



35

36 **1. Introduction**

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38 Nitrogen dioxide (NO₂) is one of the highly reactive gases of the nitrogen oxides (NO_x) family. The
39 major sources of NO₂ are fuel combustion in motor vehicles, industrial boilers and agricultural
40 biomass burning. The natural source of NO₂ is lightning and forest fires. Recent studies indicate
41 increasing trends in NO₂ in developing countries and decreasing trends in developed countries as a
42 result of environmental regulation policies (Richter et al. 2005; Zhang et al. 2007; van der A et al.
43 2008; Schneider et al. 2015; Geddes et al. 2016). NO₂ is a strong oxidizing agent resulting in the
44 corrosive nitric acid and plays an important role aiding the formation of ozone. It can also
45 contribute to the formation of particulate matter (PM) and secondary organic particles through
46 photochemical reactions. Increased NO_x concentrations not only severely affect human physical
47 health through reduced lung function and psychological health, but also affect aquatic ecosystems
48 through acid deposition and eutrophication of soil and water (Sjöberg et al. 2004; Klingberg et al.
49 2009; Bellandar et al. 2012; Gustafsson et al. 2014; Nilsson Sommar et al. 2014; Oudin et al. 2016;
50 Taj et al. 2016). According to the fifth IPCC assessment report, the total global NO_x emissions have
51 increased four-fold from pre-industrial periods to values ranging from 42-47 TgN/yr in 2000 and is
52 projected to increase up to 131 TgN/yr by 2100 (Lamarque et al., 2005). In heavily polluted areas
53 NO₂ can also have noticeable impact on the local radiation budget (Vasilkov et al. 2009).

54

55 Compared to other pollutants such as carbon monoxide (CO) that has a life span of weeks to few
56 months, NO₂ has a relatively shorter life time in the atmosphere and ranges typically from a couple
57 of hours in the boundary layer to up to few days in the upper troposphere (Beirle et al., 2011).
58 Therefore, NO₂ can be typically associated with short-range transport events. For long range
59 transport (LRT) or intercontinental transport of pollutants and in particular of NO₂ to occur, the
60 associated weather systems need to be linked with stronger winds and rapid convective-advective
61 events such as cyclones or warm conveyor belts (WCBs) that can lift air masses from their source
62 regions up into the free troposphere and be transported across the oceans (Eckhardt et al. 2003;
63 Stohl et al. 2003). Due to lower concentrations of radical species in the free troposphere, the
64 reaction with NO₂ is limited. Zien et al. (2014) identified about 3800 LRT events of NO₂ during a 5
65 year period from the major pollution hotspots such as the east coast of North America, central
66 Europe, China and South America, predominantly during autumn and winter months.

67

68 There have been several studies reporting individual LRT events of NO₂. To mention a few, Stohl et
69 al. (2003) in a study explained “intercontinental express highways” being responsible for almost



70 60% of the total intercontinental transport of pollutants from across the Atlantic to Europe, resulting
71 in an increment of average European winter NO_x mixing ratios by about 2-3 pptv. In yet another
72 study, Schaub et al. (2005) demonstrated that at least 50 % of the NO₂ recorded at the Alpine region
73 was advected via a frontal system from the Ruhr area in central Germany in February 2001.
74 Donnelly et al. (2015) reported that easterly air masses during winter resulted in increased NO₂
75 concentrations in the urban and rural sites in Ireland. LRT of NO_x across the Indian Ocean from
76 South Africa to Australia in May 1998 was reported by Wenig et al. (2003).

77

78 There has not been a systematic study linking the transport events of NO₂ to different
79 meteorological conditions, solely from observational data over the Scandinavian region. Identifying
80 the dominant weather patterns over Scandinavia especially during extreme pollution events helps in
81 better understanding of the role of local meteorology in governing the transport and distribution of
82 pollutants in the atmosphere. The local meteorology can enhance or dampen the concentration of
83 the pollutants depending on the degree of persistency; the knowledge of which would help to better
84 constrain the chemistry transport models (CTMs). Hence, the main aim of the present study is to
85 investigate the statistical linkages between pollutant distribution and meteorology under extreme
86 pollution events. There are two different ways to study this co-variability solely using observational
87 data: 1) the “top-down approach” wherein the atmospheric state is first identified and then the
88 variability of the tracers is evaluated. This approach gives a general perspective of the distribution
89 of tracers based on a particular weather state and 2) the “bottom-up approach” wherein the pollution
90 episode is first identified and the weather state associated with it is studied. In this study we make
91 use of the bottom-up approach as explained in the next section.

