



Role of ozone in SOA formation from alkane photooxidation

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Abstract

Long-chain alkanes, which can be categorized as intermediate volatile organic compounds (IVOCs), are an important source of secondary organic aerosol (SOA). Mechanisms for the gas-phase OH-initiated oxidation of long-chain alkanes have been well documented; particle-phase chemistry, however, has received less attention. The δ -hydroxycarbonyl, which is generated from the isomerization of alkoxy radicals, can undergo heterogeneous cyclization to form substituted dihydrofuran. Due to the presence of C=C bonds, the substituted dihydrofuran is predicted to be highly reactive with OH, and even more so with O₃ and NO₃, thus opening a reaction pathway that is not usually accessible to alkanes. This work focuses on the role of substituted dihydrofuran formation and its subsequent reaction with OH, and more importantly ozone, in SOA formation from the photooxidation of long-chain alkanes. Experiments were carried out in the Caltech Environmental Chamber using dodecane as a representative alkane to investigate the difference in aerosol composition generated from “OH-oxidation dominating” vs. “ozonolysis dominating” environments. A detailed mechanism incorporating the specific gas-phase photochemistry, together with the heterogeneous formation of substituted dihydrofuran and its subsequent gas-phase OH/O₃ oxidation, is presented to evaluate the importance of this reaction channel in the dodecane SOA formation. We conclude that: (1) the formation of δ -hydroxycarbonyl and its subsequent heterogeneous conversion to substituted dihydrofuran is significant in the presence of NO_x; (2) the ozonolysis of substituted dihydrofuran dominates over the OH-initiated oxidation under conditions prevalent in urban and rural air; and (3) a spectrum of highly oxygenated products with carboxylic acid, ester, and ether functional groups are produced from the substituted dihydrofuran chemistry, thereby affecting the average oxidation state of the SOA.

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1 Introduction

Alkanes are important constituents of gasoline and vehicle emissions (Hoekman, 1992; Zielinska et al., 1996; Kirchstetter et al., 1999), accounting for ~ 50 % of volatile organic compounds (VOCs) in the urban atmosphere (Fraser et al., 1997; Schauer et al., 1999 and 2002). The unresolved complex mixture (UCM) of organics, which is potentially a significant source of secondary organic aerosol (SOA) formation in the atmosphere, has recently been shown to comprise many long-chain alkanes (Isaacman et al., 2012), which are expected to contribute SOA formation (Robinson et al., 2007).

Laboratory chamber investigations of SOA formation from long-chain alkanes (Lim and Ziemann, 2005, 2009a, b, c; Presto et al., 2009, 2010; Miracolo et al., 2010, 2011; Craven et al., 2012; Lambe et al., 2012; Tkacik et al., 2012; Yee et al., 2012; Loza et al., 2013) provide a framework for understanding chemical mechanisms and determination of SOA yields (Jordan et al., 2008; Aumont et al., 2012, 2013; Cappa et al., 2012; Zhang and Seinfeld, 2013). Particle-phase products from OH oxidation of alkanes contain a number of functional groups: organonitrate ($-\text{ONO}_2$), hydroxyl ($-\text{OH}$), carbonyl ($-\text{C}=\text{O}$), ester ($-\text{C}(\text{O})\text{O}-$), and hydroxyperoxide ($-\text{OOH}$). Ambient measurements of organic aerosol composition have shown, in addition, that the carboxylic acid functional group ($-\text{C}(\text{O})\text{OH}$) is closely associated with products from fossil fuel combustion sources (Liu et al., 2011; Russell et al., 2011), of which alkanes are a principal component.

Atmospheric alkanes react in daytime exclusively with OH, producing an array of peroxy radicals (RO_2). In the presence of sufficient NO, the alkoxy radical (RO) is the key product of the subsequent RO_2 reaction with NO. RONO_2 is also produced, with a branching ratio of 0.1–0.3 (Arey et al., 2001). For alkanes with carbon number ≥ 5 , the 1, 5-H shift isomerization is the dominant reaction pathway for RO, producing a δ -hydroxycarbonyl, the primary fate of which is reaction with OH (Jenkin et al., 2003; Saunders et al., 2003; Bloss et al., 2005), with a lifetime of ~ 11.5 h at room temperature and a typical ambient OH concentration of 1×10^6 molecules cm^{-3} . One

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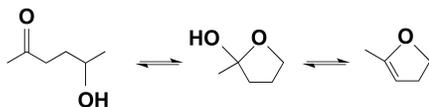
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particular δ -hydroxycarbonyl, 5-hydroxy-2-pentanone, was found to cyclize to form the cyclic hemiacetal. The cyclic hemiacetal can subsequently lose water to form 4,5-dihydro-2-methylfuran, with an overall lifetime as short as ~ 1.1 h at 298 K (Cavalli et al., 2000; Martin et al., 2002). The presence of water vapor can, in principle, serve to convert the 4,5-dihydro-2-methylfuran back to 5-hydroxy-2-pentanone, leading to an equilibrium between these two species within several hours (Martin et al., 2002; Baker et al., 2005; Holt et al., 2005; Reisen et al., 2005).



This conversion to 4,5-dihydro-2-methylfuran is not unique to 5-hydroxy-2-pentanone but also occurs for other C_5 - C_{17} δ -hydroxycarbonyls (Lim and Ziemann, 2005, 2009a, b, c), at a rate that increases with the length of the carbon chain (Holt et al., 2005; Ziemann and Atkinson, 2012). The substituted dihydrofuran is highly reactive towards OH, O_3 , and NO_3 in the gas phase, owing to the presence of a C=C double bond. For typical ambient concentrations of OH, O_3 , and NO_3 , i.e., 2×10^6 molecules cm^{-3} (12 h average), 30 ppb (24 h average), and 5×10^8 molecules cm^{-3} (12 h average), respectively, the lifetimes of the substituted dihydrofuran with respect to reactions with these oxidants in the gas phase are 1.3 h, 7 min, and 24 s, respectively (Martin et al., 2002; Ziemann and Atkinson, 2012). Based on these estimates, ozonolysis of substituted dihydrofurans may dominate OH oxidation during daytime in the urban atmosphere.

SOA formation from long-chain alkanes involves multiple generations of OH oxidation that involve functionalization (O-atom addition in forms of a variety of moieties) and fragmentation of the parent carbon backbone. These two classes of processes eventually lead to highly oxygenated fragments that partition into the particle phase. Two recent modeling studies of C_{12} alkanes suggested that particle-phase chemistry might play a potentially important role in the chemical composition of alkane SOA; this

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is inferred from the fact that simulations driven solely by gas-phase chemistry can successfully reproduce the chamber measured SOA yield, but these fail to reproduce the observed particulate O:C and H:C ratios in the absence of a particle-phase chemistry channel (Cappa et al., 2012; Zhang and Seinfeld, 2013). The extent to which particle-phase chemistry is important in alkane SOA formation has not been clearly established.

We address here the heterogeneous formation of substituted dihydrofurans and their subsequent gas-phase reaction with ozone in SOA formation from alkanes. We present the results of a series of chamber dodecane photooxidation experiments under two reaction regimes, i.e., “OH-dominant”, in which over 70 % of substituted dihydrofurans are oxidized by OH, vs. “O₃-dominant”, in which 80–90 % of substituted dihydrofurans react with O₃. Gas-phase products that are unique to the substituted dihydrofuran chemistry are identified. The impact of ozonolysis of substituted dihydrofurans on the particle-phase product distribution from the photooxidation of dodecane is investigated by comparing intensities of certain ions that are indicative of ozonolysis chemistry. We also develop a detailed mechanism with the incorporation of substituted dihydrofuran chemistry and simulate the effect of this reaction channel on SOA yield from the photooxidation of dodecane.

