

Abstract

New particle formation (NPF) has been investigated intensively during the last two decades because of its influence on aerosol population and the possible contribution to cloud condensation nuclei. However, intensive measurements and modelling activities on this topic in urban metropolitans in China with frequently high pollution episodes are still very limited. This study provides results from a comprehensive modelling study on the occurrence of new particle formation events in the western part of the Yangtze River Delta region (YRD), China. The comprehensive modelling system, which combines regional chemical transport model WRF-Chem (the Weather Research and Forecasting model coupled with Chemistry) and the sectional box model MALTE-BOX (the model to predict new aerosol formation in the lower troposphere), was shown to be capable of simulating atmospheric nucleation and subsequent growth. Here we present a detailed discussion of three typical NPF days, during which the measured air masses were notably influenced by either anthropogenic activities, biogenic emissions, or mixed ocean and continental sources. Overall, simulated NPF events were generally in good agreement with the corresponding measurements, enabling us to get further insights into NPF processes in the YRD region. Based on the simulations, we conclude that besides gas-phase sulphuric acid, biogenic organic compounds, particularly monoterpenes, play an essential role in condensational growth of newly formed clusters and probably also in the particle formation process through their low volatile oxidation products. Although some uncertainties remain in this modelling system, this method provides a possibility to better understand the NPF processes.

1 Introduction

Ambient aerosols affect human health adversely, degrade visibility, and play an important role in climate change through directly scattering/absorbing solar radiation or indirectly modifying microphysical properties of clouds (Eidels-Dubovoi, 2002; David-

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dioxide (NO₂), total reactive nitrogen (NO_y), carbon monoxide (CO) and PM_{2.5} (fine particulates less than 2.5 microns in aerodynamic diameter) are routinely measured by Thermo Instruments (TEI 49i, 43i, 42i, 42iY and 48i) and MARGA (Monitor for Aerosols and Gases in Ambient Air) (Ding et al., 2013a, b). The Differential Mobility Particle Sizer (DMPS) coupling a differential mobility analyzer with two different flow rates and a condensation particle counter are used to measure number distributions of atmospheric particles from 6 to 800 nm (Herrmann et al., 2014; Qi et al., 2015). More thorough information on the instruments at the SORPES site is elaborated in detail in Ding et al. (2013a, b).

2.2 Model description

2.2.1 MALTE-BOX model

MALTE is a one-dimension model comprising of boundary layer meteorology, biogenic emission of volatile organic compounds, gas-phase chemistry and aerosol dynamics in order to predict particle formation and growth processes under atmospheric conditions (Boy et al., 2006). Here, we apply the zero-dimensional version, namely, MALTE-BOX model, to simulate NPF events at the SORPES station. In the MALTE-BOX model, boundary layer meteorology and biogenic emission modules are switched off; instead, the biogenic and anthropogenic VOC emissions and their following dispersion are calculated by the regional chemical transport model WRF-Chem. Concentration of various organic compounds at the SORPES station predicted by WRF-Chem model are input to MALTE-BOX model every 10 min (Table 1 provides the compounds calculated by WRF-CHEM as input to MALTE-BOX). Likewise, measured concentrations of trace gases including CO, SO₂, NO, NO₂ and O₃, with the same temporal resolution, are also included as input fields. In addition to gas phase precursors, the inputs also include an initial particle number size distribution at 00:00 LT on each day, ambient temperature, relative humidity and the condensation sink of sulphuric acid (as defined in Sect. 2.3).

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In the MALTE-BOX model, particles are assumed to be spherical. Fixed sectional approach with 40 size bins ranging from 1.4 to 2000 nm in diameter is used in the present study. The aerosol dynamics has all basic aerosol processes, including nucleation, condensation, coagulation, and deposition. The nucleation rates of newly-formed clusters are estimated by the kinetic nucleation theory of sulphuric acid (Sihto et al., 2006), which is related to the sulphuric acid concentration as follows:

$$J = k_1 \times [\text{H}_2\text{SO}_4]^2 \quad (1)$$

where k_1 is the kinetic coefficient that includes both the collision frequency and the probability of forming a stable cluster after the collision. Kinetic nucleation theory has been shown to provide good cluster formation rate in various environments including both clean continental area and polluted urban site (Wang et al., 2013b; Zhou et al., 2014). The nucleated particles were added to the first size bin in the model.

