracy of estimated surface CO₂ fluxes (Miller et al., 2007;
13 Chevallier et al., 2007). Therefore, in situ observations determined by surface measurements
14 in remote regions are necessary to more accurately estimate the surface CO₂ flux in the
15 inverse models.

16 To supply additional observations over Siberia to inverse modeling studies, several efforts to
17 observe the atmospheric CO₂ concentrations in Siberia have been conducted. For example, the
18 Max Plank Institute (MPI) operates a tower (since April 2009), accompanied by aircraft
19 measurements (from 1998 to 2005 with 12 to 21 day intervals) at Zotino (ZOTTO; 60.75°N,
20 89.38°E) (Lloyd et al., 2002; Winderlich et al. 2010). In addition, the Airborne Extensive
21 Regional Observations in Siberia (YAK-AEROBO) aircraft campaign in 2006 (Paris et al.,
22 2008) and Trans-Siberian Observation Into the Chemistry of the Atmosphere (TROICA)
23 project (Turnbull et al., 2009) have measured CO₂ and other chemical species. However these
24 data collected during specific seasons or over only a few years do not provide the long-term
25 CO₂ concentration data necessary to be used as a constraint in the inverse modeling system.

26 The Center for Global Environmental Research (CGER) of the National Institute for
27 Environmental Studies (NIES) of Japan with the cooperation of the Russian Academy of
28 Science (RAS) constructed a tower network called the Japan-Russia Siberian Tall Tower
29 Inland Observation Network (JR-STATION) in 2002 to measure the continuous CO₂ and CH₄
30 concentrations (eight towers in central Siberia and one tower in eastern Siberia) and measure
31 the vertical profile of CO₂ from the planetary boundary layer (PBL) to the lower free
32 troposphere by aircraft at one site (Sasakawa et al., 2010; 2013). Saeki et al. (2013) estimated



1 the monthly surface CO₂ flux for 68 subcontinental regions by using the fixed-lag Kalman
2 smoother and NIES-TM transport model with JR-STATION data. They reported that the
3 inclusion of additional Siberian observation data has an impact on the inversion results
4 showing larger interannual variability over northeastern Europe as well as Siberia, and
5 reduces the uncertainty of surface CO₂ uptake.

6 CarbonTracker, developed by the National Oceanic and Atmospheric Administration Earth
7 System Research Laboratory (NOAA ESRL) (Peters et al., 2007), is an atmospheric CO₂
8 inverse modeling system that estimates optimized weekly surface CO₂ flux on a 1°×1°
9 horizontal resolution by using the Ensemble Kalman Filter (EnKF). Since the original
10 CarbonTracker release (Peters et al 2007), a series of improvements have been made with
11 subsequent releases. These include increasing the sites from which CO₂ data are assimilated,
12 increasing the resolution of atmospheric transport, improving the simulation of atmospheric
13 convection in TM5, and the use of multiple first-guess flux models to estimate dependence on
14 priors. These improvements are documented at <http://carbontracker.noaa.gov>. Several studies
15 have focused on Asia using CarbonTracker (Kim et al., 2012; 2014a; 2014b, Zhang et al.,
16 2014a, 2014b). Schneising et al. (2011) showed that SCanning Imaging Absorption
17 spectroMeter for Atmospheric CHartography (SCIAMACHY) retrieval data indicate a
18 stronger North American boreal forest uptake and weaker Russian boreal forest uptake
19 compared to CarbonTracker within their uncertainties. On the other hand, Zhang et al.
20 (2014b) estimated surface CO₂ fluxes in Asia by assimilating CONTRAIL (Machida et al.,
21 2008) aircraft CO₂ measurements into the CarbonTracker framework. The results show that
22 surface CO₂ uptake over the Eurasian Boreal (EB) region slightly increases. However, the
23 surface measurements data over the EB region are still not used in the study by Zhang et al.
24 (2014b). Kim et al. (2014b) showed that comprehensive coverage of additional observations
25 in an observation sparse region, e.g., Siberia, is necessary to estimate the surface CO₂ flux in
26 these areas as accurately as that obtained for North America in the CarbonTracker framework
27 using an influence matrix calculation.

28 In this study, the impact of additional Siberian observations on the optimized surface CO₂
29 flux over the globe and Asian region within CarbonTracker (The version of CarbonTracker
30 used in this study is based on the CarbonTracker 2010 release) are investigated by comparing
31 the results of estimated surface CO₂ fluxes from two experiments with and without Siberian
32 observations. Section 2 presents the methodology including a priori flux data, atmospheric



1 CO₂ observations, and experimental framework. Section 3 presents the results, and Section 4
2 provides a summary and conclusions.

3

4 **2 Methodology**

5 **2.1 Inversion method**

6 CarbonTracker is an inverse modeling system developed by Peters et al. (2007). Optimized
7 surface CO₂ fluxes with a 1°×1° horizontal resolution are calculated as follows:

$$8 \quad F(x, y, t) = \lambda_r \cdot F_{bio}(x, y, t) + \lambda_o \cdot F_{ocn}(x, y, t) + F_{ff}(x, y, t) + F_{fire}(x, y, t), \quad (1)$$

9 where $F_{bio}(x, y, t)$, $F_{ocn}(x, y, t)$, $F_{ff}(x, y, t)$, and $F_{fire}(x, y, t)$ are the emissions from the
10 biosphere, the ocean, fossil fuel, and fires. λ_r is the scaling factor to be optimized in the data
11 assimilation process, corresponding to 156 ecoregions around the globe (126 land and 30
12 ocean regions). In the land, the ecoregions are defined as following the Transcom regions
13 (Gurney et al., 2002) with ecosystem classification defined Olson et al. (1992). In the ocean,
14 30 ocean regions are defined following Jacobson et al. (2007). The scaling factor spans 5
15 weeks with 1 week resolution. In each assimilation cycle, the entire scaling factor is updated
16 by 1 week observations by a time stepping approach. The assimilation window moves
17 forward by 1 week at each assimilation cycle. After 5 assimilation cycles, the first part of the
18 scaling factor analyzed by 5 weeks observations is regarded as the optimized scaling factor.

19 The EnKF data assimilation method used in CarbonTracker is the ensemble square root filter
20 (EnSRF) suggested by Whitaker and Hamill (2002). The analysis equation for data
21 assimilation is expressed as

$$22 \quad \mathbf{x}^a = \mathbf{K}\mathbf{y}^o + (\mathbf{I}_n - \mathbf{K}\mathbf{H})\mathbf{x}_b, \quad (2)$$

23 where \mathbf{x}^a is the n-dimensional analysis (posterior) state vector ; \mathbf{y}^o is the p-dimensional
24 observation vector (atmospheric CO₂ observations); \mathbf{K} is the n × p dimensional Kalman gain;
25 \mathbf{I}_n is the identity matrix; \mathbf{H} is the linearized observation operator, which transforms the
26 information in the model space to the information in the observation space; and \mathbf{x}_b is the
27 background state vector. In CarbonTracker, the state vector corresponds to the scaling factor.
28 The Kalman gain \mathbf{K} is defined as



$$\mathbf{K} = (\mathbf{P}^b \mathbf{H}^T) (\mathbf{H} \mathbf{P}^b \mathbf{H}^T + \mathbf{R})^{-1}, \quad (3)$$

where \mathbf{P}^b is the background error covariance; \mathbf{R} is the observation error covariance or model data mismatch, which is predefined at each observation site. $\mathbf{P}^b \mathbf{H}^T$ and $\mathbf{H} \mathbf{P}^b \mathbf{H}^T$ in Eq. (3) can be calculated as

$$\mathbf{P} \mathbf{H}^T \approx \frac{1}{m-1} (x'_1, x'_2, \dots, x'_m) \cdot (\mathbf{H} x'_1, \mathbf{H} x'_2, \dots, \mathbf{H} x'_m)^T, \quad (4)$$

$$\mathbf{H} \mathbf{P} \mathbf{H}^T \approx \frac{1}{m-1} (\mathbf{H} x'_1, \mathbf{H} x'_2, \dots, \mathbf{H} x'_m) \cdot (\mathbf{H} x'_1, \mathbf{H} x'_2, \dots, \mathbf{H} x'_m)^T, \quad (5)$$

where m is the number of ensembles and $'$ denotes the perturbation of ensemble mean.

To reduce the sampling error and filter divergence due to the underestimation of background error covariance in the EnKF, the covariance localization method is used (Houtekamer and Mitchell, 2001). The localization is not applied to Marine Boundary Layer (MBL) sites (e.g. observation sites in Antarctica), because the MBL sites are considered as including information on large footprints of flux signals (Peters et al., 2007). The physical distance between the scaling factors cannot be defined. Therefore, localization is performed based on the linear correlation coefficient between the ensemble of the scaling factor and the ensemble of the model CO₂ concentration (Peters et al., 2007). The Kalman gain with an insignificant statistical value is set to zero after a statistical significance test, 95% significance level in a student's T-test, is performed on the correlations.

2.2 A priori flux data

Four types of a priori and imposed CO₂ fluxes used in this study are as follows: (1) First guess biosphere flux from the Carnegie–Ames–Stanford Approach Global Fire Emissions Database (CASA GFED) version 3.1 (van der Werf et al., 2010). The 3 hour interval Net Ecosystem Exchange (NEE) is calculated from monthly mean Net Primary Production (NPP) and ecosystem respiration (RE) by using a simple temperature Q_{10}^1 relationship and a linear

¹ It is calculated as $Q_{10}(t) = 1.5^{((T_{2m} - T_0)/10.0)}$, where t is time, T_{2m} is temperature (K) at 2 m, and T_0 is 273.15 K.



1 scaling of photosynthesis with solar radiation (Olsen and Randerson, 2004); (2) the prior
2 ocean flux from air-sea partial pressure differences based on Jacobson et al. (2007). Short-
3 term flux variability is derived from the atmospheric model wind speeds via the gas transfer
4 coefficient; (3) biomass burning emissions obtained from GFED v3.1 (van der Werf et al.,
5 2010); (4) the prescribed fossil fuel emission from the Carbon Dioxide Information and
6 Analysis Center (CDIAC) and the Emission Database for Global Atmospheric Research
7 (EDGAR) databases

8 **2.3 Atmospheric CO₂ observations**

9 Atmospheric CO₂ mole fraction observations measured at surface observation sites are used in
10 this study. Figure 1 shows the observation network and Table 1 presents observation site
11 information for the Asian and European regions. Three sets of atmospheric CO₂ observations
12 data are assimilated: (1) surface CO₂ observations distributed by the NOAA ESRL
13 (observation sites operated by NOAA, Environment Canada (EC), the Australian
14 Commonwealth Scientific and Industrial Research Organization (CSIRO), the National
15 Center for Atmospheric Research (NCAR), and Lawrence Berkeley National Laboratory
16 (LBNL)); (2) World Data Centre for Greenhouse Gases (WDCGG,
17 <http://ds.data.jma.go.jp/wdcgg/>); (3) JR-STATION observation data over Siberia operated by
18 CGER/NIES (Sasakawa et al., 2010; 2013). The JR-STATION sites consist of nine towers
19 (eight towers in west Siberia and one tower in east Siberia). At the BRZ (Berezorechka) site,
20 in West Siberia, a light aircraft measures the vertical profiles of CO₂ from the PBL to the
21 lower free troposphere (LFT). Atmospheric air was sampled at four levels on the BRZ tower
22 and at two levels on the other eight towers. Sampled CO₂ data were calibrated against the
23 NIES 09 CO₂ scale which are lower than the WMO-X2007 CO₂ scale by 0.07 ppm at around
24 360 ppm and consistent in the range between 380 and 400 ppm (Machida et al., 2011).
25 Detailed description of JR-STATION sites can be found in Sasakawa et al. (2010; 2013).
26 Daytime averaged CO₂ concentrations (1200-1600 LST, representing the time when active
27 vertical mixing occurred in the PBL) for each day from the time series at the highest level of
28 tower measurements are used in the data assimilation.