2 Experimental

Experiments were conducted in the new Caltech dual 24 m³ Environmental Chamber, in which the temperature (*T*) and relative humidity (RH) are automatically controlled. Prior to each experiment, the Teflon chambers were flushed with clean, dry air for 24 h until the particle number concentration < 10 cm⁻³ and volume concentration < 0.1 μm³ cm⁻³. Seed aerosol was injected into the chamber by atomizing 0.015 M aqueous ammonium sulfate solution to provide sufficient surface area for the partition of semi-volatile products. Hydrogen peroxide (H₂O₂) was used for the OH source by evaporating 85 and 226 μL of 50 % wt aqueous solution into the chamber with 5 L min⁻¹

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low-NO_x conditions, additional ~ 30 ppb of ozone was injected into the chamber before the onset of irradiation (Exp. #7). Under high-NO_x conditions, HONO was used as the OH source for the “OH dominant” environment (Exp. #1). Double HONO injection was carried out in order to fully consume dodecane (before the onset and after ~ 3 h of irradiation). The average OH concentration is ~ 5.0 × 10⁶ molecule cm⁻³, whereas O₃ peaks at 8 ppb after 60 min of photooxidation and rapidly decays to ~ 0 ppb within 2 h. In this case, > 73% of dihydrofuran is oxidized by OH over the course of Exp. #1. An “O₃ dominant” environment was generated by injecting NO (38–287 ppb) and NO₂ (12–156 ppb) before the onset of irradiation. Continuous NO injection with a certain flow rate (20–100 ppb h⁻¹) was conducted over the course of experiments to reach a sufficient amount of O₃ and to maintain high-NO_x levels. Under experimental conditions in Exp. #2, #3, #4, and #5, the average OH concentrations are calculated to be 1.7 × 10⁶, 2.0 × 10⁶, 1.4 × 10⁶, and 1.3 × 10⁶ molecule cm⁻³, respectively, and the maximum O₃ concentrations are 20, 380, 150, and 250 ppb, respectively. As a result, ~ 82%, ~ 96%, ~ 97%, and ~ 98% of dihydrofuran reacts with O₃ when dihydrofuran peaks after ~ 3 h of photooxidation. High humidity experiments were also carried out as a set of control experiments (Exp. #4, #5, and #8) considering the role of water vapor in the heterogeneous interconversion between δ-hydroxycarbonyls and substituted dihydrofurans.

A suite of instruments was used to investigate gas- and particle-phase chemistry. T, RH, NO, NO_x, and O₃ were continuously monitored. Dodecane concentration was monitored by taking hourly samples at ~ 0.13 L min⁻¹ of chamber air for 3 min onto a Tenax adsorbent, which was loaded into the inlet of a gas chromatograph with flame ionization detection (GC/FID, Agilent 6890N), desorbed at 275 °C for 13 min, and then injected onto an HP-5 column (15 m × 0.53 mm ID × 1.5 μm thickness, Hewlett-Packard) held at 30 °C. The oven was ramped from 30 to 275 °C at 10 °C min⁻¹ and held at 275 °C for 5 min. The retention time for dodecane is ~ 27.5 min. The gas-phase species were monitored using a custom-modified Varian 1200 triple-quadrupole chemical ionization mass spectrometer (CIMS) (Crouse et al., 2006; Paulot et al., 2009). In negative mode

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The kinetic scheme for the gas-phase OH-initiated oxidation of dodecane and the further OH oxidation of multi-generational products was developed primarily based on the MCMv3.2 (<http://mcm.leeds.ac.uk/MCM/>). Products identified in Lim and Ziemann (2005, 2009a, b, c) that are not in MCM are also included here. The kinetic scheme was incorporated in the photochemical model to estimate yields of particle-phase products generated in the chamber. A simplified flow chart illustrating the mechanism for the multi-generation gas-phase chemistry is shown in Fig. 1a, b. In general, the OH-initiated oxidation of dodecane leads to RO₂, the fate of which controls the distribution of further generation products. When sufficient NO_x is present (the concentration of NO, i.e., > 5 ppb, is at least four orders of magnitude higher than RO₂, i.e., < 5 × 10⁷ molecules cm⁻³), over 99% of RO₂ reacts with NO, leading to RO or alkyl nitrate (RONO₂). The branching ratios for the production of RO and RONO₂ are obtained from Jordan et al. (2008). RO can isomerize through a 1,5 H-atom shift to a δ-hydroxyalkyl radical, react with O₂, or undergo fragmentation. The alkyl nitrate formed either undergoes photolysis or reacts with OH via H-atom abstraction from a C-atom or reacts with OH via H-atom abstraction from a C-atom with a –ONO₂ group attached to produce a –C=O group. The δ-hydroxyalkyl radical reacts with O₂ and then NO and undergoes another isomerization to produce a δ-hydroxycarbonyl. At sufficiently low NO_x concentrations, the simulated HO₂ concentration (~ 1 × 10¹⁰ molecules cm⁻³) is ~ 20 times higher than RO₂ (~ 5 × 10⁸ molecules cm⁻³). RO₂ + HO₂ dominates the fate of RO₂, producing a hydroperoxide (ROOH). Further oxidation of ROOH involves the photolysis of the –OOH group, H-atom abstraction, and the OH oxidation of a C-atom with a –OOH group attached to produce a –C=O group. Products through eight generations of oxidation are included in the mechanism, although only the formation of the first three generations of products is illustrated in Fig. 1. The reaction rate constants are obtained from MCM v3.2. In the absence of specific data, the photolysis rate constants of the –OOH, –C=O, and –ONO₂ groups on the carbon backbone are assumed to be the same as those for methyl peroxide (CH₃OOH), 2-butanol (C₃H₇CHO), and *n*-propyl nitrate (*n*-CH₃ONO₂).

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Cyclization and subsequent dehydration of δ -hydroxycarbonyl to substituted dihydrofuran is a heterogeneous process, including (1) gas-phase diffusion and reactive uptake of δ -hydroxycarbonyl to particles, (2) cyclization of δ -hydroxycarbonyl to cyclic hemiacetal, and (3) dehydration of cyclic hemiacetal to substituted dihydrofuran. (Atkinson et al., 2008; Lim and Ziemann, 2009c). The extent of this heterogeneous process occurring on/in particles has been recently predicted to predominate over chamber walls (Lim and Ziemann, 2009c). In this study, we represent the individual steps of the conversion of δ -hydroxycarbonyl to substituted dihydrofuran by an overall first-order decay rate of $3 \times 10^{-3} \text{ s}^{-1}$. This value is estimated by monitoring the decay of 5-hydroxy-2-pentanone using CIMS in the presence of $20 \mu\text{m}^3 \text{ cm}^{-3} \text{ NH}_4\text{HSO}_4$ seeds and 200 ppb O_3 at 3% RH. This assumed decay rate is consistent with those measured in previous studies (Cavalli et al., 2000; Martin et al., 2002; Holt et al., 2005; Lim and Ziemann, 2009). The equilibrium constant K ($K = (\text{dihydrofuran})/(\delta\text{-hydroxycarbonyl})$) is estimated to be ~ 7 at 3% RH from the CIMS measured δ -hydroxycarbonyl decay curve, based on the assumption that the decrease in the δ -hydroxycarbonyl concentration is accompanied by a stoichiometric formation of the corresponding substituted dihydrofuran. Note that although the proportion of the heterogeneous conversion occurring on the chamber walls is unknown, the potential contribution of chamber walls to the particle-phase production of substituted dihydrofuran has been accounted by employing the measured overall conversion rate in the mechanism.