A set of sensitivity simulations were conducted to establish a suitable value for the nucleation coefficient k_1 . After comparing the simulations and DMPS measurements, k_1 was set to 6.0×10^{-19} for 10 July and 22 August, and 2.2×10^{-16} for 22 June, respectively. These values were much smaller than those we commonly used in the simulations for NPF in the Boreal forest (Paasonen et al., 2010; Zhou et al., 2014). Such notable differences might imply that other molecules, like gaseous amines, air ions or currently unrecognized sources of low volatile vapors, are involved and play a crucial role in the particle formation process at this urban site. Moreover, being limited by the detectable size of the DMPS (what we can monitor are the particles larger than 6 nm in diameter), means that the observed formation process could be steered by the condensational growth of the smallest clusters to the detection limit.

We included relevant chemical reactions of the MCM (Master Chemical Mechanism) in this model, as described in Boy et al. (2013). Apart from sulphuric acid, about twenty low-volatility organic compounds (ELVOCs) and seven selected semi volatile organic compounds (SVOCs) are regarded as condensing vapors, following the simplified chemical mechanism presented by Ehn et al. (2014). Specifically, seven rep-

the land–atmosphere interactions (Ek et al., 2003), the Lin microphysics scheme (Lin et al., 1983) with the Grell cumulus parameterization to reproduce the cloud and precipitation processes (Grell and Devenyi, 2002), the YSU boundary layer scheme (Hong, 2010), and the RRTMG short- and long-wave radiation scheme (Mlawer et al., 1997).

Anthropogenic emissions from power plants, residential combustion, industrial processes, on-road mobile sources and agricultural activities were derived from the MEIC database (Multi-resolution Emission Inventory for China, see www.meicmodel.org). Emissions of major pollutants, such as carbon monoxide, sulphur dioxide, nitrogen oxides, ammonia and speciated VOCs are all included in this emission inventory database. MEGAN (Model of Emissions of Gases and Aerosols from Nature, version 2) module embedded in WRF-Chem is used to calculate biogenic emissions online (Guenther et al., 2006). It estimates the net emission rates of isoprene, monoterpene and other biogenic VOCs from terrestrial ecosystems into the above-canopy atmosphere. Gas-phase chemistry is explicitly represented by the model through the SAPRC photochemistry scheme (Carter, 1999), which includes 225 gas-phase reactions among 81 chemical species in the model. We mapped some predicted organic species in WRF-Chem to the MALTE-BOX following the correspondence denoted in Table 1. Regarding the monoterpenes (alpha-pinene, beta-pinene, camphene, myrcene, carene and limonene) used in MALTE-BOX chemistry (details in Boy et al., 2013), the distribution was performed equally because no VOC-measurements at the SORPES station were available.

2.3 Data analysis

The calculations of particle growth and formation rates are conducted following the procedures outlined by Kulmala et al. (2012). The formation rate is obtained from the following equation:

$$J_{dp} = \frac{dN_{dp}}{dt} + \text{Coag}S_{dp} \times \frac{GR}{D_{dp}} N_{dp} + S_{losses} \quad (2)$$

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condensational growth. Metzger et al. (2010) attempted to disentangle the influence of organic oxidation products in particle formation and suggested an overall dependency on the formation rate of H_2SO_4 and organic oxidation products with the lowest volatility (NucOrg) as listed below.