29 In CarbonTracker, model data mismatch (MDM) is determined by requiring innovation χ^2
30 statistics in Eq. (6) become one at each observation site (Peters et al. 2007).



$$\chi^2 = \frac{(y^o - \mathbf{H}x^b)^2}{\mathbf{H}P^b\mathbf{H}^T + \mathbf{R}}, \quad (6)$$

The site categories and model-data mismatch values are assigned the same value as in previous studies (Peters et al., 2007; Kim et al. 2014b; Zhang et al., 2014b). For the JR-STATION sites, the model-data mismatch is set to 3 ppm, which is the same as for tower measurements in North America. The location of each observation site is represented in Fig. 1.

2.4 Experimental framework

Two experiments with different set of observations are conducted in this study: one experiment, the CNTL experiment, is conducted by using set of observations without observations in the Siberia region (black color observation sites represented in Fig. 1); the other experiment, the JR experiment, is conducted by using all available observations including the Siberia data (all observation sites represented in Fig. 1). The TM5 model (Krol et al., 2005) which calculates four-dimensional CO₂ concentration field runs at global 3°×2° horizontal resolution and a nesting domain centered in Asia with 1°×1° horizontal resolution. The nesting domain is shown in Fig. 1. Meteorological variables for running the TM5 transport model are from the European Centre for Medium-Range Weather Forecasts (ECMWF) forecast model output. The experimental period is from 1 January 2000 to 31 December 2009. The observation data commonly used for CNTL and JR experiments exists from 2000, but the additional Siberia data for the JR experiment exist from 2002. The number of ensembles is 150, and the scaling factor includes 5 weeks of lag, as in previous studies (Peters et al., 2007; Peters et al., 2010; Peylin et al., 2013; Kim et al., 2012, 2014a, 2014b; Zhang et al., 2014a, 2014b).

22

3 Results

3.1 Characteristics of carbon fluxes

In this section, optimized surface CO₂ fluxes inferred from two experiments are examined. The optimized surface CO₂ flux in 2000 and 2001 is excluded from this analysis because 2000 is considered a spin-up year similar to previous studies using CarbonTracker and JR-STATION data are used since 2002. Only the biosphere and ocean fluxes are presented here because fires (biomass burning) and fossil fuel emissions are not optimized in CarbonTracker.



1 Figure 2 presents the spatial distribution of the averaged prior and optimized biosphere and
2 ocean fluxes of the two experiments and the difference between the CNTL and JR
3 experiments from 2002 to 2009. The optimized biosphere flux uptakes of the CNTL and JR
4 experiments are greater than the prior flux uptakes (Figs. 2a, c, d). The differences in fluxes
5 between the CNTL and JR experiments are distinctive in EB (Siberia) where the new
6 additional observations are assimilated (Fig. 2b). The magnitude of surface CO₂ uptakes
7 decreases in that region by assimilating JR-STATION observation data. On the contrary, the
8 average surface CO₂ uptakes in other regions, such as North America, Europe, the western
9 North Pacific Ocean, and the Atlantic Ocean, increase by assimilating JR-STATION
10 observation data.

11 The difference in the optimized CO₂ flux between the two experiments is analyzed. Table 2
12 presents prior and optimized fluxes with their uncertainties for global total, global land, global
13 ocean, and TransCom regions in the NH. Flux uncertainty is calculated as one-sigma standard
14 deviation of the fluxes estimated, assuming Gaussian errors. The global total optimized CO₂
15 fluxes are similar for each experiment at -5.69 ± 1.84 Pg C yr⁻¹ (CNTL experiment) and
16 -5.60 ± 1.72 Pg C yr⁻¹ (JR experiment), compared with the global prior flux of -3.94 ± 2.23 Pg C
17 yr⁻¹. The global land sink in the CNTL experiment is larger by 0.07 Pg C yr⁻¹ than that of the
18 JR experiment, and the global ocean sink in the CNTL experiment is smaller by 0.08 Pg C yr⁻¹
19 than that of the JR experiment. The additional observations do not make any discrepancy
20 between two the experiments with respect to the global total sink, and they indicate only a
21 small difference in the land-ocean CO₂ flux partitioning. The estimated CO₂ flux uncertainty
22 in the land region from the JR experiment is smaller than that of the CNTL experiment
23 because new observations provide additional constraints on the optimized CO₂ flux. For
24 specific regions in the NH, a large difference of optimized surface CO₂ flux is observed in the
25 EB. The surface CO₂ uptakes in the EB of the CNTL experiment is -1.17 ± 0.93 Pg C yr⁻¹ and
26 that of the JR experiment is -0.78 ± 0.70 Pg C yr⁻¹, respectively. The uncertainty of the
27 optimized surface CO₂ uptake in the EB from the JR experiment is reduced by assimilating
28 additional observations. On the other hand, the surface CO₂ uptake increases in other regions
29 of the NH.

30 Figure 3 presents the spatial distribution of the optimized biosphere fluxes difference between
31 the CNTL and JR experiments from 2002 to 2009. The difference of optimized surface CO₂
32 flux is calculated as in Fig. 2b. The largest difference of optimized surface CO₂ fluxes



1 between the two experiments occurs in Siberia. The uptake of optimized surface CO₂ flux in
2 this region is reduced all years except 2003. In 2003, extreme drought occurred in the
3 northern mid-latitudes (Knorr et al., 2007) and Europe (Ciais et al., 2005), which resulted in
4 increased NEE (i.e. reduced uptake of CO₂). Despite the number of observations used in the
5 optimization in 2003 being relatively smaller than that in the later experiment period, new
6 observations in the JR experiment provide information on the reduced uptake of optimized
7 surface CO₂ fluxes in 2003 in Siberia.

8 Optimized surface CO₂ fluxes averaged from 2002 to 2009 for each ecoregion in the NH are
9 shown in Table 3. In the EB, optimized surface CO₂ uptake from the JR experiment is smaller
10 (larger) than that of the CNTL experiment in the Conifer Forest and Northern Taiga (in other
11 ecoregions). In the ET, Europe, North American Boreal (NAB), and North American
12 Temperate (NAT) regions, the optimized surface CO₂ uptakes from the JR experiment are
13 larger than those of the CNTL experiment in most ecoregions.

14 Figure 4 shows the histogram of annual and average optimized surface CO₂ fluxes over global
15 total, global land, and global ocean. As shown in Table 2, the differences between annual and
16 average optimized surface CO₂ fluxes over the globe are small and the average is almost the
17 same for the two experiments (Fig. 4a), and the differences in global land and ocean are also
18 small (Figs. 4b, c). The optimized surface CO₂ fluxes from each experiment show similar
19 interannual variability, which implies that the additional Siberian observations do not affect
20 the interannual variability of global surface CO₂ uptakes.

21 Figure 5 is the same as Fig. 4 but covers land regions in the NH. Although the optimized
22 surface CO₂ fluxes over global total are similar, those over each TransCom region are
23 different in each experiment. The difference between the two experiments is largest in the EB
24 as expected (Fig. 5a). The JR experiment exhibits a weaker surface CO₂ uptake in the EB than
25 does the CNTL experiment except for 2003 as shown in Fig. 3b, whereas the JR experiment
26 exhibits a greater surface CO₂ uptake in the other regions, especially over Europe in 2008 and
27 2009, than the CNTL experiment (Figs. 5b, c, d, and e).

28 Figure 6 shows monthly optimized surface CO₂ fluxes averaged from 2002 to 2009 with their
29 uncertainties from both experiments. The largest difference in surface CO₂ flux between the
30 two experiments occurs in June and July, which represent the active season of the terrestrial
31 ecosystem with a large surface CO₂ flux uncertainty. The JR experiment exhibits a weaker
32 surface CO₂ summer uptake in the EB (Fig. 6a) and slightly greater uptake in the other



1 regions (Figs. 6b, c, d, and e). Additional Siberian data provides information on the surface
2 CO₂ uptake by vegetation activity in the NH summer.

3 **3.2 Comparison with observations**

4 Table 4 presents the average bias of the model CO₂ concentrations calculated by the
5 background and optimized fluxes of the two experiments at each observation site located in
6 Asia and Europe from 2002 to 2009. The bias is calculated by subtracting the observed CO₂
7 concentrations from the model CO₂ concentrations. Biases of the JR experiment are smaller
8 than those of the CNTL experiment at the JR-STATION sites, which indicates that the
9 optimized surface CO₂ flux of the JR experiment is more consistent with the observed CO₂
10 concentrations than that in the CNTL experiment. The negative bias at five JR-STATION
11 sites (DEM, IGR, KRZ, NOY, and YAK) located in the forest area of the EB is reduced
12 compared with those of the CNTL experiment, which indicates that the optimized surface
13 CO₂ uptake of the CNTL experiment is overestimated with respect to CO₂ concentration
14 observations in Siberia. Otherwise, the reduced surface CO₂ uptake of the JR experiment
15 exhibits more consistent model CO₂ concentrations in this region. Model CO₂ concentrations
16 calculated by background surface CO₂ fluxes from the JR experiment are also more consistent
17 with the observations, implying that background scaling factors of the JR experiment are
18 more accurate than those of the CNTL experiment. In addition, the average innovation χ^2 -
19 statistics at the JR-STATION sites are generally close to 1, implying that the defined MDM is
20 an appropriate value. Therefore, by assimilating JR-STATION observation data, the JR
21 experiments exhibits better results than the CNTL experiment at observation sites in EB.

22 However, at observation sites in ET and Europe, the difference in biases of the two
23 experiments is relatively small and not significant enough to determine which experiment
24 exhibits better results. This is due to the small difference of optimized surface CO₂ fluxes
25 between the two experiments in the ET region. The observation sites in Europe are located far
26 from Eastern Europe and Siberia as shown in Fig. 1 so that they are not sensitive to the
27 change of surface CO₂ uptake in those regions. In addition, the MDM at four sites (BAL, BSC,
28 HUN, and OBN) in Europe is assigned as 7.5 ppm, the largest value in CarbonTracker, due to
29 poor representation of the transport model at these sites.