The substituted dihydrofuran formed evaporates rapidly due to its high volatility and undergoes reactions with OH, O_3 , and NO_3 in the gas phase (Ziemann and Atkinson, 2012), with reaction rate constants of 2.18×10^{-10} , $3.49 \times 10^{-15} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$, and $1.68 \times 10^{-10} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$, respectively (Martin et al., 2002; Atkinson et al., 2008). Reaction with NO_3 is not important under the conditions of this study. In general, the OH addition to an alkyl-substituted dihydrofuran produces either an alkyl-substituted tetrahydrofuran or a carbonyl ester, see Fig. 2a (Martin et al., 2002; Lim and Ziemann, 2005, 2009a, b, and c; Jordan et al., 2008). The mechanism for the O_3 reaction with an alkyl-substituted dihydrofuran, as shown in Fig. 2b, was developed

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following the ozonolysis of 4,5-dihydro-2-methylfuran (Martin et al., 2002), alkyl vinyl ethers (Thiault et al., 2002; Klotz et al., 2004; Sadezky et al., 2006) and monoterpenes (Jenkin et al., 2000; Jenkin, 2004). The reaction of alkyl-substituted dihydrofuran with O_3 involves the addition of O_3 to the C=C double bond to produce an energy-rich primary ozonide, which rapidly decomposes into two excited Criegee intermediates. The energy-rich Criegee intermediates are either collisionally stabilized, or decompose to yield OH (or OH + CO) and an additional α -carbonyl peroxy radical (or peroxy radical). The resulting α -carbonyl peroxy radical (or peroxy radical) can undergo the well-established reactions available for peroxy radicals, see Fig. 2b. The stabilized Criegee intermediates are predicted to react primarily with water (Martin et al., 2002), leading to 3-propoxy-nonanal ($C_{12}H_{22}O_3$) and 3-propoxy-nonanoic acid ($C_{12}H_{22}O_3$), with molar yields of 90 % and 10 %, respectively. The total yield of 3-propoxy-nonanal is predicted to be 18 %, which is close to the yield of succinaldehydic acid methyl ester (23 %) from the ozonolysis of 4,5-dihydro-2-methylfuran (Martin et al., 2002).

We consider SOA formation by dodecane photooxidation, including heterogeneous formation of substituted dihydrofuran and its subsequent reaction with OH/ O_3 . Gas-particle equilibrium partitioning of semi-volatile products is assumed. The branching ratio and vapor pressure ($P_{L,i}/\text{atm}$) at 300 K (predicted by SIMPOL.1 (Pankow and Asher, 2008)) of each product from the OH/ O_3 initiated oxidation of alkyl-substituted dihydrofuran are labeled in Fig. 2. The corresponding effective saturation concentrations ($C^* = 10^6 P_{L,i}^0 \gamma_i M_w / RT$) range from $7.0 \times 10^1 \mu\text{g m}^{-3}$ to $4.7 \times 10^4 \mu\text{g m}^{-3}$, for which approximately 0.1 % ~ 41.7 %, respectively, of these products are in the particle phase at an organic loading of $\sim 50 \mu\text{g m}^{-3}$. Compounds with the lowest volatility ($\sim 10^{-9}$ atm) are produced mostly from the $RO_2 + NO \rightarrow RONO_2$ reaction, the branching ratio of which ranges from 0.11 to 0.28. As a result, the total amount of organic nitrates in the particle-phase is relatively high, see Fig. 9c. Compounds generated from the $RO_2 + NO \rightarrow RO$ reaction have higher molar yields (0.72–0.89). But they are too volatile ($\sim 10^{-6}$ – 10^{-7} atm) to partition significantly into the particle phase. The stabilized Criegee intermediate reaction with water is predicted to predominate over reaction with NO/ NO_2

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mass of first-generation products under high-NO_x conditions, see Fig. 3a (H). But it contributes < 5% to the total organic mass of the third-generation products under low-NO_x conditions, see Fig. 3a (L). Inclusion of the heterogeneous conversion pathway from δ -hydroxycarbonyl to alkyl-substituted dihydrofuran is predicted to result in a rapid consumption of δ -hydroxycarbonyl under dry conditions, as opposed to a slow decay from OH oxidation. Alkyl-substituted dihydrofuran accounts for up to 70% of the decay of δ -hydroxycarbonyl, as shown in Fig. 3b (H). As discussed earlier, an ozonolysis-dominant environment occurs for O₃ > 3 ppb at a typical OH concentration of 1.0×10^6 molecules cm⁻³. In the high-NO_x simulation case, ozone is predicted to lead to 82–98% of the total alkyl-substituted dihydrofuran loss over the course of photooxidation, see Fig. 3d (H).

The alkyl-substituted dihydrofuran is not the only product that contains a dihydrofuran structure in the dodecane photooxidation system. The first generation product, 3-dodecyl nitrate under high-NO_x conditions is an example. Three pathways exist that could produce semi-volatile compounds containing a dihydrofuran structure from the further photochemical reaction of 3-dodecyl nitrate: (1) photolysis of the –ONO₂ group leads to an alkyl-substituted dihydrofuran; (2) abstraction of an H-atom by OH potentially leads to an organonitrate-substituted dihydrofuran; and (3) H-atom abstraction from a C-atom with the –ONO₂ functional group attached and further H-atom abstraction from a C-atom potentially leads to a carbonyl-substituted dihydrofuran. It is worth noting that the gas-phase ozonolysis of alkyl-substituted dihydrofuran under high-NO_x conditions contributes to most of the ozonolysis reactions because the alkyl-substituted dihydrofuran is a major first-generation product, as shown in Fig. 3b (H).

4.2 Formation of cyclic hemiacetals and alkyl-substituted dihydrofuran

CIMS measurement at (+) m/z 183 in positive mode represents the ion C₁₂H₂₂O·H⁺ generated from the proton transfer reaction with alkyl-substituted dihydrofuran ($M_w = 182$). An additional source of (+) m/z 183 is the proton transfer reaction followed by dehydration of hydroxyl dodecanone ($M_w = 200$), which is also detected as a fluoride

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cluster product at $(-)$ m/z 285 ($C_{12}H_{24}O_2-CF_3O^-$) in negative mode. Figure 4 shows temporal profiles of $(+)$ m/z 183 and $(-)$ m/z 285 monitored under both high- and low- NO_x conditions (Exp. 2 vs. Exp. 6). The $(+)$ m/z 183 ion was detected in each of the two experiments, but with distinct time-dependent patterns. Under high NO_x -conditions, $(+)$ m/z 183 peaks during first 3 h of irradiation, indicating fast formation of alkyl-substituted dihydrofuran, and decays during the next 15 h photooxidation. Under low- NO_x conditions, however, the $(+)$ m/z 183 signal eventually reaches a plateau, which is more consistent with the pattern of $(-)$ m/z 285. This behavior is consistent with the mechanism prediction that the alkyl-substituted dihydrofuran is formed in significant amounts only under high- NO_x conditions.