92

93

94 **2. Data sets and methodology**

95

96 The NO₂ tropospheric column densities from OMI (Ozone Monitoring Instrument) on board the
97 EOS Aura satellite are used in this study to define and identify extreme events (Boersma et al.,
98 2001, 2008, 2011; Bucsela et al., 2006, 2008, 2013; Lamsal et al. 2008, 2010, 2014). 11 years (2004
99 – 2015) of daily Level 3 gridded standard product, available at 0.25x0.25 degrees resolution is
100 analysed (OMNO2d, Version 3). This particular product is used as it provides good quality OMI
101 retrievals, already screened based on recommendations by the OMI Algorithm Team. We allow
102 retrievals under cloudy conditions to be analysed, not only to have robust number of samples, but
103 also to avoid clear-sky biases since the NO₂ transport is often associated with cyclonic systems that
104 lead to increased cloudiness (Zien et al. 2014).



105

106 Humidity and cloud fraction retrievals from the AIRS (Atmospheric Infrared sounder) instrument
107 on board Aqua satellite are used (Chahine et al. 2006; Susskind et al. 2014; Devasthale et al. 2016).
108 Both Aqua and Aura satellites are a part of NASA's A-Train convoy, providing added advantage of
109 simultaneous observations of trace gases from OMI-Aura and thermodynamical information from
110 AIRS-Aqua. AIRS Version 6 Standard Level 3 Daily Product (AIRX3STD) for the same period
111 (2004-2015) is used.

112

113 To investigate circulation patterns, u and v wind components at 850 hPa from ECMWF's ERA-
114 Interim Reanalysis are used (Dee et al., 2011).

115

116 In order to investigate co-variability of meteorological conditions and pollutants using observations,
117 two different approaches can be taken (Fig. 1). In a "top-down" approach, a weather state
118 classification can be done to identify most prevailing weather states that occur over the study area
119 and then the relative distribution of pollutants can be investigated under those states to rank them.
120 This approach was adapted by Thomas and Devasthale (2014) and Devasthale and Thomas (2012).
121 In a "bottom-up" approach on the other hand, a set of pollution events can be identified first and
122 then the corresponding meteorological conditions can be investigated. This bottom-up approach is
123 the focus of the present study. It should be mentioned that both of these approaches have their
124 advantages and limitations. For example, the dominant weather pattern identified in the top-down
125 approach may not have the largest impact on pollutant variability and the pollution events identified
126 in the bottom-up approach may not be associated with the dominant weather pattern or may not
127 have the largest impact on an average in the weather state they occur. Therefore, only the
128 combination of these two approaches will provide a complete picture of the co-variability between
129 meteorological conditions and pollutants.

130

131 In the present study, an "extreme" pollution event is defined as follows. First, the histograms of
132 NO₂ tropospheric column densities using OMI data for each season are computed over the centre of
133 the study area (55N-60N, 11E-20E). This area is chosen because it accommodates top ten polluted
134 and populated cities/regions in Sweden (Sjöberg et al. 2004; Klingberg et al. 2009; Bellandar et al.
135 2012; Gustafsson et al. 2014; Nilsson Sommar et al. 2014; Oudin et al. 2016; Taj et al. 2016). All
136 events that surpass the 90-percentile (90%ile) value are considered as extreme events. This is shown
137 in Fig. 2a with the 90%ile marked by the vertical lines for each season. Since NO₂ distributions
138 over the study area show strong seasonality, different thresholds were chosen to define the extreme
139 events. It is interesting to see that, to classify an event as an extreme pollution event, the thresholds



140 in winter half year are much higher than in summer half year. It is also noticeable that the seasonal
141 distributions of NO₂ have longer tails during winter half year. Fig.2b further shows the monthly
142 distribution of the number of NO₂ events resulting from our definition of extreme events. It can be
143 seen that NO₂ extreme events have a bimodal peak, peaking in March and November months.