1 3.3 Effect of additional observations

2 The effects of additional observations on the optimized surface CO₂ flux are investigated.
3 Figure 7 shows the average, maximum, average in summer (June, July, and August) and
4 average in winter (December, January, February) uncertainty reductions from 2002 to 2009.
5 The uncertainty reduction is calculated as follows:

$$6 \quad UR = \frac{\sigma_{CNTL} - \sigma_{JR}}{\sigma_{CNTL}} \times 100(\%), \quad (7)$$

7 where σ_{CNTL} and σ_{JR} are one-sigma standard deviations of the optimized scaling factor for
8 CNTL experiment and JR experiment, respectively, assuming Gaussian errors. The maximum
9 uncertainty reduction is the greatest value in any week in the period 2002 to 2009 in each
10 ecoregion. As expected, the average uncertainty reduction is readily apparent in the Confer
11 Forest of EB, which has additional observations (Fig. 7a). The uncertainty reduction of Asia
12 and Europe, especially in the forest of Siberia and Eastern Europe, is greater than for other
13 regions. The spatial pattern of the maximum uncertainty reduction is similar to that of the
14 average values, but the magnitude of the maximum uncertainty reduction is higher than the
15 average value, which implies that additional observations sometimes have a great impact on
16 the optimization of surface CO₂ flux (Fig. 7b). The uncertainty reduction of EB in summer is
17 higher than that in winter (Figs. 7c, d). For example, the average value of the Conifer Forest
18 of EB is 29.1%, the maximum value is 78.6%, the average value in summer is 36.3% and the
19 average value in winter is 29.7%, respectively. The result shows that the uncertainties of the
20 optimized surface CO₂ fluxes are reduced by the additional observations.

21 To investigate the impact of individual observations, the self-sensitivities are calculated by
22 the method demonstrated by Kim et al. (2014b). The self-sensitivity is the diagonal element of
23 the influence matrix which measures the impact of individual observations in the observation
24 space on the optimized surface CO₂ flux. Figure 8 shows the self-sensitivities of the two
25 experiments averaged from 2002 to 2009. The average self-sensitivities at the JR-STATION
26 sites are as large as those at the tower measurements in North America, i.e., Continuous site
27 category observations in Fig. 1. The global average self-sensitivities are 4.83% (CNTL
28 experiment) and 5.08% (JR experiment), and the cumulative impacts for the 5 weeks
29 assimilation window are 18.79% (CNTL experiment) and 19.33% (JR experiment). The
30 average self-sensitivities of additional observations are higher than those of other sites,
31 providing much information for estimating surface CO₂ fluxes.



1 To assess the observation impact on the optimized surface CO₂ fluxes, the root mean square
2 differences (RMSDs) between the optimized surface CO₂ fluxes and the background fluxes at
3 each assimilation step in summer are calculated (Fig. 9). The RMSD of the analyzed surface
4 CO₂ fluxes constrained by one week of observations from the background fluxes in JR
5 experiment is greater than that in CNTL experiment (Figs. 9a, b). The RMSD values in
6 Siberia are as high as those in North America, implying that surface CO₂ fluxes in Siberia are
7 analyzed by direct observations at the first cycle. This is consistent with the high value of
8 self-sensitivities at JR-STATION sites as shown in Fig. 8b. Kim et al. (2014b) showed that
9 the RMSD in Asia increases after 5 weeks of optimization, which implies that it takes 5
10 weeks to affect the surface CO₂ fluxes in Siberia by the transport of the CO₂ concentrations
11 observed in remote regions. However, by assimilating the CO₂ concentrations observed at the
12 JR-STATION sites in Siberia, the observation impact on the optimized surface CO₂ fluxes in
13 Siberia increases after 1 week of optimization (Fig. 9b).

14 On the other hands, the RMSD in the Siberia region increases after 5 weeks of optimization in
15 the CNTL experiment compared to that in the JR experiment (Figs. 9c, d), which corresponds
16 to the reduced uptake of optimized surface CO₂ fluxes in JR experiment as shown in Fig. 2b.

17 **3.4 Comparison with other results**

18 A comparison of the optimized surface CO₂ flux in this study with other inversion studies is
19 presented in Table 5. In the EB, the land sink from the JR experiment (-0.78 ± 0.70 Pg C yr⁻¹)
20 is smaller than those reported by Zhang et al. (2014b) (-1.02 Pg C yr⁻¹), Maki et al. (2010) ($-$
21 1.46 ± 0.41 Pg C yr⁻¹), and the CT2013B results (-1.09 ± 4.03 Pg C yr⁻¹), but higher than those
22 reported by Saeki et al. (2013) (-0.35 ± 0.41 Pg C yr⁻¹; including biomass burning 0.11 Pg C yr⁻
23 ¹). Because CT2013B and Zhang et al. (2014b) use the same inversion framework as this
24 study, the reduced land sink is caused by assimilating additional observations. The difference
25 in land sink between the JR experiment and Saeki et al. (2013) is caused by a different
26 inversion system framework.

27 In Europe, though the long-term average land sink from the JR experiment (-0.35 ± 0.65 Pg C
28 yr⁻¹) is similar to that of CTE2014 (-0.33 ± 0.80 Pg C yr⁻¹), the average land sink from 2008-
29 2009 of the JR experiment (-0.75 ± 0.63 Pg C yr⁻¹) is much higher than that of CTE2014 ($-$
30 0.11 ± 0.38 Pg C yr⁻¹). According to Reuter et al. (2014), despite the different experiment
31 period, the land sink of Europe in 2010 (-1.02 ± 0.30 Pg C yr⁻¹) estimated by using satellite



1 observations is much higher than previous inversion studies (e.g., Peylin et al. 2013) using
2 only surface observations. The land sinks of the JR experiment in 2008 and 2009 are -0.67
3 and -0.75 Pg C yr⁻¹, respectively, whereas much lower uptakes (-0.21, -0.39 Pg C yr⁻¹) are
4 obtained for the CNTL experiment. Overall, the optimized surface CO₂ fluxes of JR
5 experiment are comparable to those of other previous studies.

6

7 **4 Summary and conclusions**

8 In this study, to investigate the effect of the Siberian observations, which are not used in the
9 previous studies using CarbonTracker, on the optimization of surface CO₂ fluxes, two
10 experiments, named CNTL and JR, with different sets of observations from 2000 to 2009
11 were conducted and optimized surface CO₂ fluxes from 2002 to 2009 were analyzed.

12 The global balances of the sources and sinks of surface CO₂ fluxes were maintained for both
13 experiments, while the distribution of the optimized surface CO₂ fluxes changed. The
14 magnitude of the optimized biosphere surface CO₂ uptake in EB (Siberia) was decreased,
15 whereas it was increased in other regions of the NH (Eurasian Temperate, Europe, North
16 American Boreal, and North American Temperate). The land sink of Europe increased
17 significantly for 2008 and 2009, which is consistent with the other inversion results inferred
18 by satellite observations. Additional observations are used to correct the surface CO₂ uptake
19 in June and July, the active vegetation uptake season, in terms of monthly average optimized
20 surface CO₂ fluxes. As a result, the additional observations do not exhibit a change in the
21 magnitude of the global surface CO₂ flux balance because they provide detailed information
22 about the Siberian land sink instead of the global land sink magnitude, when they are used in
23 the well-constructed inversion modeling system.

24 The model CO₂ concentration using the background and optimized surface CO₂ fluxes in the
25 JR experiment are more consistent with the CO₂ observations than those in the CNTL
26 experiment, showing lower biases in the EB region. On the other hand, the differences of
27 biases in ET and Europe between the two experiments are not distinguishable.

28 The new observations provide useful information on the optimized surface CO₂ fluxes. The
29 observation impact of the Siberian observation data is investigated by means of uncertainty
30 reduction and self-sensitivity calculated by an influence matrix. Additional observations
31 reduce the uncertainty of the optimized surface CO₂ fluxes in Asia and Europe, mainly in the



1 EB (Siberia), where the new observations are used in the assimilation. The average self-
2 sensitivities of the JR-STATION sites are as large as other continuous measurements (e.g.,
3 tower measurements in North America). The global average self-sensitivity and cumulative
4 impact of the JR experiment are higher than that of the CNTL experiment, which implies that
5 the individual observation impact of JR-STATION data on optimized surface CO₂ fluxes is
6 higher than the average values. The RMSD of the analyzed surface CO₂ fluxes constrained by
7 one week of observations from the background fluxes also suggests that new Siberian
8 observations provide a larger amount of information on the optimized surface CO₂ fluxes.

9 This study reaffirms that the JR-STATION data affect the longitudinal distribution of the total
10 NH sinks, especially in the EB and Europe, when it is used by atmospheric CO₂ inversion
11 modeling. In the future, it is expected that Siberian observations will be used as an important
12 constraint for estimating surface CO₂ fluxes over the NH with various CO₂ observations (e.g.
13 satellite and aircraft measurements) simultaneously.

14

15 **Acknowledgements**

16 This study was funded by the Korea Meteorological Administration Research and
17 Development Program under the Grant KMIPA 2015-2021 .and by the Yonsei University
18 Yonsei-SNU Collaborative Research Fund of 2015. The JR-STATION is supported by the
19 Global Environment Research Account for National Institutes of the Ministry of the
20 Environment, Japan and the Russian Foundation for Basic Research (Grant No. 14-05-00590).