The AMS measured m/z 183 ($C_{12}H_{23}O^+$) is the major characteristic ion for cyclic hemiacetal. This ion is produced by the neutral loss of OH ($M_w = 17$) from the 2-position in the cyclic hemiacetal ($M_w = 200$) during electron ionization (Gong et al., 2005; Lim and Ziemann, 2009c). In addition, the $C_{12}H_{23}O^+$ ion is suggested to be the characteristic fragment of carbonyl-hydroperoxide-derived peroxyhemiacetal (Yee et al., 2012). The temporal profiles of the $C_{12}H_{23}O^+$ ion under both high- and low- NO_x conditions (Exp. 2 vs. Exp. 6) exhibit distinct growth patterns (Fig. 4). Under high- NO_x conditions, the $C_{12}H_{23}O^+$ ion signal increases rapidly to a maximum during the first 2 h and decays over the next ~ 14 h. The temporal behavior of the $C_{12}H_{23}O^+$ ion is a result of its rapid formation, i.e., uptake of δ -hydroxycarbonyl onto particles and subsequent cyclization, and relatively slower removal, i.e., dehydration. Under low- NO_x conditions, the $C_{12}H_{23}O^+$ ion increases over the course of a 20 h experiment because of the accumulative formation of peroxyhemiacetal. During the first 3 h of irradiation under low- NO_x conditions, the organic loading is below the AMS detection limit so that the $C_{12}H_{23}O^+$ ion signal does not appear in Fig. 4 during this period. The $C_{12}H_{23}O^+$ ion signal under low- NO_x conditions therefore potentially represents peroxyhemiacetal, since the formation of peroxides is the major reaction pathway in the RO_2+HO_2 dominant regime. This is again consistent with the mechanism prediction that the formation of alkyl-substituted dihydrofuran is unimportant under low- NO_x conditions.

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$\Delta\text{C}_3\text{H}_5\text{O}_2^+/\Delta\text{C}_{12}\text{H}_{23}\text{O}^+$ is associated with the highest RH, i.e., 50%. On the contrary, the production of $\text{C}_2\text{H}_4\text{O}_2^+$ and $\text{C}_3\text{H}_5\text{O}_2^+$ is not significant under dry conditions. Also, the changes in slopes along with changes in RH values are consistent for both ions. This indicates that the formation of the carboxylic acid functional group detected in particles is associated with the water vapor concentration in the gas phase, consistent with the reaction of the stabilized Criegee intermediates with water in the substituted dihydrofuran oxidation system.

Two dominant oxygen-containing ions, m/z 44 (mostly CO_2^+) and m/z 43 (C_3H_7^+ and $\text{C}_2\text{H}_3\text{O}^+$), have been widely used to characterize organic aerosol evolution in chamber and field observations. Previous studies have shown that CO_2^+ results mostly from the thermal decarboxylation of an organic acid group (Alfarra, 2004). The f_{44} (ratio of m/z 43, mostly CO_2^+ , to total signal in the component mass spectrum) axis is also considered to be an indicator of photochemical aging (Alfarra et al., 2004; Aiken et al., 2008; Kleinman et al., 2008). It has been found that increasing OH exposure increases f_{44} and decreases f_{43} (ratio of m/z 43, mostly $\text{C}_2\text{H}_3\text{O}^+$, to total signal in the component mass spectrum) for SOA generated from gas-phase alkanes (Lambe et al., 2011). The $\text{C}_2\text{H}_3\text{O}^+$ ion at m/z 43 is assumed predominantly due to non-acid oxygenates, such as saturated carbonyl groups (Ng et al., 2011). The evolution of dodecane SOA from four experiments (Table 1), characterized by different OH and O_3 exposure, and different RH levels, is shown in $f_{\text{CO}_2^+} - f_{\text{C}_2\text{H}_3\text{O}^+}$ space in Fig. 8. Overall, high- NO_x dodecane SOA lies to the lower left of the triangular region derived for ambient SOA. The relative high organic loading (~ 200 ppb dodecane) employed in this study favors partitioning of less oxidized species, which would remain in the gas phase under atmospheric conditions. For each experiment, $f_{\text{CO}_2^+}$ decreases and $f_{\text{C}_2\text{H}_3\text{O}^+}$ increases with increasing SOA at the beginning of irradiation. After several hours photooxidation, the trends reverse, resulting in increasing $f_{\text{CO}_2^+}$ and decreasing $f_{\text{C}_2\text{H}_3\text{O}^+}$. Curvature in $f_{\text{CO}_2^+} - f_{\text{C}_2\text{H}_3\text{O}^+}$ space has been also observed in other chamber/flow reactor studies (Kroll et al., 2009; Ng et al., 2010; Chhabra et al., 2011; Lee et al., 2011; Lambe et al., 2011).

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dihydrofuran chemistry to alkane SOA production in the actual atmosphere, where the RH is higher (50 % vs. 3 %) and ambient organic aerosol levels are lower ($\sim 10 \mu\text{g m}^{-3}$) than organic loadings in our chamber experiments ($\sim 50 \mu\text{g m}^{-3}$ after 3 h of irradiation). Experimental evidence in this study shows that the heterogeneous conversion still occurs at 50 % RH but with less efficiency (Fig. 5). The water vapor abundance at 50 % RH, however, compensates for the production of the less substituted dihydrofuran, leading to an eventually higher yield of carboxylic acids (Sect. 4.4). If the conversion of δ -hydroxycarbonyl to substituted dihydrofuran occurs efficiently in the atmosphere, this could be a source of carboxylic acid in the ambient aerosols.

In summary, two impacts of substituted dihydrofuran chemistry on alkane SOA formation are expected. First, the SOA yield from the photooxidation of long-chain alkanes can be overpredicted without accounting for substituted dihydrofuran formation and removal pathways. Second, a substantial amount of carboxylic acid, ester, and tetrahydrofuran moieties can be produced, leading to higher O : C but much lower H : C ratios, and thus a higher oxidation state of alkane SOA in general. In this manner, the dihydrofuran chemistry can be considered as a “dehydration” channel in alkane SOA formation.

Supplementary material related to this article is available online at <http://www.atmos-chem-phys-discuss.net/13/24713/2013/acpd-13-24713-2013-supplement.pdf>.

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Table 1. Experimental conditions for the photooxidation of dodecane.

	Exp.	T (K)	RH (%)	HC (ppb)	(NO) (ppb)	(NO ₂) (ppb)	(O ₃) (ppb)	Initial Seed vol. (μm ³ cm ⁻³)	Additional NO inj. (ppb h ⁻¹) × (h)
High-NO _x ^a	1	~ 300	~ 3	208	430	576	< DL ^g	18	–
	2	~ 300	~ 3	208	287	12	~ 2	24	Y ^c
	3	~ 300	~ 11	206	45	33	~ 2	30	Y ^d
	4	~ 300	~ 20	178	38	156	~ 2	28	Y ^e
	5	~ 300	~ 55	214	69	30	~ 2	43	Y ^f
Low-NO _x ^b	6	~ 300	~ 3	208	< DL	< DL	< DL	19	–
	7	~ 300	~ 3	214	< DL	< DL	32.6	25	–
	8	~ 300	~ 55	216	< DL	< DL	< DL	58	–

^a Under high-NO_x conditions, the simulated NO concentration (> 5 ppb) is at least four orders of magnitude higher than RO₂ (< 5 × 10⁷ molecules cm⁻³). Over 99% of RO₂ is predicted to react with NO.