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145

146 **3. Meteorological conditions observed during extreme events**

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148 The spatial distribution of tropospheric NO₂ column during climatological conditions, extreme
149 events and anomalies thereof is presented in Fig. 3. By definition, NO₂ anomalies during extreme
150 events are similar in magnitude to climatological values over Scandinavia. The spatial extent of the
151 severity of the extreme pollutant episodes over southern Sweden is noticeable. Under climatological
152 conditions, highest concentrations are observed over northern Germany and France, the Netherlands
153 and Belgium (the Benelux region). There is a good spatial coherence between NO₂ distributions
154 under climatological conditions and extreme events, in the sense that the high concentrations of
155 NO₂ seemed to have spread over southern Scandinavia during extreme events from the regions
156 where climatological values are usually higher. This provides confidence in the selection process of
157 extreme events. The NO₂ concentrations are relatively higher in winter and autumn compared to the
158 summer months. This is mainly because atmospheric removal by radical species and deposition are
159 much more efficient in the summer months.

160

161 In order to characterize typical meteorological conditions that can result in such high concentrations
162 over Scandinavia, we first investigated the dominant wind direction at 850 hPa associated with
163 those extreme events using ERA-Interim reanalysis data. The normalized frequency of occurrence
164 of different wind directions during four seasons is shown in Fig. 4. It can be seen that, irrespective
165 of the season, the south-westerly winds are dominant during extreme events accounting for 50-65%
166 of total events. This is consistent with south-westerly extension of pollution plume mentioned
167 earlier. The second largest annual occurrence is from south-easterly winds, accounting for 17% of
168 total events followed equally similar contribution from north-westerly winds. The spatial pattern of
169 the 850 hPa winds based on ERA-Interim reanalysis and corresponding humidity anomalies at 850
170 hPa based on AIRS data during extreme events are shown in Figs. 5 and 6 respectively. A clear
171 transport pathway from the northern continental Europe to Scandinavia is visible. The strongest
172 winds are observed during the DJF months followed by the SON months with average wind speeds
173 reaching over 10 m/s. The weakest winds are observed during the JJA months. The circulation
174 pattern is characterized by the presence of low pressure systems in the Norwegian Sea that create



175 favourable conditions for the transport of pollutants from continental Europe into Scandinavia. The
176 location of the center of these cyclonic systems can slightly vary over the Norwegian Sea, affecting
177 the direction and strength of the northward flow, as evident in Fig. 5. For example, in the DJF
178 months, the center is located far away in the open Norwegian Sea allowing stronger south-westerly
179 winds over southern Scandinavia. In the JJA months, the center of cyclonic systems is close to
180 western Norwegian coast. While this pattern also leads to south-westerly winds, air masses are
181 mixed with colder and drier air from the northern Norwegian Sea.

182

183 The specific humidity anomalies show an influx of warmer and moister air masses over Scandinavia
184 (Fig. 6), except in summer as mentioned above. The seasonality in the vertical structure of the
185 specific humidity anomalies over Scandinavia is shown in Fig. 7c. While there are large deviations
186 in humidity anomalies, influenced by the strength of the wind flow, they are positive regardless of
187 the season during extreme events and peak at 2-3 km above the surface. Such increase in the free
188 tropospheric moisture, especially during winter half year in the absence of local moisture sources,
189 can only be explained by the transport from southern latitudes. The vertical water vapour anomalies
190 are higher in winter half year (DJF and SON), consistent with high NO₂ anomalies during those
191 months. Fig. 8 further shows cloud fraction anomalies. Average cloudiness is increased in all
192 seasons during extreme events, in particular during winter half year. During this time of year, the
193 large-scale frontal systems originating from the southwesterly regions can bring moister airmasses
194 over Scandinavia, as can be seen in the circulation patterns and humidity anomalies, creating
195 favourable conditions for cloud formation. Therefore, these positive cloud fraction anomalies, in
196 combination with positive humidity anomalies and circulation patterns, are indicative of the long-
197 range transport of airmasses associated with increased NO₂ concentrations.