21



1 **References**

- 2 Bruhwiler, L. M. P., Michalak, A. M., and Tans, P. P.: Spatial and temporal resolution of
3 carbon flux estimates for 1983-2002, *Biogeosciences*, 8, 1309-1331, doi:10.5194/bg-8-1309-
4 2011, 2011.
- 5 Chevallier, F., Bréon, F.-M., and Rayner, P. J.: Contribution of the Orbiting Carbon
6 Observatory to the estimation of CO₂ sources and sinks: Theoretical study in a variational
7 data assimilation framework, *J. Geophys. Res. Atmos.*, 112, D09307,
8 doi:10.1029/2006JD007375, 2007
- 9 Chevallier, F., Ciais, P., Conway, T. J., Aalto, T., Anderson, B. E., Bousquet, P., Brunke, E.
10 G., Ciattaglia, L., Esaki, Y., Fröhlich, M., Gomez, A., Gomez-Pelaez, A. J., Haszpra, L.,
11 Krummel, P. B., Langenfelds, R. L., Leuenberger, M., Machida, T., Maignan, F., Matsueda,
12 H., Morguá, J. A., Mukai, H., Nakazawa, T., Peylin, P., Ramonet, M., Rivier, L., Sawa, Y.,
13 Schmdit, M., Steele, L. P., Vay, S. A., Vermeulen, A. T., Wofsy, S., and Worthy, D.: CO₂
14 surface fluxes at grid point scale estimated from a global 21 year reanalysis of atmospheric
15 measurements, *J. Geophys. Res. Atmos.*, 115, D21307, doi 10.1029/2010jd013887, 2010
- 16 Ciais, P., Reichstein, M., Viovy, N., Granier, A., Ogee, J., Allard, V., Aubinet, M., Buchmann,
17 N., Bernhofer, C., Carrara, A., Chevallier, F., De Noblet, N., Friend, A. D., Friedlingstein, P.,
18 Grunwald, T., Heinesch, B., Keronen, P., Knohl, A., Krinner, G., Loustau, D., Manca, G.,
19 Matteucci, G., Miglietta, F., Ourcival, J. M., Papale, D., Pilegaard, K., Rambal, S., Seufert, G.,
20 Soussana, J. F., Sanz, M. J., Schulze, E. D., Vesala, T., and Valentini, R.: Europe-wide
21 reduction in primary productivity caused by the heat and drought in 2003, *Nature*, 437, 529–
22 533, 2005.
- 23 Feng, L., Palmer, P. I., Parker, R. J., Deutscher, N. M., Feist, D. G., Kivi, R., Morino, I. and
24 Sussmann, R.: Elevated uptake of CO₂ over Europe inferred from GOSAT XCO₂ retrievals: a
25 real phenomenon or an artefact of the analysis?, *Atmos. Chem. Phys. Discuss.*, 15, 1989-2011,
26 doi:10.5194/acpd-15-1989-2015, 2015.
- 27 Gurney, K. R., Law, R. M., Denning, A. S., Rayner, P. J., Baker, D., Bousquet, P., Bruhwiler,
28 L., Chen, Y. H., Ciais, P., Fan, S., Fung, I. Y., Gloor, M., Heimann, M., Higuchi, K., John, J.,
29 Maki, T., Maksyutov, S., Masarie, K., Peylin, P., Prather, M., Pak, B. C., Randerson, J.,
30 Sarmiento, J., Taguchi, S., Takahashi, T., and Yuen, C. W.: Towards robust regional



- 1 estimates of CO₂ sources and sinks using atmospheric transport models, *Nature*, 415, 626–630,
2 2002.
- 3 Hayes, D. J., McGuire, A. D., Kicklighter, D. W., Gurney, K. R., Burnside, T. J., and Melillo,
4 J. M.: Is the northern high-latitude land-based CO₂ sink weakening?, *Global Biogeochem. Cy.*,
5 25, GB3018, doi:10.1029/2010GB003813, 2011.
- 6 Houghton, R. A., Butman, D., Bunn, A. G., Krankina, O. N., Schlesinger, P., and Stone, T.
7 A.: Mapping Russian forest biomass with data from satellites and forest inventories. *Environ.*
8 *Res. Lett.*, 2, 045032, doi:10.1088/1748-9326/2/4/045032, 2007.
- 9 Houtekamer, P. L., and Mitchell, H. L.: A sequential ensemble Kalman filter for atmospheric
10 data assimilation, *Mon. Wea. Rev.*, 129, 123-137, 2001.
- 11 Jacobson, A. R., Mikaloff Fletcher, S. E., Gruber, N., Sarmiento, J. L., and Gloor, M.: A joint
12 atmosphere–ocean inversion for surface fluxes of carbon dioxide: 1. Methods and global-scale
13 fluxes, *Global Biogeochem. Cy.*, 21, B1019, doi:10.1029/2005GB002556, 2007.
- 14 Kim, J., Kim, H. M., and Cho, C.-H.: Application of Carbon Tracking System based on
15 ensemble Kalman Filter on the diagnosis of Carbon Cycle in Asia, *Atmosphere*, 22(4), 415-
16 447, 2012. (in Korean with English abstract)
- 17 Kim, J., Kim, H. M., and Cho, C.-H.: The effect of optimization and the nesting domain on
18 carbon flux analyses in Asia using a carbon tracking system based on the ensemble Kalman
19 filter, *Asia-Pacific J. Atmos. Sci.*, 50, 327-344, doi:10.1007/s13143-014-0020-7, 2014a.
- 20 Kim, J., H. M. Kim, and C.-H. Cho, 2014b: Influence of CO₂ observations on the optimized
21 CO₂ flux in an ensemble Kalman filter, *Atmos. Chem. Phys.*, 14, 13515-13530,
22 doi:10.5194/acp-14-13515-2014, 2014b.
- 23 Knorr, W., Gobron, N., Scholze, M., Kaminski, T., Schnur, R., and Pinty, B.: Impact of
24 terrestrial biosphere carbon exchanges on the anomalous CO₂ increase in 2002-2003,
25 *Geophys. Res. Lett.*, 34, L09703, doi:10.1029/2006GL029019, 2007.
- 26 Krol, M., Houweling, S., Bregman, B., Broek, M., van der Segers, A., Velthoven, P. V.,
27 Peters, W., Dentener, F., and Bergamaschi, P.: The two-way nested global chemistry-
28 transport zoom model TM5: Algorithm and applications, *Atmos. Chem. Phys.*, 5, 417-432,
29 2005.



- 1 Kurganova, I. N., Kudeyarov, V. N., and Lopes De Gerenyu, V. O.: Updated estimate of
2 carbon balance on Russian territory, *Tellus*, 62B, 497-505, doi:10.1111/j.1600-
3 0889.2010.00467.x, 2010.
- 4 Le Quéré, C., Moriarty, R., Andrew, R. M., Peters, G. P., Ciais, P., Friedlingstein, P., Jones, S.
5 D., Sitch, S., Tans, P., Arneeth, A., Boden, T. A., Bopp, L., Bozec, Y., Canadell, J. G., Chini, L.
6 P., Chevallier, F., Cosca, C. E., Harris, I., Hoppema, M., Houghton, R. A., House, J. I., Jain,
7 A. K., Johannessen, T., Kato, E., Keeling, R. F., Kitidis, V., Klein Goldewijk, K., Koven, C.,
8 Landa, C. S., Landschützer, P., Lenton, A., Lima, I. D., Marland, G., Mathis, J. T., Metzl, N.,
9 Nojiri, Y., Olsen, A., Ono, T., Peng, S., Peters, W., Pfeil, B., Poulter, B., Raupach, M. R.,
10 Regnier, P., Rödenbeck, C., Saito, S., Salisbury, J. E., Schuster, U., Schwinger, J., Séférian,
11 R., Segschneider, J., Steinhoff, T., Stocker, B. D., Sutton, A. J., Takahashi, T., Tilbrook, B.,
12 van der Werf, G. R., Viovy, N., Wang, Y.-P., Wanninkhof, R., Wiltshire, A., and Zeng, N.:
13 Global carbon budget 2014, *Earth Syst. Sci. Data*, 7, 47–85, doi:10.5194/essd-7-47-2015,
14 2015.
- 15 Lloyd, J., Langenfelds, R. L., Francey, R. J., Gloor, M., Tchebakova, N. M., Zolotoukhine, D.,
16 Brand, W. A., Werner, R. A., Jordan, A., Allison, C. A., Zrazhewske, V., Shibistova, O., and
17 Schulze, E.-D.: A trace-gas climatology above Zotino, central Siberia, *Tellus*, 54B, 749-767,
18 2002.
- 19 Machida, T., Matsueda, H., Sawa, Y., Nakagawa, Y., Hirokuni, K., Kondo, N., Goto, K.,
20 Nakazawa, T., Ishikawa, K., and Ogawa, T.: Worldwide Measurements of Atmospheric CO₂
21 and Other Trace Gas Species Using Commercial Airlines, *J. Atmos. Oceanic Technol.*, 25,
22 1744-1754, doi:10.1175/2008JTECHA1082.1, 2008.
- 23 Machida, T., Tohjima, Y., Katsumata, K., and Mukai, H.: A new CO₂ calibration scale based
24 on gravimetric one-step dilution cylinders in National Institute for Environmental Studies-
25 NIES 09 CO₂ scale, *GaW Report*, 194, 114-119, *15th WMO/IAEA Meeting of Experts on*
26 *Carbon Dioxide, Other Greenhouse Gases and Related Tracers Measurement Techniques*,
27 WMO/TM No. 1553, 2011.
- 28 Maki, T., Ikegami, M., Fujita, T., Hirahara, T., Yamada, K., Mori, K., Takeuchi, A., Tsutsumi,
29 Y., Suda, K., and Conway, T. J.: New technique to analyse global distributions of CO₂
30 concentrations and fluxes from non-processed observational data, *Tellus*, 62B, 797-809,
31 doi:10.1111/j.1600-0889.2010.00488.x, 2010.



- 1 Maksyutov, S., Machida, T., Mukai, H., Patra, P. K., Nakazawa, T., Inoue, G., and Transcom-
2 3 Modelers: Effect of recent observations on Asia CO₂ flux estimates by transport model
3 inversions, *Tellus*, 55B, 522-529, 2003.
- 4 Miller, C. E., Crisp, D., DeCola, P. L., Olsen, S. C., Randerson, J. T., Michalak, A. M.,
5 Alkhaled, A., Rayner, P., Jacob, D. J., Suntharalingam, P., Jones, D. B. A., Denning, A. S.,
6 Nicholls, M. E., Doney, S. C., Pawson, S., Boesch, H., Connor, B. J., Fung, I. Y., O'Brien, D.
7 O., Salawitch, R. J., Sander, S. P., Sen, B., Tans, P., Toon, G. C., Wennberg, P. O., Wofsy, S.
8 C., Yung, Y. L., and Law, R. M.: Precision requirements for space-based XCO₂ data, *J.*
9 *Geophys. Res.*, 112, D10314, doi:10.1029/2006JD007659, 2007.
- 10 Olson, J., Watts, J., and Allsion, L.: Major World Ecosystem Complexes Ranked by Carbon
11 in Live Vegetation: a Database, Tech. rep., Carbon Dioxide Information Analysis Center, U.S.
12 Department of Energy, Oak Ridge National Laboratory, Oak Ridge, Tennessee, USA,
13 doi:10.3334/CDIAC/lue.ndp017, 1992.
- 14 Paris, J.-D., Ciais, P., Nédélec, P., Ramonet, M., Belan, B. D., Arshinov, M. Y., Golitsyn, G.
15 S., Granberg, I., Stohl, A., Cayez, G., Athier, G., Boumard, F., and Cousin, J. M.: The YAK-
16 AEROSIB transcontinental aircraft campaigns: new insights on the transport of CO₂, CO and
17 O₃ across Siberia, *Tellus B*, 60, 551–568, 2008.
- 18 Peters, W., Jacobson, A. R., Sweeney, C., Andrews, A. E., Conway, T. J., Masarie, K., Miller,
19 J. B., Bruhwiler, L. M. P., Petron, G., Hirsch, A. I., Worthy, D. E. J., van der Werf, G. R.,
20 Randerson, J. T., Wennberg, P. O., Krol, M. C., Tans, P. P.: An atmospheric perspective on
21 North American carbon dioxide exchange: CarbonTracker, *Proc. Nat. Acad. Sci. U.S.A.*, 104,
22 18925-18930, 2007.
- 23 Peters, W., Krol, M. C., van der Werf, G. R., Houweling, S., Jones, C. D., Hughes, J.,
24 Schaefer, K., Masarie, K. A., Jacobson, A. R. Miller, J. B., Cho, C. H., Ramonet, M., Schmidt,
25 M., Ciattaglia, L., Apadula, F., Heltai, D., Meinhardt, F., di Sarra, A. G., Piacentino, S.,
26 Sferlazzo, D., Aalto, T., Hatakka, J., Ström, J., Haszpra, L., Meijer, H. A. J., van der Laan, S.,
27 Neubert, R. E. M., Jordan, A., Rodó, X., Morguí, J. A., Vermeulen, A. T., Popa, E., Rozanski,
28 K., Zimnoch, M., Manning, A. C., Leuenberger, M., Uglietti, C., Dolman, A. J., Ciais, P.
29 Heimann, M., and Tans, P. P.: Seven years of recent European net terrestrial carbon dioxide
30 exchange constrained by atmospheric observations, *Global Change Biol.*, 16, 1317-1337,
31 doi:10.1111/j.1365-2486.2009.02078.x, 2010.