^b Under low-NO_x conditions, the simulated HO₂ concentration (~ 1 × 10¹⁰ molecules cm⁻³) is ~ 20 times higher than RO₂ (~ 5 × 10⁸ molecules cm⁻³). RO₂ + HO₂ dominates the fate of RO₂.

^c NO source was controlled at 100 ppb h⁻¹ for the first 7 h reaction and then 25 ppb h⁻¹ for the remainder of the reaction.

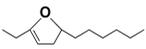
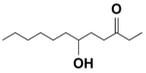
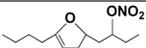
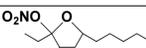
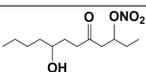
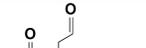
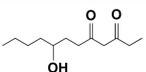
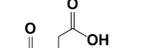
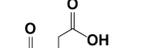
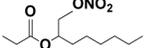
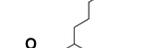
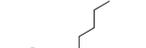
^d NO source was controlled at 25 ppb h⁻¹ over the course of the experiment.

^e NO source was controlled at 30 ppb h⁻¹ for the first 3 h reaction and then 100 ppb h⁻¹ for the next 1 h of reaction and then back to 30 ppb h⁻¹ for the remainder of the reaction.

^f NO source was controlled at 50 ppb h⁻¹ over the course of the experiment.

^g Detection limits (DL) for O₃, NO, and NO₂ are 0.5 ppb, 0.4 ppb, and 0.4 ppb, respectively. H₂O₂ has an interference on the O₃ detection, increasing the O₃ monitor readout by ~ 2–3 ppb in the current study.

Table 2. Proposed structures for CIMS ions unique to the alkyl-substituted dihydrofuran chemistry. C and T indicate the cluster and transfer product, respectively. Commercial standards are not available.

Observed m/z	Product	Chemical formula	Proposed structure	Chemical pathway	Interference
183 (+)	T	$C_{12}H_{22}O$		Heterogeneous conversion	
328 (-)	C	$C_{12}H_{21}NO_4$		Heterogeneous conversion	-
346 (-)	C	$C_{12}H_{23}NO_5$		OH-oxidation	
299 (-)	C	$C_{12}H_{22}O_3$		OH-oxidation Ozonolysis	
249 (-)	T	$C_{12}H_{22}O_4$		Ozonolysis	-
315 (-)	C	$C_{12}H_{22}O_4$		Ozonolysis	-
332 (-)	C	$C_{11}H_{21}O_5N$		Ozonolysis	-
348 (-)	C	$C_{11}H_{21}O_6N$		Ozonolysis	-
301 (-)	C	$C_{11}H_{20}O_4$		Ozonolysis	-

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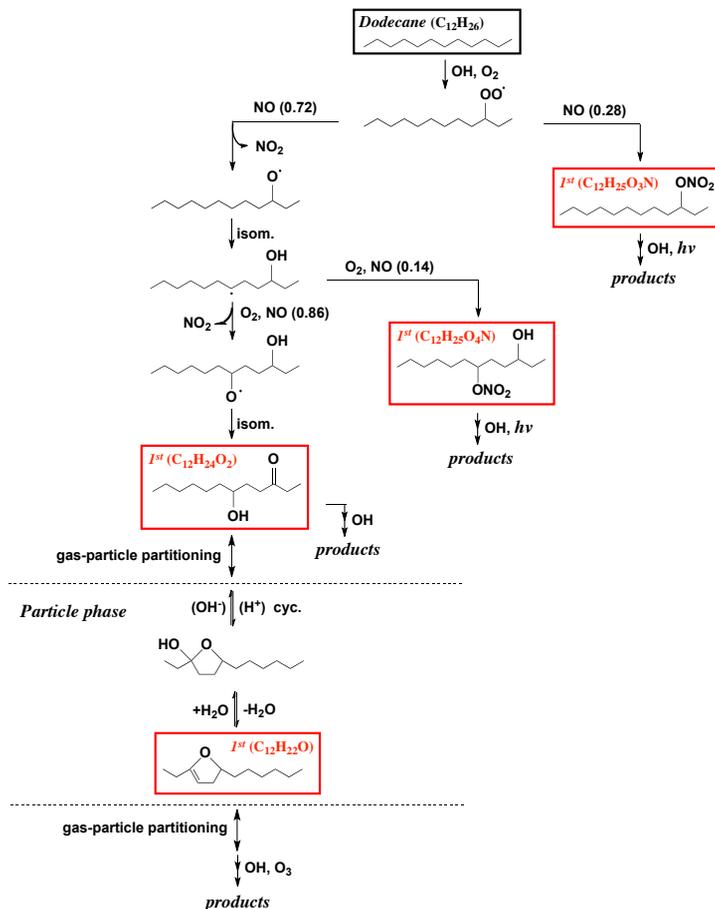


Fig. 1a. Schematic mechanism for the photooxidation of dodecane under high- NO_x conditions. Note only first-generation products are shown here. The solid color boxes indicate compounds and associated reaction pathways incorporated in the model simulation.

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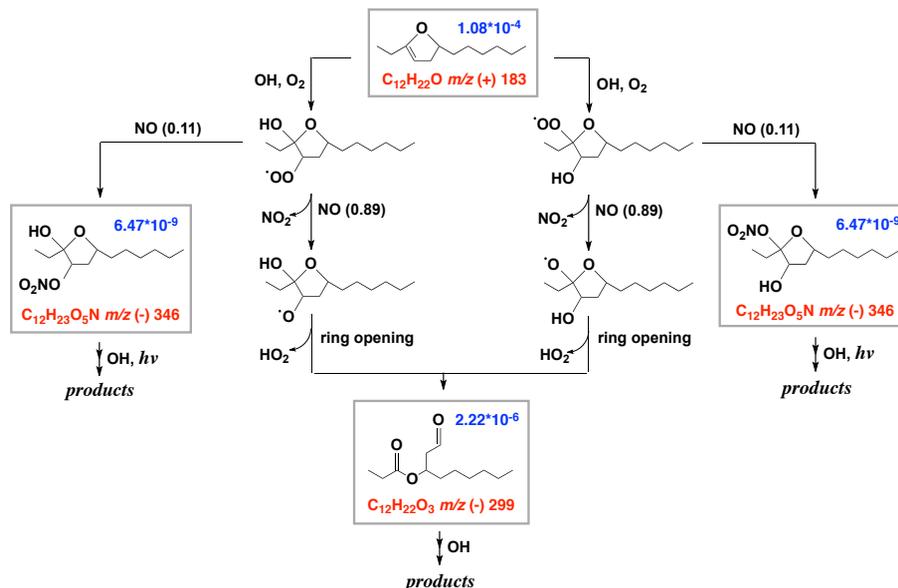


Fig. 2a. Proposed mechanism for the OH-initiated oxidation of alkyl-substituted dihydrofuran under high-NO_x conditions. The solid gray boxes indicate compounds or reaction pathways incorporated in the model simulation. CIMS monitored species have *m/z* noted in red. Estimated vapor pressure (atm) of each compound is indicated in blue.