$$J_{1.5} = k \times [\text{H}_2\text{SO}_4]^{1.0} [\text{NucOrg}]^{0.8} \quad (5)$$

where, $J_{1.5}$ is nucleation rate of 1.5 nm cluster; k represents prefactor which recommended to be $7.2 \pm 1.4 \times 10^{-13} \text{ cm}^3 \text{ s}^{-1}$ in Metzger et al. (2010); $[\text{H}_2\text{SO}_4]$ and $[\text{NucOrg}]$ refer to the concentration of sulphuric acid and low volatile organic oxidation products that can participate in the particle formation process, respectively. By assuming that NucOrg is part of the ELVOCs in the present work, we examined the relationships between measured particle formation rate with $[\text{H}_2\text{SO}_4]^{1.0} [\text{ELVOCs}]^{0.8}$ and compared it with $[\text{H}_2\text{SO}_4]^2$ in Fig. 7b and c. The better representation and correlation of the latter provides further evidence for an involvement of ELVOCs in NPF process or the very initial growth of the newly nucleated clusters.

In terms of the condensational growth of freshly-formed particles, ambient low-volatility compounds are predominant contributors, in particular, semi-volatile and possibly non-volatile organic matters generating from a complex series of photochemical reactions (Kroll and Seinfeld, 2008). In the present work, the model notably underestimates the nuclei condensational growth (GR_{6-30}) for Case 1 and Case 3 compared with the corresponding observations, whereas the observation and simulation were comparable for the Case 2 (Table 2). These differences could partly be due to the fact that here we only took oxidation products for certain selected organic compounds into account as sources of condensable vapors. When the experimental site was substantially influenced by intensive industrial activities and vehicle emissions from the YRD region in Case 1 and Case 3, reactive uptake and condensable secondary organic products from anthropogenic VOCs, which actually do accelerate particle growth (R. Y. Zhang et al., 2004; Kroll et al., 2005; Volkamer et al., 2006), were partly missing in the present model. This causes the underestimation of particle growth rates. Regarding the im-

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pacts of biogenic VOCs, we found that ELVOCs and SVOCs remarkably contributed to particle condensational growth. According to the simulation, ELVOCs and SVOCs were responsible for 70–80 % of the overall modelled growth rate during NPF Case 1 and Case 3. The contribution was even higher than 95 % in the second case when the terpene-rich air mass approached at the experimental site.

3.2.3 Model uncertainties

The comprehensive modelling study on the observed new particle formation makes it possible to better understand NPF processes at the SORPES station. However, there still lie many uncertainties in this modelling system, which need to be improved in future work. Given the expensive computational cost, reactions of VOCs are represented by the lumped mechanism in the regional-scale WRF-Chem model. Relevant parameters cannot be precisely determined for one lumped class, while the MALTE-BOX model provides accurate information for each specific organic compound. The gaps between the two models concerning VOC classification would introduce considerable uncertainties. Moreover, in the MALTE-BOX model, sulphuric acid tends to be under-predicted, which was demonstrated in both polluted urban environment and clean rural environment (Wang et al., 2013a; Zhou et al., 2014, 2015). There are multiple reasons behind the systematic underestimation. It has been shown by field measurements, laboratory experiments and numeric simulation that Crigees Intermediates (CIs) or other derivatives are capable of accelerating the oxidation of SO₂ into SO₃ (Hatakeyama and Akimoto, 1994; Kurten et al., 2011; Boy et al., 2013). These reactions have been incorporated in the MALTE-BOX model but would need further investigations concerning the reactions rates and other important reaction parameters (e.g. thermal lifetimes of CIs, pressure dependency, etc.). In addition, owing to the far incomplete knowledge of HONO sources, in particular during daytime, it was not yet possible to simulate realistic HONO levels using current models (Elshorbany et al., 2014; Czader et al., 2015). The lack of HONO measurement input to the model might also result in an underestimation of sulphuric acid, especially with dramatically increasing traffic emissions during

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growth of the particles, and probably also in the particle formation process. In addition, anthropogenic VOCs and the following photochemical oxidation produce a considerable amount of condensable compounds, exerting a significant impact on particle growth in the emission-intensive YRD region. Although some inadequacies still remain, such as the inclusion of anthropogenic nonvolatile organic compounds as condensable vapors, the comprehensive modelling work provides a better insight of NPF processes.