- 1 Peypin P., Law, R. M., Gurney, K. R., Chevallier, F., Jacobson A. R., Maki, T., Niwa, Y.,
2 Patra, P. K., Peters, W., Rayner, P. J., Rödenbeck, C., van der Laan-Luijkx, I. T., and Zhang,
3 X.: Global atmospheric carbon budget: results from an ensemble of atmospheric CO₂
4 inversions, *Biogeosciences*, 10, 6699-6720, doi:10.5194/bg-10-6699-2013, 2013.
- 5 Olsen, S. C., and Randerson, J. T.: Differences between surface and column atmospheric CO₂
6 and implications for carbon cycle research, *J. Geophys. Res.*, 109, D02301,
7 doi:10.1029/2003JD003968, 2004.
- 8 Quegan, S., Beer, C., Shvidenko, A., McCallum, I., Handoh, I. C., Peypin, P., Rödenbeck, C.,
9 Lucht, W., Nilsson, S., and Schmillius, C.: Estimating the carbon balance of central Siberia
10 using landscape-ecosystem approach, atmospheric inversion and dynamic global vegetation
11 models, *Glob. Change Biol.*, 17, 351-365, doi:10.1111/j.1365-2486.2010.02275.x, 2011.
- 12 Reuter, M., Buchwitz, M., Hilker, M., Heymann, J., Schneising, O., Pillai, D., Bovensmann,
13 H., Burrows, J. P., Bösch, H., Parker, R., Butz, A., Hasekamp, O., O'Dell, C. W., Yoshida, Y.,
14 Gerbig, C., Nehrkorn, T., Deutscher, N. M., Warneke, T., Notholt, J., Hase, F., Kivi, R.,
15 Sussmann, R., Machida, T., Matsueda, H., and Sawa, Y.: Satellite-inferred European carbon
16 sink larger than expected, *Atmos. Chem. Phys.*, 14, 13739-13753, doi:10.5194/acp-14-13739-
17 2014, 2014.
- 18 Saeki, T., Maksyutov, S., Sasakawa, M., Machida, T., Arshinov, M., Tans, P. P., Conway, T.
19 J., Saito, M., Valsala, V., Oda, T., Andres, R. J., and Belikov, D.: Carbon flux estimation for
20 Siberia by inverse modeling constrained by aircraft and tower CO₂ measurements, *J. Geophys.*
21 *Res. Atmos.*, 118, 1100-1122, doi:10.1002/jgrd.50127, 2013.
- 22 Sasakawa, M., Shimoyama, K., Machida, T., Tsuda, N., Suto, H., Arshinov, M., Davydov, D.,
23 Fofonov, A., Krasnov, O., Saeki, T., Koyama, Y., and Maksyutov, S.: Continuous
24 measurements of methane from a tower network over Siberia, *Tellus*, 62B, 403-416,
25 doi:10.1111/j.1600-0889.2010.00494.x, 2010.
- 26 Sasakawa, M., Machida, T., Tsuda, N., Arshinov, M., Davydov, D., Fofonov, A., and
27 Krasnov, O.: Aircraft and tower measurements of CO₂ concentration in the planetary
28 boundary layer and the lower free troposphere over southern taiga in West Siberia: Long-term
29 records from 2002 to 2011, *J. Geophys. Res. Atmos.*, 118, 9489-9498,
30 doi:10.1002/jgrd.50755, 2013.



- 1 Schepaschenko, D., McCallum, I., Shvidenko, A., Fritz, S., Kraxner, F., and Obersteiner, M. :
2 A new hybrid land cover dataset for Russia: a methodology for integrating statistics, remote
3 sensing and in situ information, *J. Land Use Sci.*, 6, 245-259,
4 doi:10.1080/1747423X.2010.511681, 2011.
- 5 Schneising, O., Buchwitz, M., Reuter, M., Heymann, J., Bovensmann, H., and Burrows, J. P. :
6 Long-term analysis of carbon dioxide and methane column-averaged mole fractions retrieved
7 from SCIAMACHY, *Atmos. Chem. Phys.*, 11, 2863-2880, doi:10.5194/acp-11-2863-2011,
8 2011
- 9 Schulze, E.-D., Lloyd, J., Kelliher, F. M., Wirth, C., Rebmann, C., Lühker, B., Mund, M.,
10 Knohl, A., Milyukova, I. M., Schulze, W., Ziegler, W., Varlagin, A. B., Sogachev, A. F.,
11 Valentini, R., Dore, S., Grigoriev, S., Kolle, O., Panfyorov, M. I., Tchebakova, N., and
12 Vygodskaya, N. N.: Productivity of forests in the Eurosiberian boreal region and their
13 potential to act as a carbon sink – a synthesis. *Glob. Change Biol.*, 5, 703-722,
14 doi:10.1046/j.1365-2486.1999.00266.x, 1999.
- 15 Tarnocai, C., Canadell, J. G., Schuur, E. A. G., Kuhry, P., Mazhitova, G., and Zimov, S.: Soil
16 organic carbon pools in the northern circumpolar permafrost region, *Glob. Biogeochem.*
17 *Cycles*, 23, GB2023, doi:10.1029/2008GB003327, 2009.
- 18 Turnbull, J. C., Miller, J. B., Lehman, S. J., Hurst, D., Peters, W., Tans, P. P., Southon, J.,
19 Montzka, S. A., Elkins, J. W., Mondeel, D. J., Romashkin, P. A., Elansky, N., and
20 Skorokhod, A.: Spatial distribution of $\Delta^{14}\text{CO}_2$ across Eurasia: measurements from the
21 TROICA-8 expedition, *Atmos. Chem. Phys.*, 9, 175-187, doi:10.5194/acp-9-175-2009, 2009.
- 22 van der Werf, G. R., Randerson, J. T., Giglio, L., Collatz, G. J., Mu, M., Kasibhatla, P. S.,
23 Morton, D. C., DeFries, R. S., Jin, Y., and van Leeuwen, T. T.: Global fire emissions and the
24 contribution of deforestation, savanna, forest, agricultural, and peat fires (1997–2009), *Atmos.*
25 *Chem. Phys.*, 10, 11707–11735, doi:10.5194/acp-10-11707-2010, 2010.
- 26 Whitaker, J. S., and Hamill, T. M.: Ensemble Data Assimilation without Perturbed
27 Observations, *Mon. Wea. Rev.*, 130, 1913-1924, 2002.
- 28 Winderlich, J., Chen, H., Gerbig, C., Seifert, T., Kolle, O., Lavrič, Kaier, C., Höfer, A., and
29 Heimann, H.: Continuous low-maintenance $\text{CO}_2/\text{CH}_4/\text{H}_2\text{O}$ measurements at the Zotino Tall
30 Tower Observatory (ZOTTO) in Central Siberia, *Atmos. Meas. Tech.*, 3, 1113-1128,
31 doi:10.5194/amt-3-1113-2010, 2010.



- 1 Zhang, H. F., Chen, B. Z., van der Laan-Luijkx, I. T., Chen, J., Xu, G., Yan, J. W., Zhou, L.
- 2 X., Fukuyama, Y., Tans, P. P., and Peters, W.: Net terrestrial CO₂ exchange over China
- 3 during 2001–2010 estimated with an ensemble data assimilation system for atmospheric CO₂.
- 4 J. Geophys. Res. Atmos., 119, 2013JD021297, doi:10.1002/2013JD021297, 2014a.
- 5 Zhang, H. F., Chen, B. Z., van der Laan-Luijkx, Machida, T., Matsueda, H., Sawa, Y,
- 6 Fukuyama, Y., Labuschagne, C., Langenfelds, R., van der Schoot, M., Xu, G., Yan, J. W.,
- 7 Zhou, L. X., Tans, P. P., and Peters, W.: Estimating Asian terrestrial carbon fluxes from
- 8 CONTRAIL aircraft and surface CO₂ observations for the period 2006 to 2010, Atmos. Chem.
- 9 Phys., 14, 5807-5824, doi:10.5194/acp-14-7807-2014, 2014b.

10



- 1 Table 1. Information on observation sites located in the Asia and Europe region. MDM
 2 represents the model-data mismatch which is the observation error.