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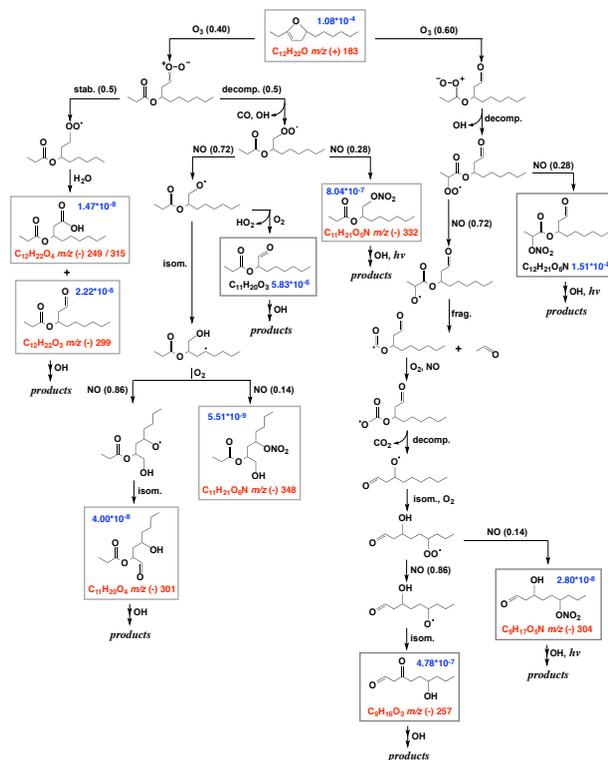


Fig. 2b. Proposed mechanism for the ozonolysis of alkyl-substituted dihydrofuran under high- NO_x conditions. The solid gray boxes indicate compounds or reaction pathways incorporated in the model simulation. CIMS monitored species have m/z noted in red. Estimated vapor pressure (atm) of each compound is indicated in blue.

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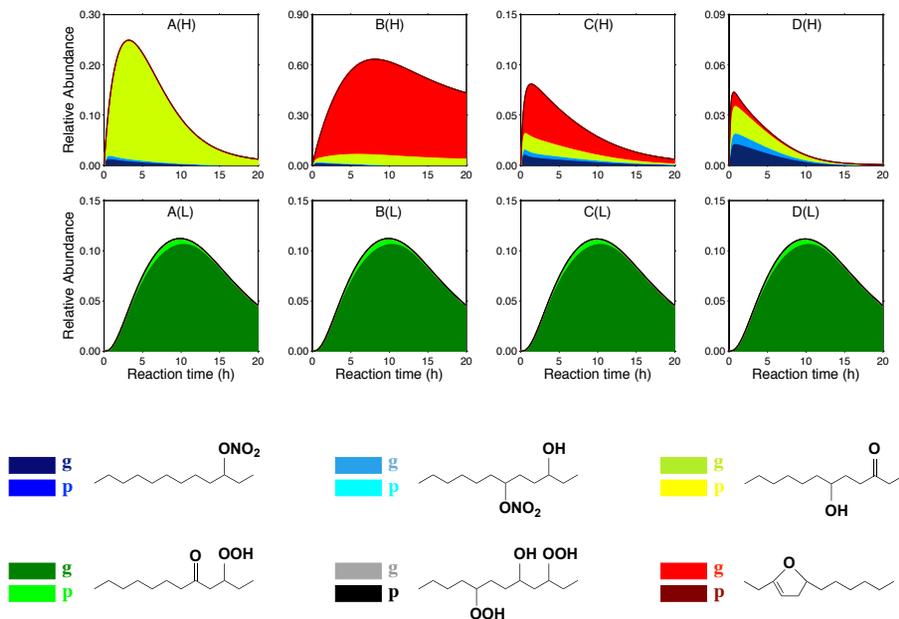


Fig. 3. Model-predicted relative abundance of δ -hydroxycarbonyl and alkyl-substituted dihydrofuran, together with other 1st generation products under high- NO_x (H) and other 3rd generation products under low- NO_x (L) conditions in gas (g) and particle (p) phases. All the organic masses are normalized by the initial organic mass (~ 200 ppb dodecane). (A) represents the relative abundance of products without a heterogeneous alkyl-substituted dihydrofuran formation channel; (B) represents the relative abundance of products when the heterogeneous channel is incorporated into the scheme but in the absence of any sink of alkyl-substituted dihydrofuran; (C) represents the relative abundance of products when the OH oxidation is the only sink of alkyl-substituted dihydrofuran; (D) represents the relative abundance of products using the complete gas- and particle- phase mechanism.

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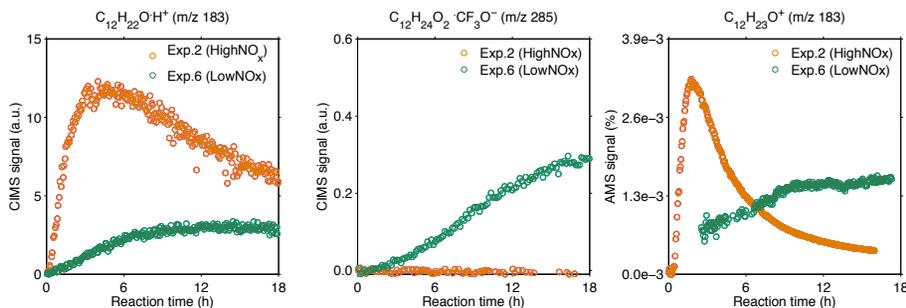


Fig. 4. Temporal profiles of ion $C_{12}H_{22}O\cdot H^+$ ($m/z = 183$) and $C_{12}H_{24}O_2\cdot CF_3O^-$ ($m/z = 285$) measured by CIMS and ion $C_{12}H_{23}O^+$ ($m/z = 183$) measured by AMS under high- and low- NO_x conditions. Details of experimental conditions are given in Table 1.

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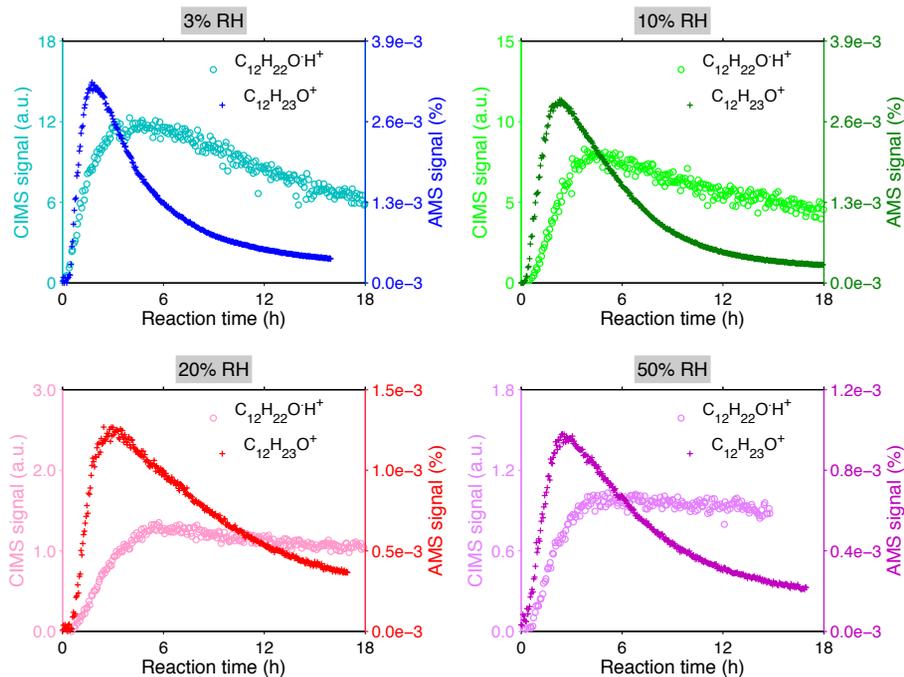


Fig. 5. Time-dependent evolution of ion $C_{12}H_{22}O-H^+$ ($m/z = 183$) measured by CIMS and ion $C_{12}H_{23}O^+$ ($m/z = 183$) measured by AMS at 3%, 10%, 20%, and 50% RH.