198

199 For an extreme pollution event to be linked with the transport the wind flow should be stronger
200 allowing rapid advection and associated circulation pattern also needs to be persistent. Fig. 7a and
201 7b show the histograms of wind speed at 850 hPa over the study areas during extreme events when
202 data are partitioned by wind direction and by season respectively. The distributions are shifted to
203 higher wind speeds in nearly all cases during extreme events compared to climatological conditions.
204 As expected, the south-westerly winds are strongest and show largest difference in average wind
205 speeds, while the northeasterly winds are weakest. Average wind speeds during the winter half year
206 (DJF and SON) are higher than the summer half year, consistent with observed positive anomalies
207 of humidity and clouds.

208

209 The persistency of the different circulation patterns during these extreme events is further evaluated



210 as shown in Fig. 7d. Two distinct modes in the persistency of circulation patterns are observed, one
211 in which a particular wind direction persists for a day or two and a second mode in which winds
212 persists for 3 to 5 continuous days. It was identified that south-easterly winds dominated the first
213 mode explaining 78% of the total occurrence in that mode and the westerly winds dominated the
214 second mode explaining 86% of the total occurrence. In the latter case, when the winds persist for
215 few days (3-5 days), the conditions are favourable for the long-range transport from the southern
216 latitudes since circulation patterns (Fig. 5) are associated with typical frontal systems and baroclinic
217 disturbances that make their way over Scandinavia.

218

219

220 4. Conclusions

221

222 The present study characterizes typical meteorological conditions associated with extreme NO₂
223 pollution events over Scandinavia. The study employs the bottom-up approach, in contrast to top-
224 down approach taken by Thomas and Devasthale (2014) to study statistical co-variability of
225 weather states and pollutant distribution. It is observed that the south-westerly winds dominated
226 during extreme events accounting for 50-65% of total events, while the second largest annual
227 occurrence was from south-easterly winds, accounting for 17% of total events followed by an
228 equally similar contribution from north-westerly winds. Analysis of circulation patterns in
229 combination with spatial distribution of humidity and its vertical structure suggest that these events
230 occur as a result of long-range transport from southern latitudes, most likely from the northern parts
231 of Germany and France, the Netherlands and Belgium. This inference is further supported by the
232 fact that when south-westerly winds are observed during extreme events, they are not only stronger.
233 But also persist 3 to 5 days creating favourable conditions for long-range transport of NO₂ in the
234 free troposphere. The analysis presented here provides information that can be used in the process
235 oriented evaluation of chemistry transport models over Scandinavia.

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237

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239

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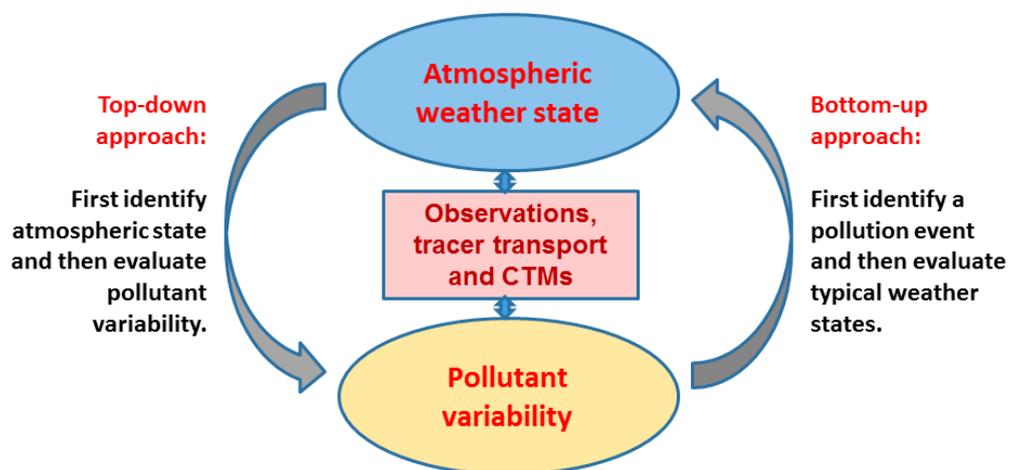
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419 Fig. 1: Schematic showing two different approaches to study statistical co-variability of
420 atmospheric weather states and pollutant concentrations.