Site	Location	Latitude	Longitude	Height (m)	Laboratory	MDM (ppm)
AZV	Azovo, Russia	54.71°N	73.03°E	110	NIES	3
BRZ	Berezorechka, Russia	56.15°N	84.33°E	168	NIES	3
DEM	Demyanskoe, Russia	59.79°N	70.87°E	63	NIES	3
IGR	Igrim, Russia	63.19°N	64.41°E	9	NIES	3
KRS	Karasevoe, Russia	58.25°N	82.42°E	76	NIES	3
NOY	Noyabrsk, Russia	63.43°N	75.78°E	108	NIES	3
SVV	Savvushka, Russia	51.33°N	82.13°E	495	NIES	3
VGN	Vaganovo, Russia	54.50°N	62.32°E	192	NIES	3
YAK	Yakutsk, Russia	62.09°N	129.36°E	264	NIES	3
WLG	Mt. Waliguan, China	36.29°N	100.9°E	3810	CMA/ESRL	1.5
BKT	Bukit Kototabang, Indonesia	0.20°S	100.312°E	864	ESRL	7.5
WIS	Sede Boker, Israel	31.13°N	34.88°E	400	ESRL	2.5
KZD	Sary Taukum, Kazakhstan	44.45°N	77.57°E	412	ESRL	2.5
KZM	Plateau Assy, Kazakhstan	43.25°N	77.88°E	2519	ESRL	2.5
TAP	Tae-ahn Peninsula, South Korea	36.73°N	126.13°E	20	ESRL	5
UUM	Ulaan Uul, Mongolia	44.45°N	111.10°E	914	ESRL	2.5
CRI	Cape Rama, India	15.08°N	73.83°E	60	CSIRO	3
LLN	Lulin, Taiwan	23.47°N	120.87°E	2862	ESRL	7.5
SDZ	Shangdianzi, China	40.39°N	117.07°E	287	CMA/ESRL	3
MNM	Minamitorishima, Japan	24.29°N	153.98°E	8	JMA	3
RYO	Ryori, Japan	39.03°N	141.82°E	260	JMA	3
YON	Yonagunijima, Japan	24.47°N	123.02°E	30	JMA	3
GSN	Gosan, South Korea	33.15°N	126.12°E	72	NIER	3
BAL	Baltic Sea, Poland	55.35°N	17.22°E	3	ESRL	7.5
BSC	Black Sea, Constanta, Romania	44.17°N	28.68°E	3	ESRL	7.5
HUN	Hegyhatsal, Hungary	46.95°N	16.65°E	248	ESRL	7.5
OBN	Obninsk, Russia	55.11°N	36.60°E	183	ESRL	7.5
OXK	Ochsenkopf, Germany	50.03°N	11.80°E	1022	ESRL	2.5
PAL	Pallas-Sammaltunturi, GaW Station, Finland	67.97°N	24.12°E	560	ESRL	2.5
STM	Ocean Station M, Norway	66.00°N	2.00°E	0	ESRL	1.5

3



- 1 Table 2. A prior and optimized surface CO₂ fluxes and their one-sigma uncertainties (Pg C yr⁻¹ Region⁻¹) of global total, land, and ocean averaged spatially from 2002 to 2009.

Region	A priori	CNTL	JR.
Eurasian Boreal	-0.07±1.10	-1.17±0.93	-0.77±0.70
Eurasian Temperate	-0.05±0.49	-0.32±0.41	-0.37±0.40
Europe	-0.02±-0.76	-0.22±0.67	-0.38±0.64
North American Boreal	-0.04±0.61	-0.30±0.38	-0.36±0.38
North American Temperate	-0.03±0.66	-0.56±0.41	-0.60±0.41
Global total	-3.94±2.23	-5.59±1.84	-5.60±1.72
Global land	-1.36±1.90	-3.64±1.57	-3.57±1.43
Global ocean	-2.58±1.18	-1.95±0.97	-2.03±0.96



1 Table 3. The optimized surface CO₂ fluxes (Pg C yr⁻¹ Region⁻¹) of ecosystem types at Eurasian Boreal, Eurasian Temperate, Europe, North

 2 American Boreal, and North American Temperate region averaged over 2002 - 2009.

Ecosystem type	Eurasian Boreal		Eurasian Temperate		Europe		North American Boreal		North American Temperate	
	CNTL	JR	CNTL	JR	CNTL	JR	CNTL	JR	CNTL	JR
Conifer Forest	-0.816	-0.338	-0.005	-0.005	-0.068	-0.071	-0.107	-0.121	-0.055	-0.070
Broadleaf Forest	-0.006	-0.014	-0.004	-0.005	-0.005	-0.005	0.000	0.000	-0.002	-0.002
Mixed Forest	-0.050	-0.090	-0.030	-0.035	-0.026	-0.063	-0.053	-0.054	-0.020	-0.021
Grass/Shrub	-0.035	-0.056	-0.248	-0.287	-0.017	-0.032	0.000	0.001	-0.077	-0.081
Tropical Forest	0.000	0.000	-0.001	-0.001	0.000	0.000	0.000	0.000	0.000	0.000
Scrub/Woods	0.000	0.000	-0.002	-0.002	-0.001	-0.001	0.000	0.000	-0.013	-0.013
Semitundra	-0.146	-0.189	-0.008	-0.010	-0.008	-0.009	-0.057	-0.087	-0.010	-0.011
Fields/Woods/Savanna	-0.013	-0.022	-0.005	-0.006	0.003	-0.010	-0.004	-0.004	-0.149	-0.154
Northern Taiga	-0.094	-0.030	0.000	0.000	-0.006	-0.007	-0.066	-0.078	0.000	0.000
Forest/Field	-0.003	-0.008	0.006	0.005	-0.087	-0.106	-0.001	-0.001	-0.013	-0.017
Wetland	-0.002	-0.014	0.000	-0.000	-0.001	-0.002	-0.003	-0.006	-0.002	-0.003
Shrub/Tree/Suc	0.000	0.000	-0.001	-0.001	0.000	0.000	0.000	0.000	0.000	0.000
Crops	-0.002	-0.008	-0.019	-0.022	-0.011	-0.078	0.000	0.000	-0.216	-0.227
Wooded tundra	-0.003	-0.005	0.000	0.000	0.003	0.003	-0.003	-0.003	0.000	0.000
Water	0.000	0.000	-0.000	-0.000	-0.001	-0.001	-0.001	-0.001	-0.001	-0.001



1 Table 4. Average differences between: model CO₂ concentrations (ppm) simulated using the
 2 background and the observed CO₂ concentration (ppm) (fourth and sixth columns), model
 3 CO₂ concentrations (ppm) simulated using the optimized surface CO₂ flux and the observed
 4 CO₂ concentration (ppm) (fifth and seventh columns), and average innovation χ^2 from 2002 to
 5 2009 at observation sites located in Asia and Europe (eighth column).

Region	Site	MDM [ppm]	CNTL		JR		Innovation χ^2
			Bias (background)	Bias (optimized)	Bias (background)	Bias (optimized)	
Eurasian	AZV	3	1.68	1.04	0.77	0.19	0.85
Boreal	BRZ	3	1.41	0.68	0.67	0.39	1.17
	DEM	3	0.15	-0.84	0.32	0.11	0.84
	IGR	3	-1.58	-2.71	-0.52	-1.26	1.15
	KRS	3	0.57	-0.22	0.27	0.12	1.22
	NOY	3	-0.02	-1.06	0.16	0.00	0.86
	SVV	3	1.25	0.71	0.63	0.09	0.96
	VGN	3	2.55	2.11	1.50	0.84	1.18
	YAK	3	0.23	-2.18	0.87	0.03	1.36
Eurasian Temperate	WLG	1.5	0.17	0.19	0.15	0.16	1.09
	BKT	7.5	4.12	4.06	4.13	4.05	0.57
	WIS	2.5	0.27	0.12	0.22	0.07	0.72
	KZD	2.5	1.79	0.98	1.42	1.14	1.26
	KZM	2.5	1.17	0.96	1.13	0.93	1.26
	TAP	5	0.50	0.55	0.58	0.71	0.58
	UUM	2.5	0.24	-0.07	0.20	0.12	1.05
	CRI	3	-1.95	-1.57	-1.94	-1.56	0.66
	LLN	7.5	4.42	3.09	4.42	3.09	0.47
	SDZ	3	-3.02	-5.26	-3.09	-5.28	2.08
	MNM	3	0.56	0.52	0.59	0.56	0.17
	RYO	3	1.26	1.16	1.32	1.32	1.07
	YON	3	1.10	0.98	1.14	1.07	0.56
	GSN	3	-1.92	-1.71	-1.92	-1.70	1.83
Europe	BAL	7.5	-1.23	-1.32	-1.31	-1.45	0.37
	BSC	7.5	-4.12	-4.97	-4.12	-5.13	1.01
	HUN	7.5	0.93	0.53	0.86	0.36	0.46
	OBN	7.5	0.70	-0.71	0.59	-0.89	0.44
	OXK	2.5	0.50	0.02	0.43	-0.09	1.52
	PAL	2.5	0.47	0.07	0.58	0.16	0.76
	STM	1.5	0.54	0.42	0.55	0.42	0.76

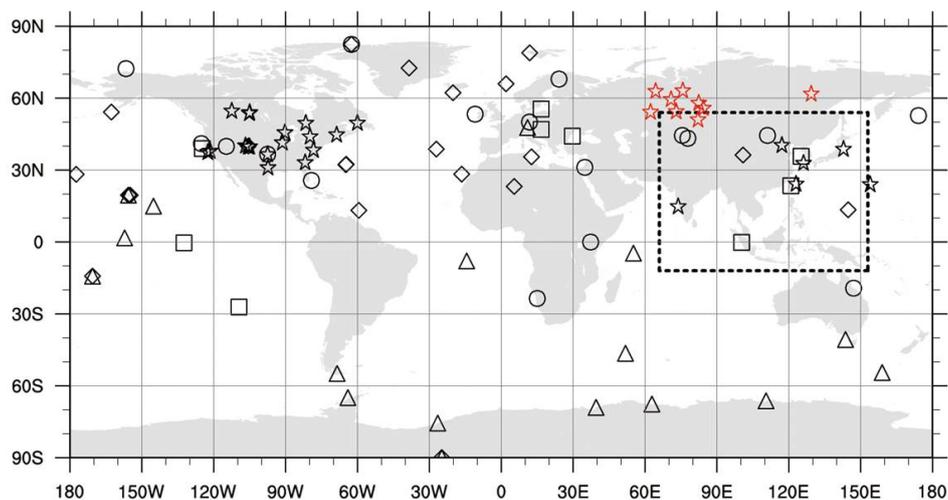
6



1 Table 5. Optimized surface CO₂ fluxes (Pg C yr⁻¹) from this study and other inversion studies.

Citation	Area	Estimate surface CO ₂ flux	Period	Remarks
This study	Eurasian Boreal	-0.77±0.70	2002-2009	JR experiment
Saeki et al. (2013)	Eurasian Boreal	-0.35±0.61	2000-2009	Including biomass burning (0.11Pg C yr ⁻¹), Using JR-STATION observations
Zhang et al. (2014b)	Eurasian Boreal	-1.02±0.91	2006-2010	Using CONTRAL observations
Maki et al. (2010)	Eurasian Boreal	-1.46±0.41	2001-2007	
CT2013B	Eurasian Boreal	-1.09±4.03	2001-2012	
This study	Europe	-0.38±0.64 -0.75±0.63	2002-2009 2008-2009	JR experiment
Reuter et al. (2014)	Europe	-1.02±0.30	2010	Using satellite data
CTE2014	Europe	-0.33±0.80 -0.11±0.38	2001-2013 2008-2009	

2

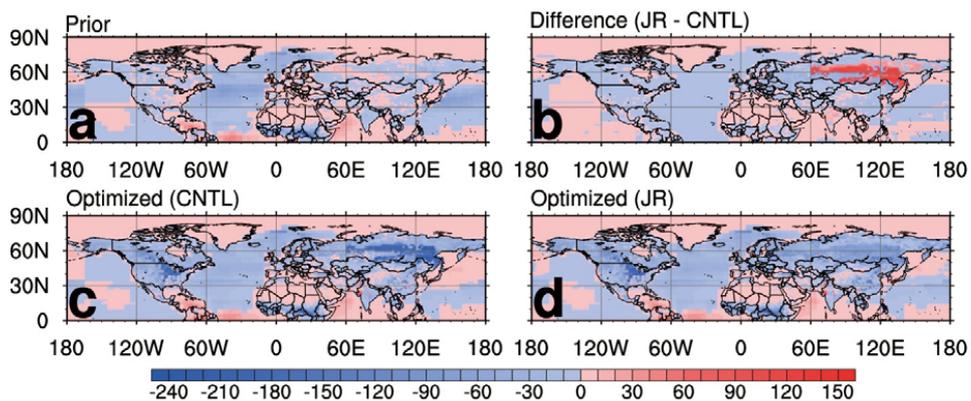


1

2

3 Figure 1. Observation networks of CO₂ concentrations around the globe and the nested
4 domain of the TM5 transport model over Asia (dashed box). Each observation site is assigned
5 to different categories (Δ : MBL; \circ : Continental; \diamond : Mixed land/ocean and mountain; \star :
6 Continuous; \square : Difficult). JR-STATION observation sites are represented in red color.