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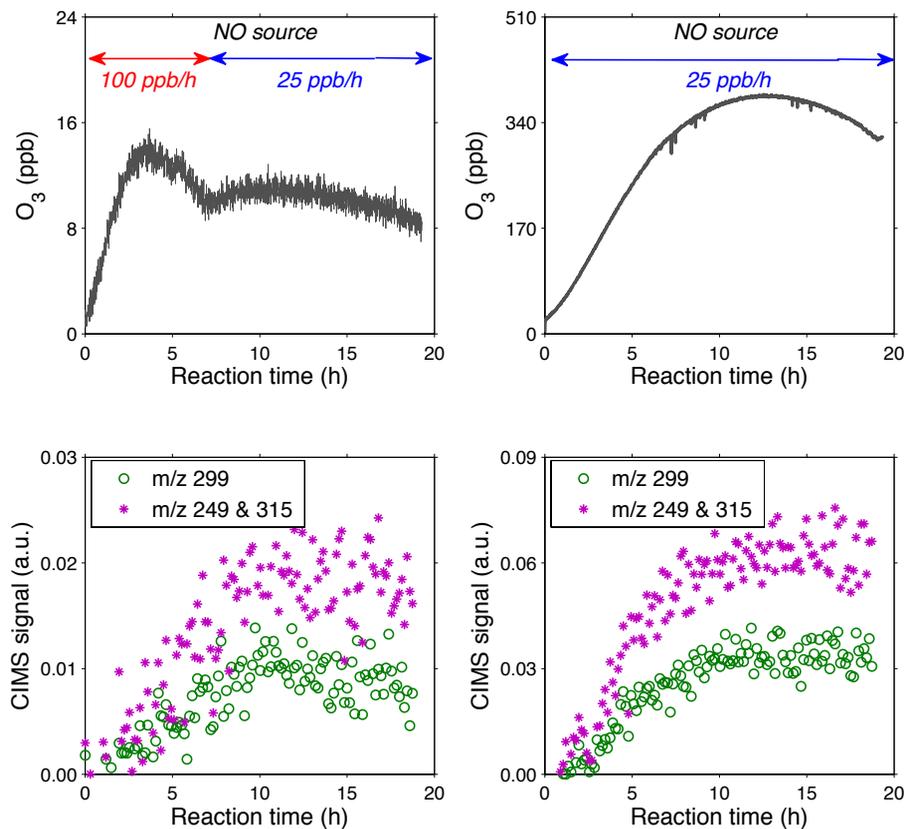


Fig. 6. Time-dependent evolution of CIMS detected signals m/z 299, and m/z 249 and 315, as a function of O_3 concentration over the course of 20 h photooxidation.

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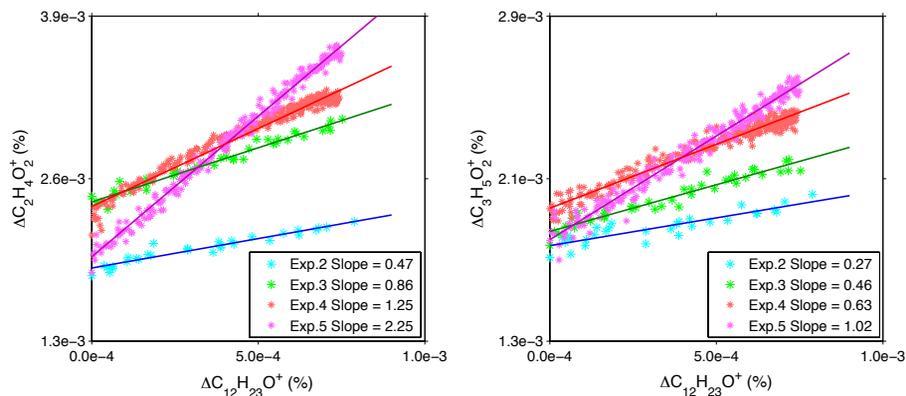


Fig. 7. Time-dependent evolution of AMS measured ion intensities of $C_2H_4O_2^+$ and $C_3H_5O_2^+$ as a function of decay of the $C_{12}H_{23}O^+$ signal.

Role of ozone in SOA formation from alkane photooxidation

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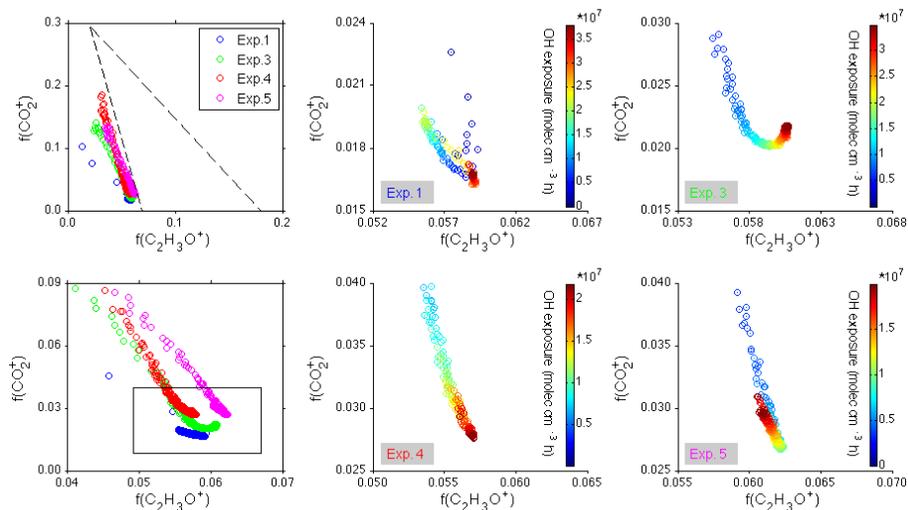


Fig. 8. SOA evolution as a function of OH exposure vs. O_3 exposure from dodecane photochemistry under high- NO_x conditions in the f_{44} vs. f_{43} space. The top-left graph shows the combination of all the data and the bottom-left graph shows a zoomed in version. The other graphs show the specific behavior of each experiment: Exp. 1 corresponds to a regime in which OH-oxidation of dihydrofuran is dominant. Exp. 3, 4, and 5 correspond to a regime in which ozonolysis of dihydrofuran is dominant, at 10 %, 20 %, and 50 % RH, respectively.