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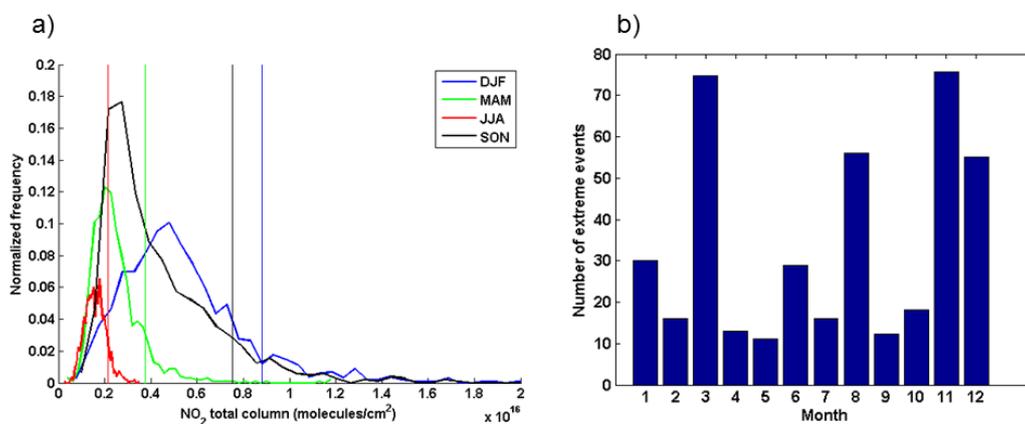
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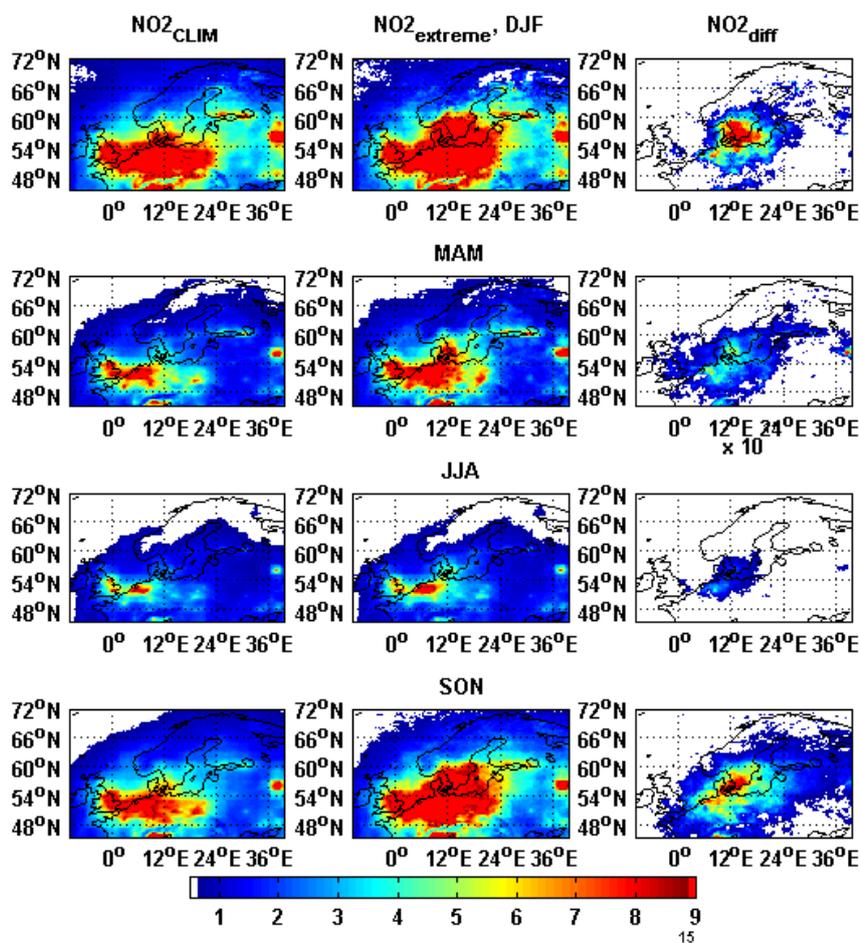
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438 Fig. 2: a) Seasonal histograms of total column NO₂ over the centre of the study area (55N-60N,

439 11E-20E) and corresponding 90%ile thresholds (shown by vertical lines) and b) monthly

440 distribution of the number of extreme events.