Acknowledgements. This work was supported by the National Natural Science Foundation of China (D0512/41305123, D0512/4142200036, D03/41321062 and D0510/41505109). Part of this work was supported by the Jiangsu Provincial Science Fund for Distinguished Young Scholars awarded to A. J. Ding (No. BK20140021) and by the Academy of Finland projects (1118615, 139656) and the European Commission via ERC Advanced Grant ATM-NUCLE. We also thank the financial support from Helsinki University Centre of Environment (HENVI).

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Table 1. Chemical species from WRF-Chem inputted to MALTE-BOX.

WRF-Chem	MALTE-BOX
Acetaldehyde (CCHO)	CH ₃ CHO
Acetone (ACET)	CH ₃ COCH ₃
Methanol (MEOH)	CH ₃ OH
Methyl Vinyl Ketone (MVK)	MVK
Isoprene (ISOPRENE)	C ₅ H ₈
Terpenes (TERP)	alpha-pinene beta-pinene camphene myrcene carene limonene

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Table 2. Formation rate of 6-nm particles (J_6), and particle growth rates from 6 to 30 nm (GR_{6-30}) of 3 NPF events based on DMPS measurements and numeric modelling*.

	Date	J_6 ($\text{cm}^{-3} \text{s}^{-1}$)	GR_{6-30} (nmh^{-1})	CS (10^{-2}s^{-1})
Case1	22 Jun 2013	7.6 (9.3)	12.6 (6.9)	4.2
Case2	10 Jul 2013	1.2 (1.6)	13.5 (10.7)	3.2
Case3	22 Aug 2013	3.4 (10.0)	15.7 (2.3)	0.1

* Values out of the parentheses are observations and those in the parentheses represent the corresponding model results.

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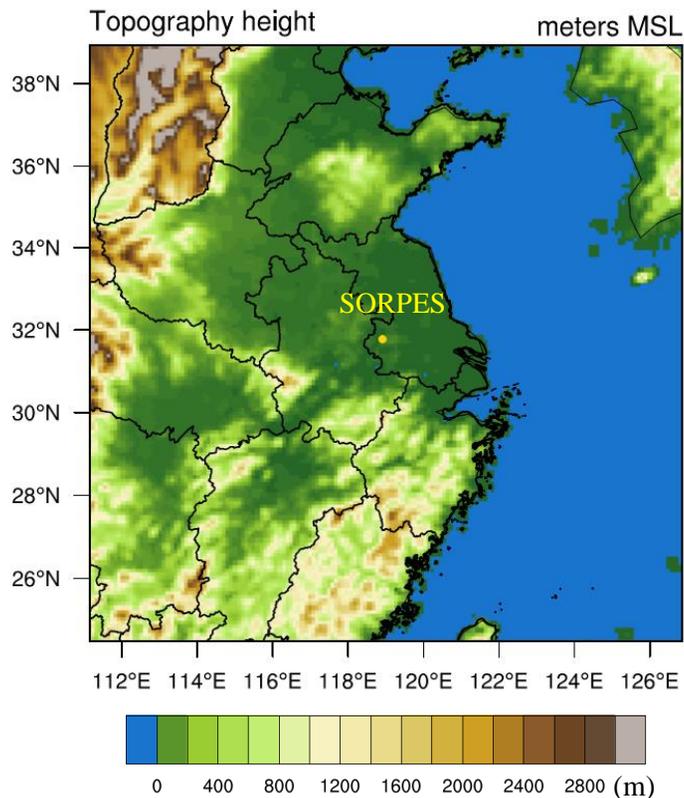


Figure 1. WRF-Chem model domain and topographic field (meter). The yellow dot marks the location of the SORPES station.