7



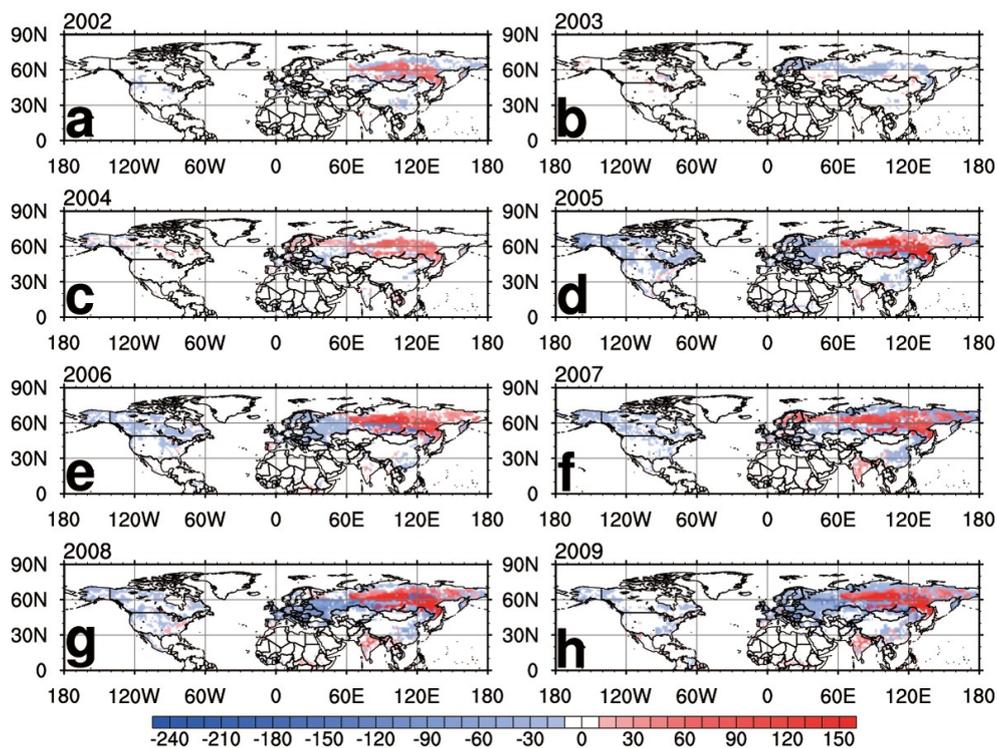
1

2

3 Figure 2. Average biosphere and ocean fluxes ($\text{gC m}^{-2} \text{yr}^{-1}$) from 2002 to 2009 of (a) the prior
4 flux, (b) the difference between the optimized fluxes in the JR and CNTL experiments, (c) the
5 optimized flux in the CNTL experiment, and (d) the optimized flux in the JR experiment.
6 Blue colors (negative) denote net CO_2 flux uptake while red colors (positive) denote net CO_2
7 release to the atmosphere. The difference is calculated by subtracting surface CO_2 flux of
8 CNTL experiment from that of JR experiment.

9

10



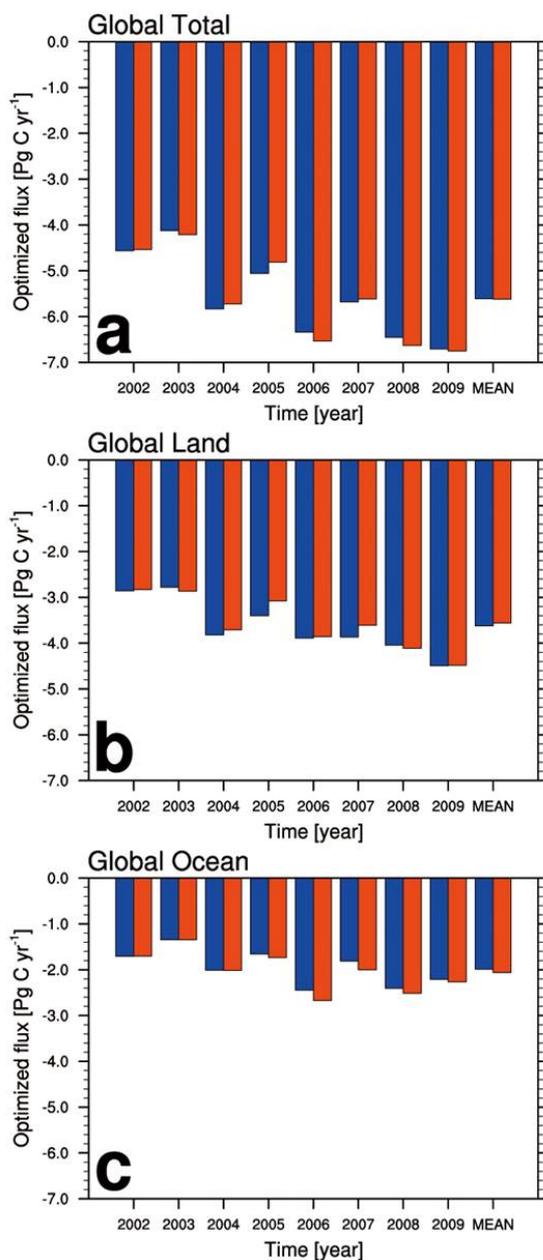
1

2

3 Figure 3. The difference between the optimized biosphere fluxes from the JR and CNTL
4 experiment ($\text{g C m}^{-2} \text{ yr}^{-1}$) of (a) 2002, (b) 2003, (c) 2004, (d) 2005, (e) 2006, (f) 2007, (g)
5 2008, and (h) 2009. Blue colors (negative) denote net CO_2 flux uptake while red colors
6 (positive) denote net CO_2 release to the atmosphere. The difference is calculated by
7 subtracting surface CO_2 flux of CNTL experiment from that of JR experiment.