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444 Fig. 3: Seasonal, climatological average NO₂ total column (first column) based on nearly 11-yr

445 OMI data (2004-2015), NO₂ distribution during extreme events (second column) and the difference

446 between the two (third column). The units are in molecules/cm².

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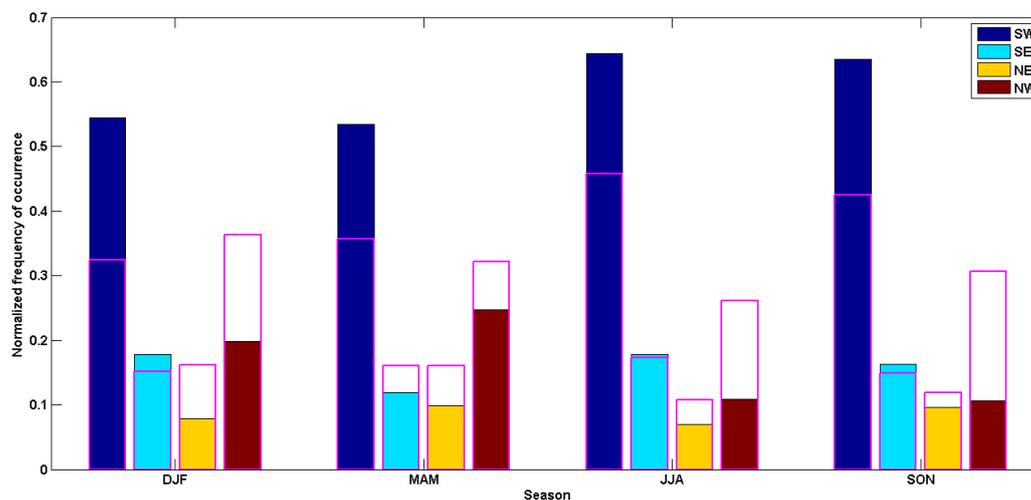
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456 Fig. 4: Seasonal normalized frequency of occurrence of a particular wind direction at 850 hPa when
457 NO₂ extreme pollution events were observed. The hollow magenta bars show normalized frequency
458 under climatological conditions.