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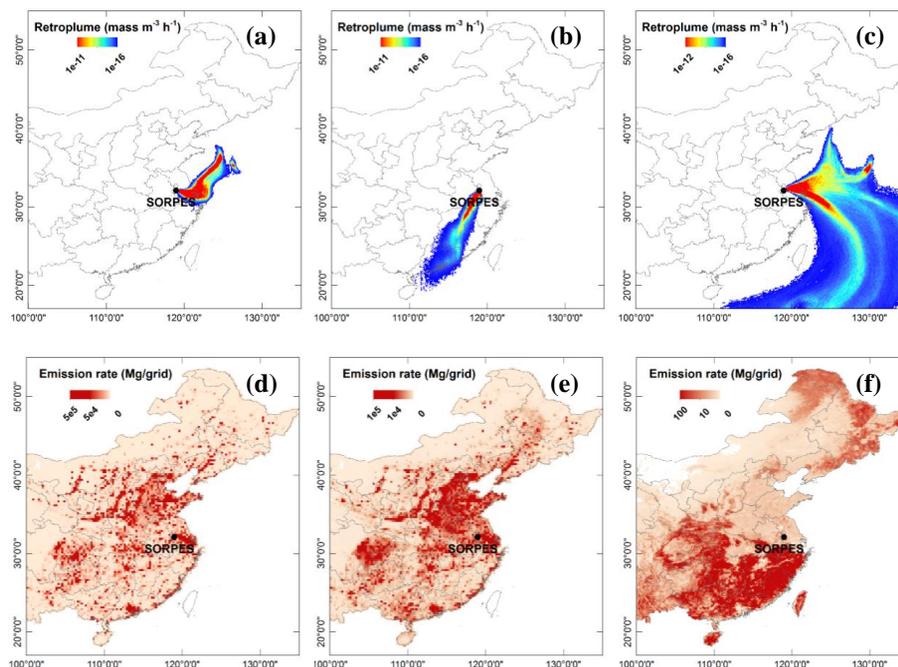


Figure 2. Retroplume (footprint residence time) showing transport pathways of air masses measured at the SORPES site for 22 June (a), 10 July (b) and 22 August (c). Spatial distributions of anthropogenic SO_2 (d), primary $\text{PM}_{2.5}$ (e) and biogenic monoterpene (f) emission rates.

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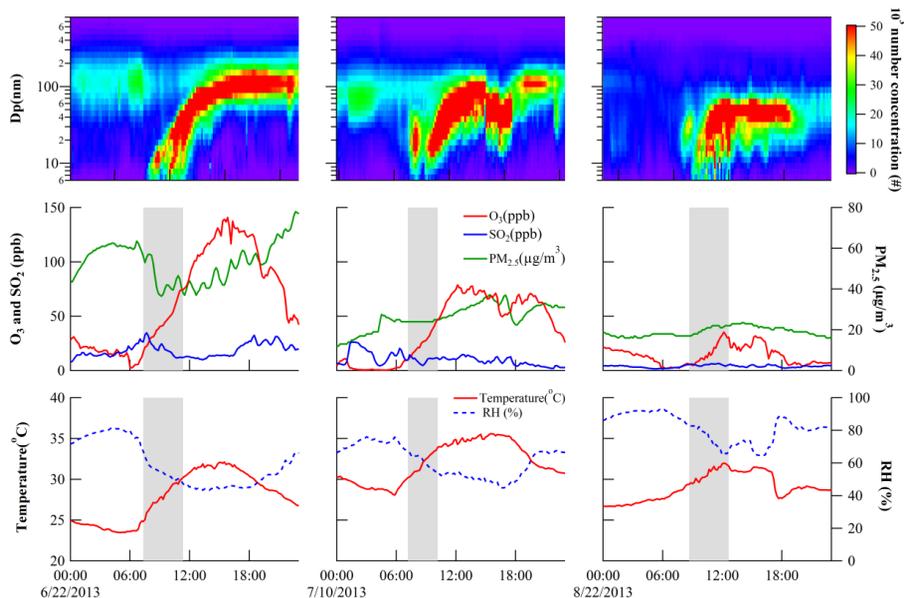


Figure 3. Measured diurnal variations of particle size distributions (upper panel), concentrations of SO₂, O₃ and PM_{2.5} (middle panel), and meteorological conditions (bottom panel) during the three NPF days. Grey boxes show the time span of NPF events.