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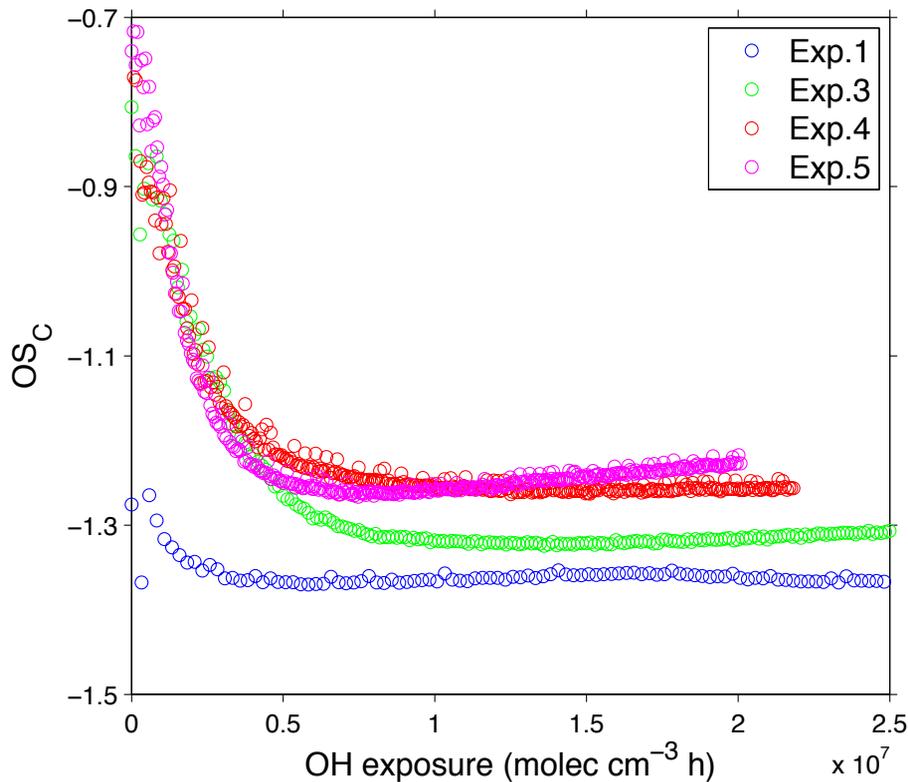


Fig. 9. SOA average carbon oxidation state as a function of OH exposure from dodecane photochemistry under high- NO_x conditions. Exp. 1 corresponds to a regime in which OH-oxidation of dihydrofuran is dominant. Exp. 3, 4, and 5 correspond to a regime in which ozonolysis of dihydrofuran is dominant, at 10%, 20%, and 50% RH, respectively.

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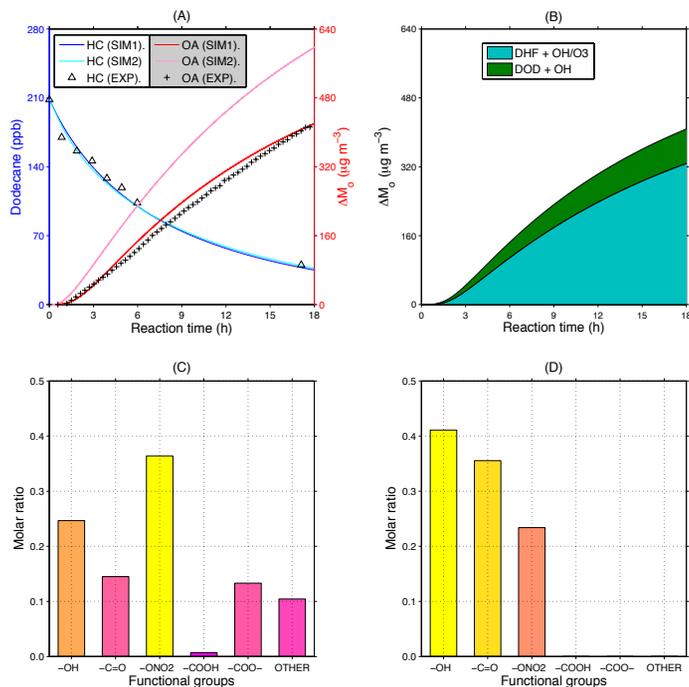


Fig. 10. (A) Comparison of the predicted dodecane decay and SOA growth with observations (Exp. #2). SIM1 represents the full mechanism simulations under initial conditions of Exp. #2. SIM2 is the simulations in the absence of the substituted dihydrofuran formation channel. (B) The contribution of dihydrofuran chemistry (DHF+OH/O₃) vs. dodecane photochemistry (DOD+OH) to the total organic mass. (C) Molar fractions of major functional groups after 3 h of photochemical reaction (when alkyl-substituted dihydrofuran peaks and organic loading is $\sim 50 \mu\text{g m}^{-3}$) as predicted by SIM1. (D) Molar fractions of primary functional groups after 3 h of photochemical reaction as predicted by SIM2. Note that “other” includes dihydrofuran, tetrahydrofuran, and ether moieties.

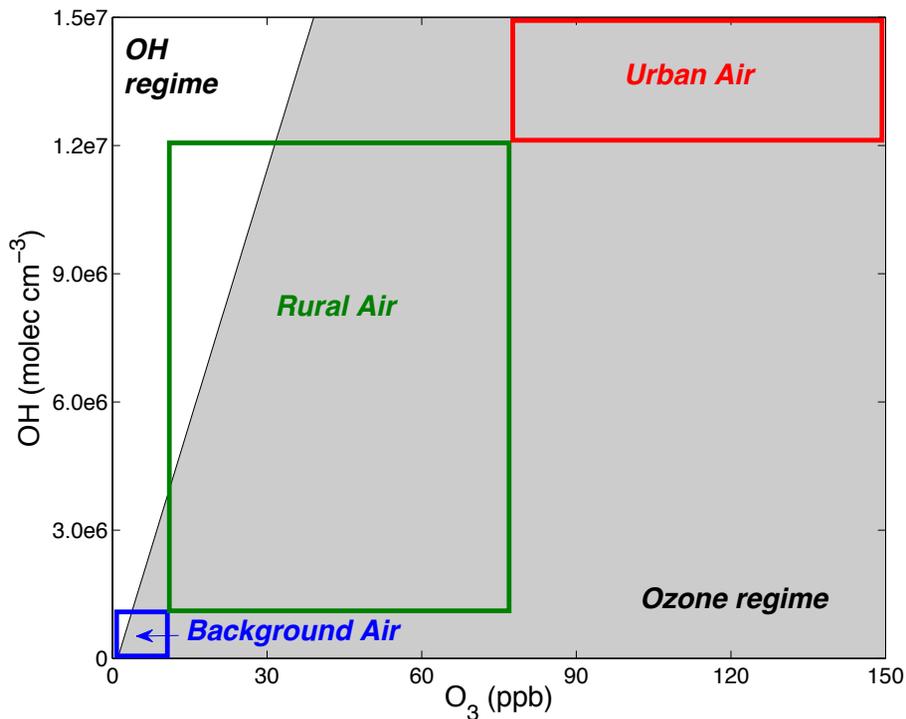


Fig. 11. Regimes of dominance of ozonolysis vs. OH-oxidation of substituted dihydrofuran. Daily maximum OH concentrations vary by regions in the troposphere, i.e., 10^5 – 10^6 molecules cm^{-3} for background air, 10^6 – 10^7 molecules cm^{-3} for rural air, and $> 10^7$ molecules cm^{-3} for urban air, with a global average concentration of 1×10^6 molecules cm^{-3} . Daily average surface ozone mixing ratios in rural areas are between 10 and 50 ppb, while these can exceed 100 ppb in polluted urban areas.