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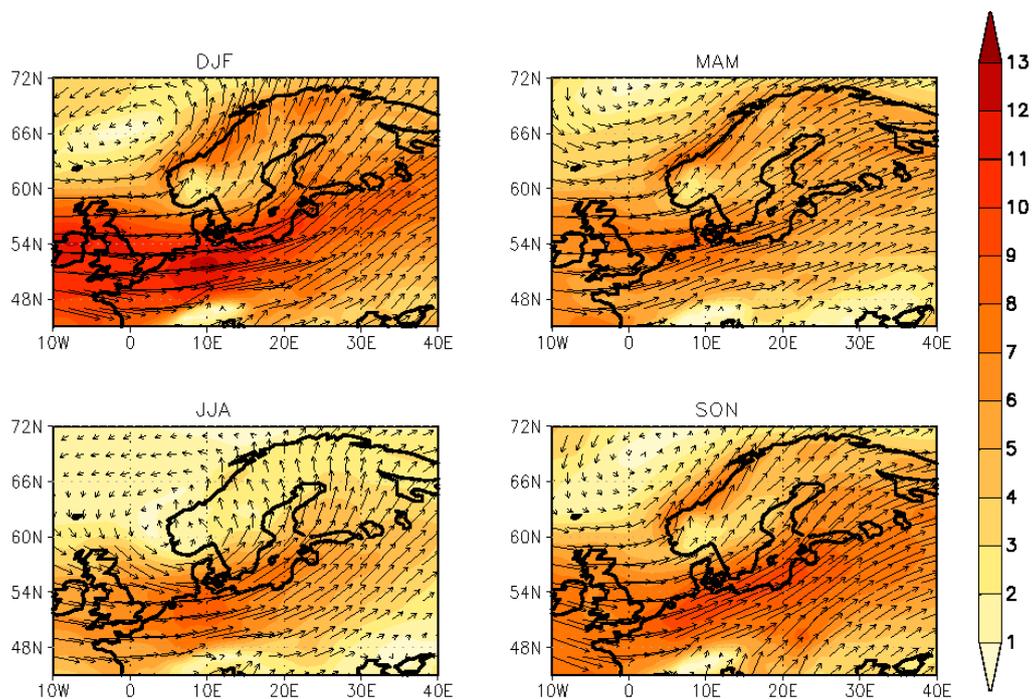
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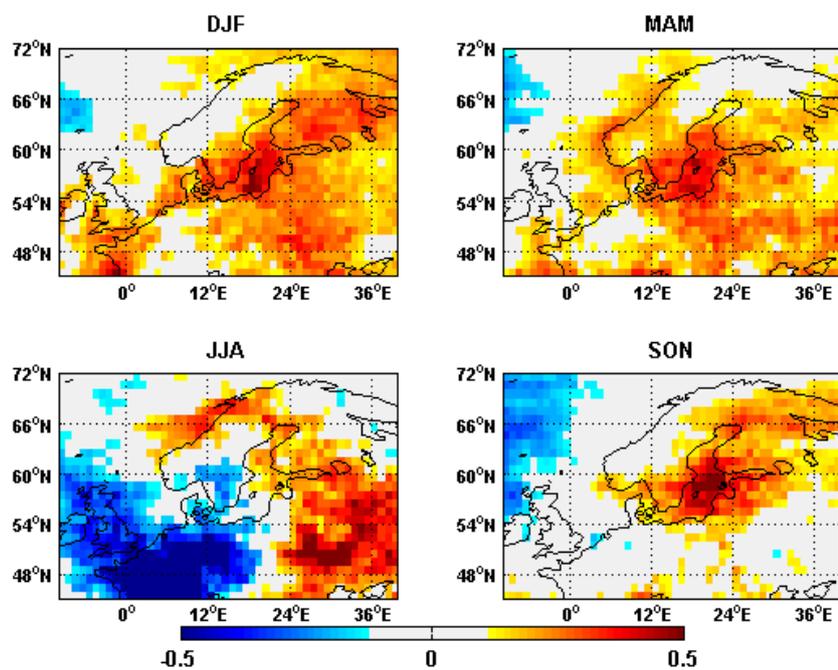
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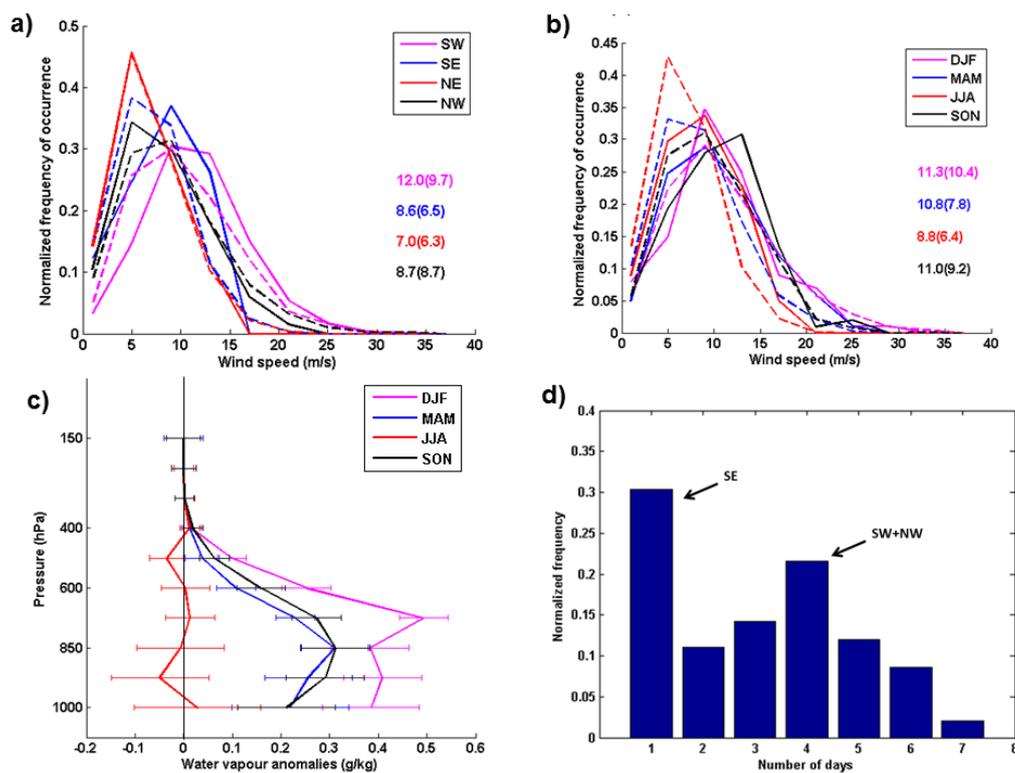
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Fig. 5: Seasonal average wind strengths and direction at 850 hPa showing dominant circulation pattern observed when NO₂ extreme pollution events occur.