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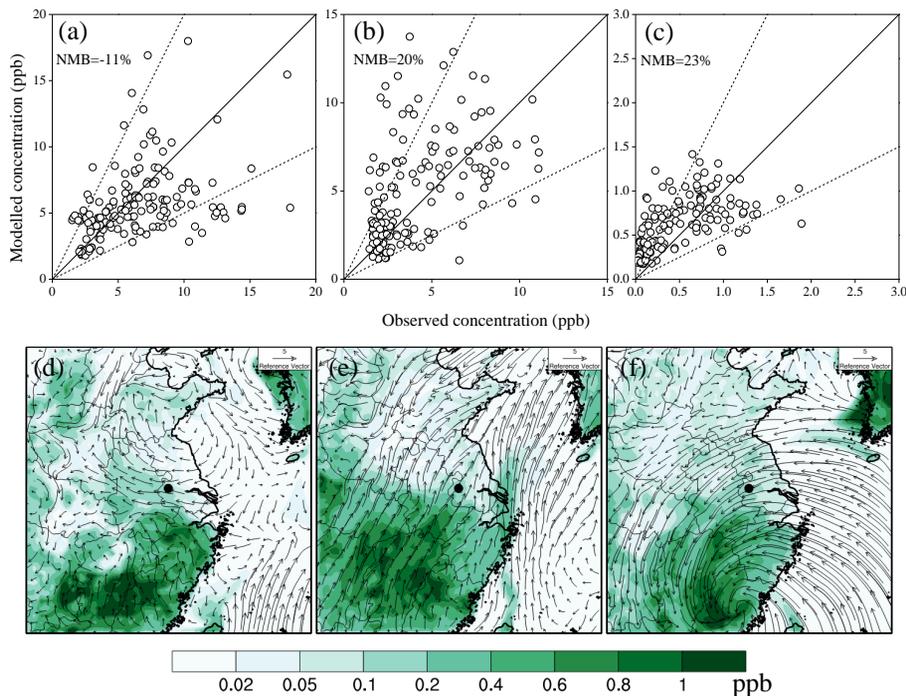


Figure 4. Scatter plots of observed and simulated alkenes (**a**) aromatic (**b**) and isoprene (**c**) concentrations (NMB represents the normalized mean bias) in August 2014. Spatial distributions of terpene concentration at 09:00 LT on 22 June (**d**), 10 July (**e**), and 22 August (**f**), 2013. The black dot marks the location of the SORPES station.

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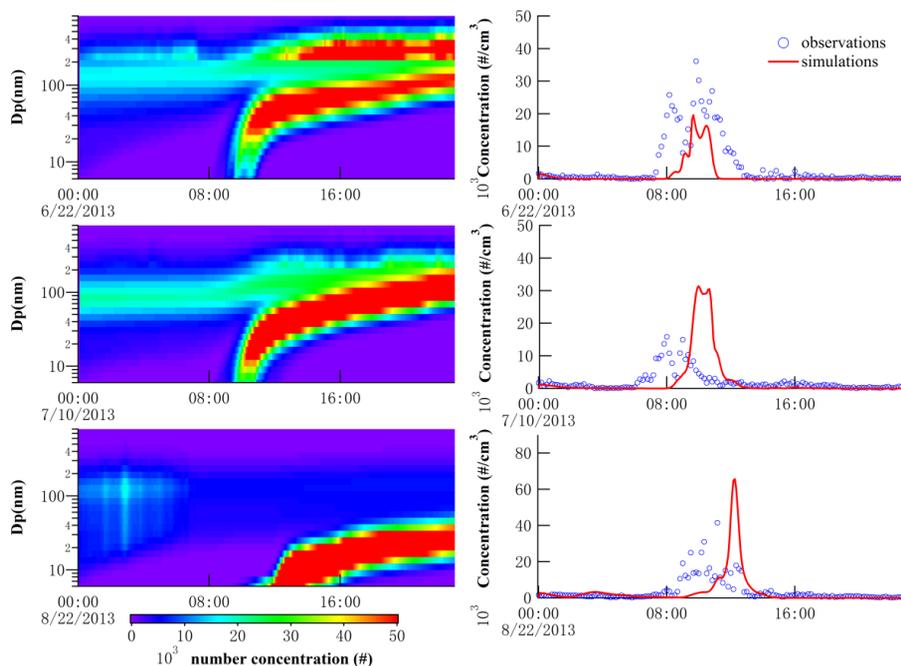


Figure 5. Modelled pattern of particle size distributions (left panel) and number concentrations of particles ranging from 6 to 10 nm during these 3 NPF days (right panel).