8

9

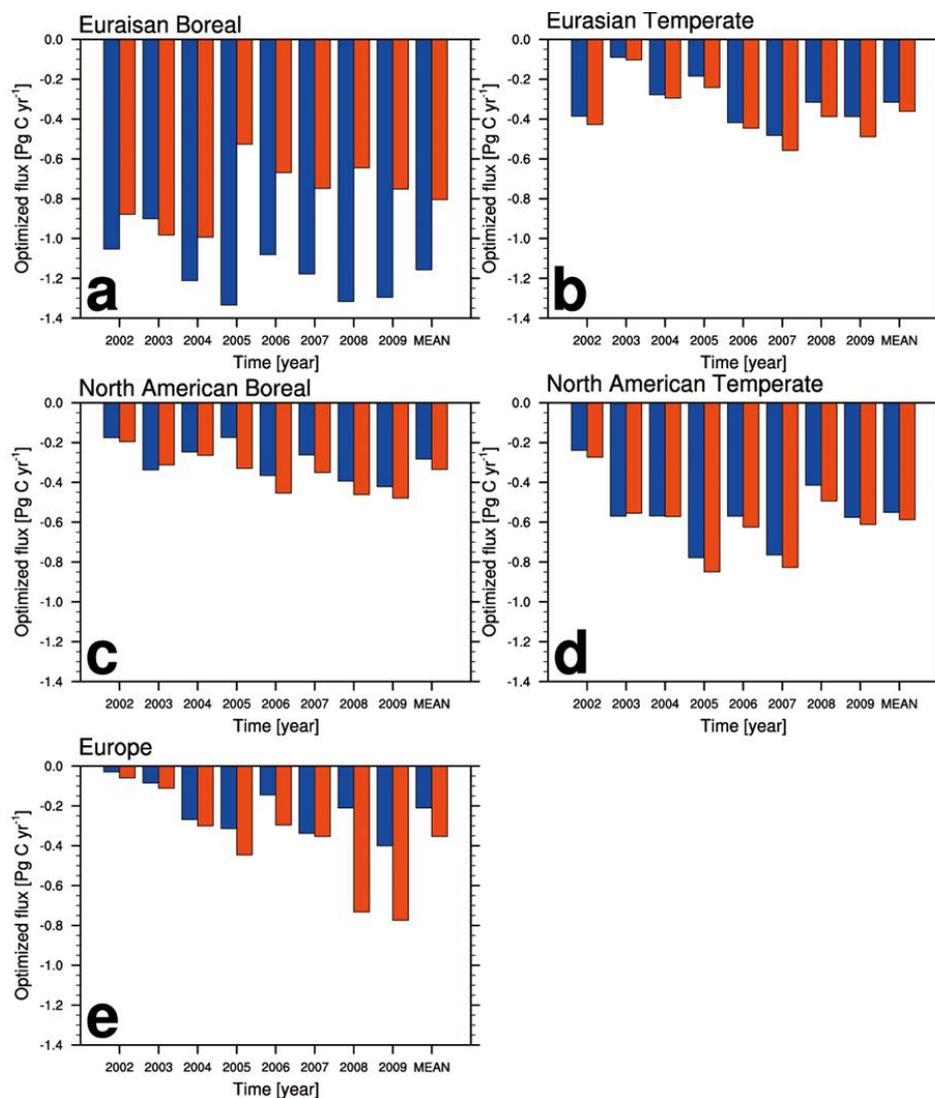


1

2

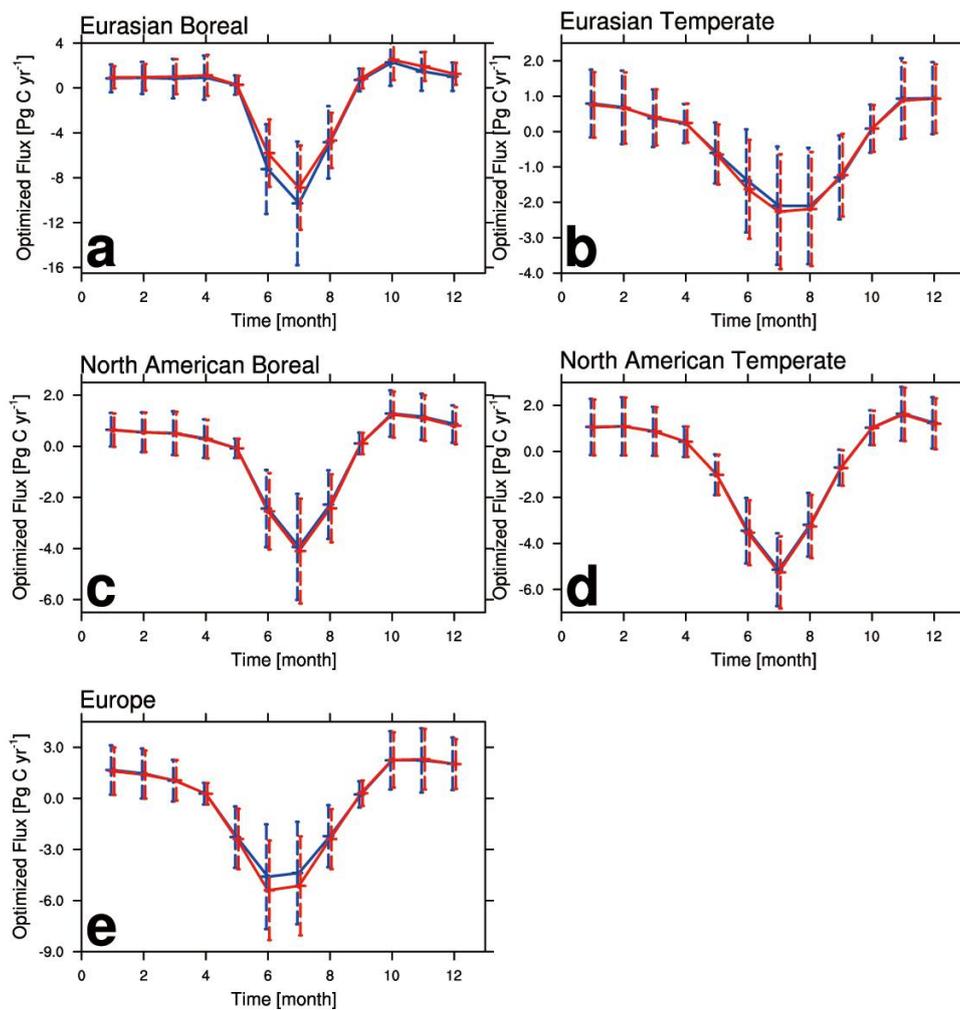
3 Figure 4. Annual and average biosphere and ocean fluxes (Pg C yr⁻¹) from the CNTL (blue
4 bar) and JR (red bar) experiment aggregated over the (a) whole globe, (b) land, and (c) ocean.

5



1
2
3
4
5
6

Figure 5. Annual and average biosphere and ocean fluxes (Pg C yr⁻¹) from the CNTL (blue bar) and JR (red bar) experiment aggregated over the (a) Eurasian Boreal, (b) Eurasia Temperate, (c) North American Boreal, (d) North American Temperate, and (e) Europe.



1

2

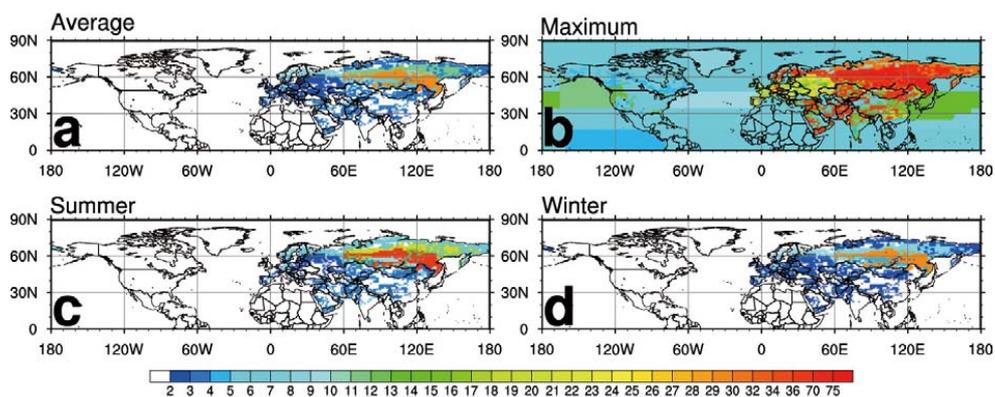
3 Figure 6. The monthly optimized biosphere fluxes averaged from 2002 to 2009 of CNTL

4 (blue) and JR (red) experiment with their uncertainties over the (a) Eurasian Boreal, (b)

5 Eurasian Temperate, (c) North American Boreal, (d) North American Temperate, and (e)

6 Europe.

7



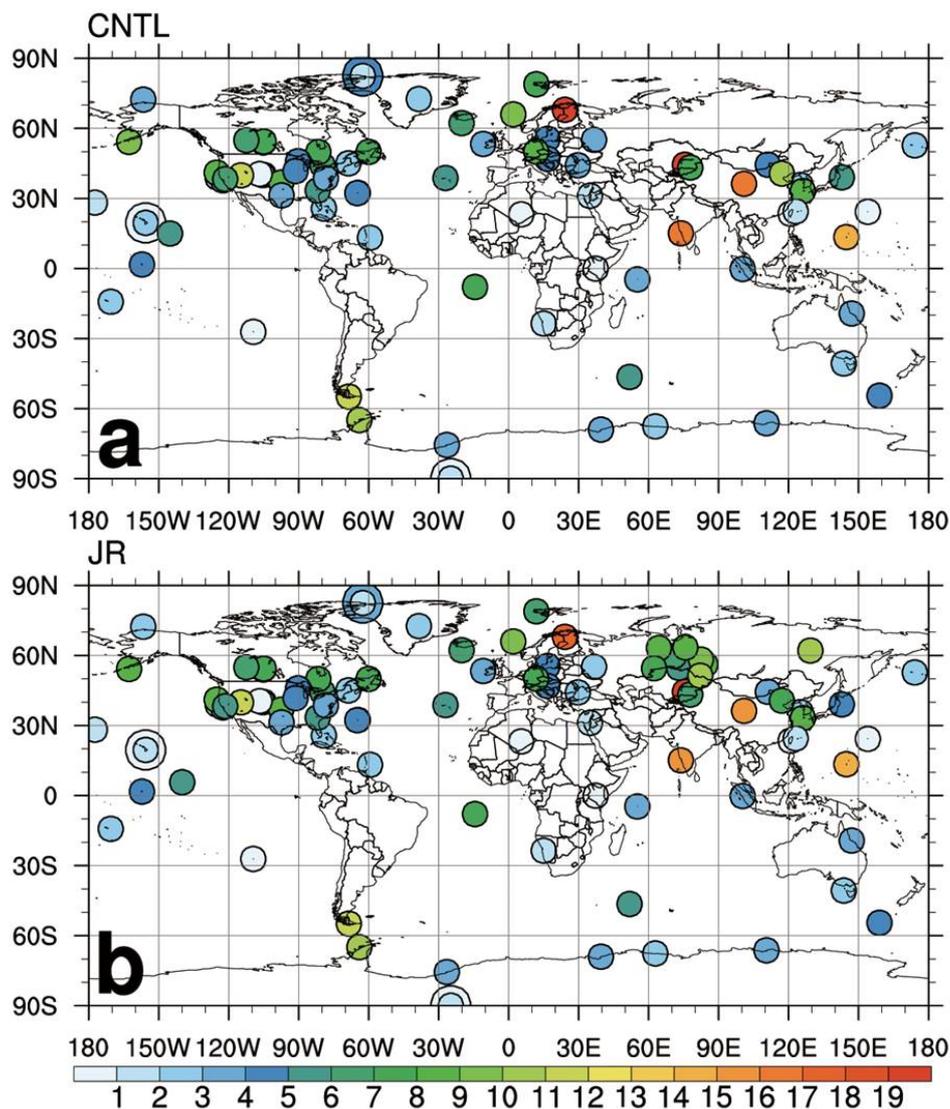
1

2

3 Figure 7. (a) Average uncertainty reduction (%) from 2002 to 2009, and (b) maximum
4 uncertainty reduction (%) in any week from 2002 to 2009, average uncertainty reduction (%)
5 in (c) summer, and (d) winter for the estimated uncertainty of the JR experiment relative to
6 that of the CNTL experiment. Red (blue) denotes relatively high (low) value of uncertainty
7 reduction.

8

9

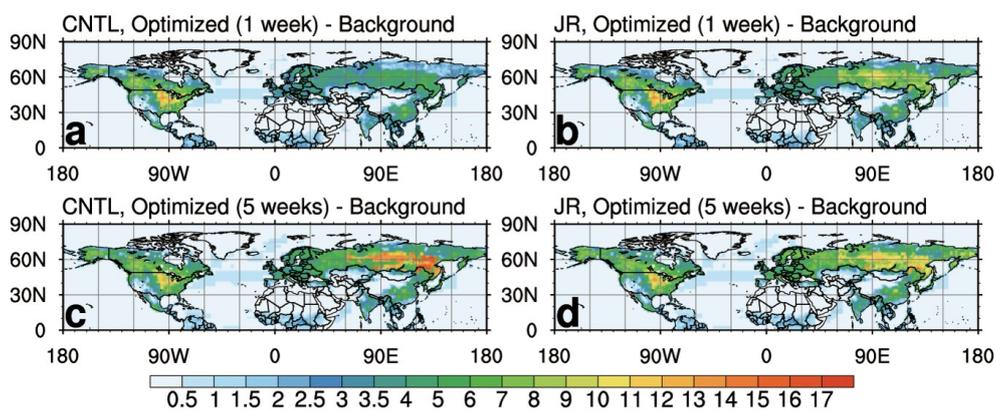


1

2

3 Figure 8. Self-sensitivity at each observation site averaged from 2002 to 2009 of (a) CNTL
4 experiment and (b) JR experiment. The overlapping observation sites at the same locations or
5 at close locations are distinguished by different sizes of circles. Red (blue) denotes relatively
6 high (low) value of self-sensitivity.

7



1

2

3 Figure 9. RMSD averaged from 2002 to 2009 between the background flux and posterior flux
4 optimized by 1 week of observations in Northern Hemisphere summer of (a) CNTL
5 experiment and (b) JR experiment; and RMSD averaged from 2002 to 2009 between the
6 background flux and posterior flux optimized by 5 weeks of observations in Northern
7 Hemisphere summer of (c) CNTL experiment and (d) JR experiment. The units are g C m^{-2}
8 week^{-1} . Red (blue) denotes relatively high (low) value of RMSD.