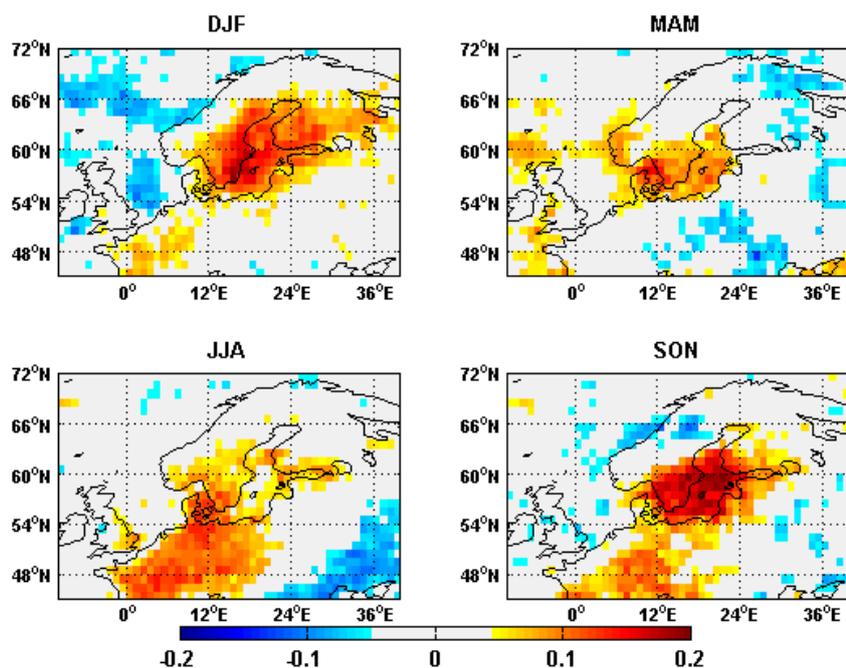
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Fig. 6: Same as in Fig. 5, but for specific humidity anomalies (g/kg).



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Fig. 7: a) Histograms of wind speeds (m/s) at 850 hPa over the center of the study area (55N-60N, 11E-20E) during extreme events (solid lines) and climatological conditions (dotted lines, 2004-2015) when data are partitioned for different wind directions. The numbers show average wind speeds (m/s) during extreme events and in brackets under climatological conditions. b) Same as in (a), but when wind data are partitioned for different seasons. c) Vertical anomalies of specific humidity (g/kg) during extreme events with horizontal bars showing standard deviations. d) Persistency of wind directions as a function of number of continuous days.



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513 Fig. 8: Total cloud fraction anomalies observed during extreme events based on AIRS data.

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