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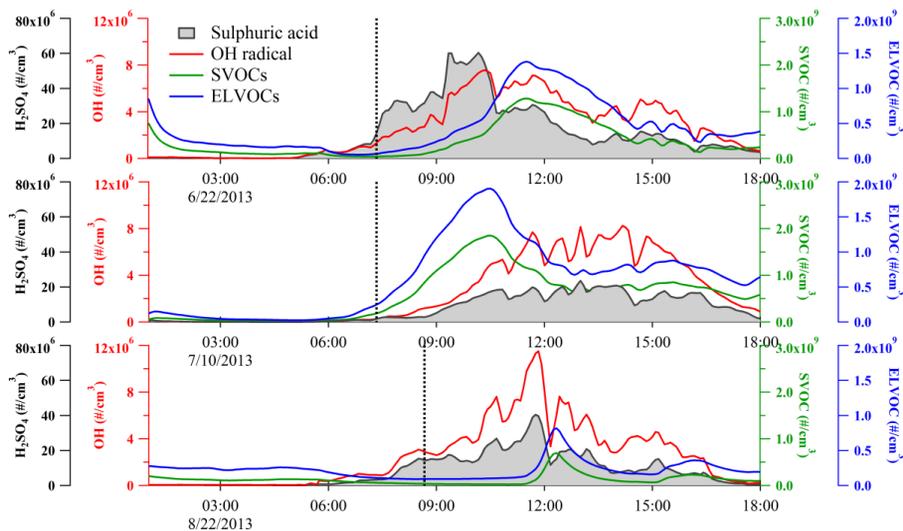


Figure 6. Time series of several gas concentrations ($\# \text{cm}^{-3}$) during the three selected NPF days. Sulphuric acid, OH radical, SVOCs and ELVOCs are marked in grey area, red, green and blue lines, respectively. Dashed lines show the onset time of NPF according to DMPS measurements for reference.

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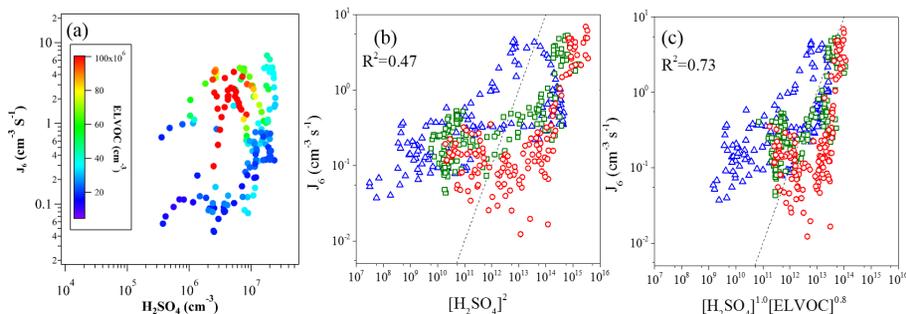


Figure 7. Correlations of estimated nucleation rates (J_6) from DMPS measurements with modelled gaseous sulphuric acid and ELVOC concentrations for event days between 06:00 and 16:00 **(a)**. Scatter plots of nucleation rate J_6 estimated from measurements with modelled sulphuric acid and ELVOC concentrations **(b–c)**, in which red, blue and green markers refer to 22 June, 10 July and 22 August, respectively. Black dash lines denote $y = 10^{-13}x$. The square of correlation coefficients (R^2) are labeled in panels **(b)** and **(c)**.

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